

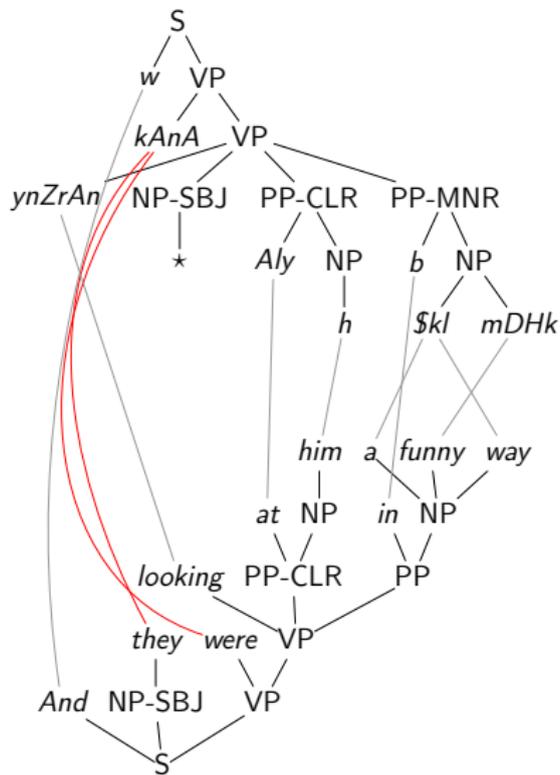
# Linking Theorems for Tree Transducers

Andreas Maletti

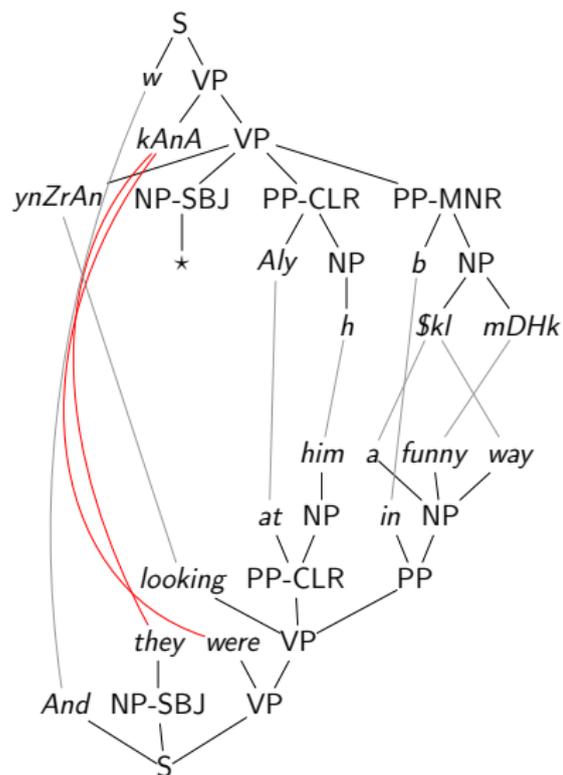
`maletti@ims.uni-stuttgart.de`

Speyer — October 1, 2015

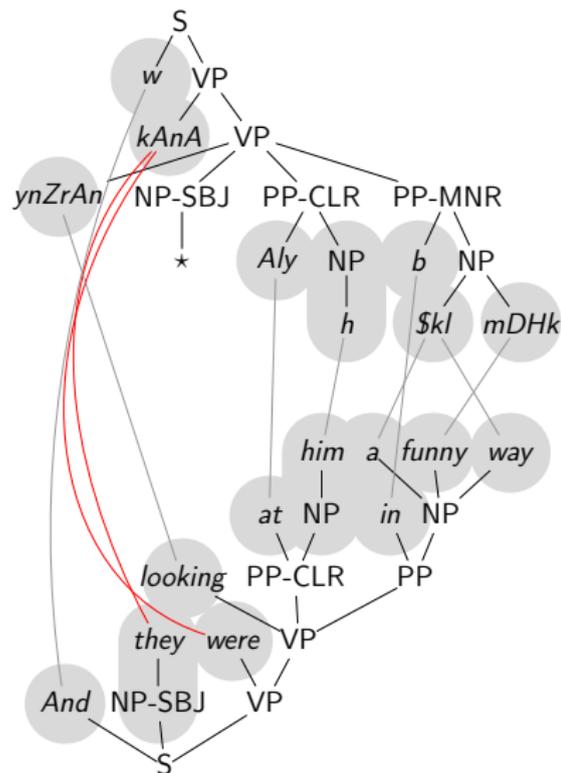
# Statistical Machine Translation



# Statistical Machine Translation



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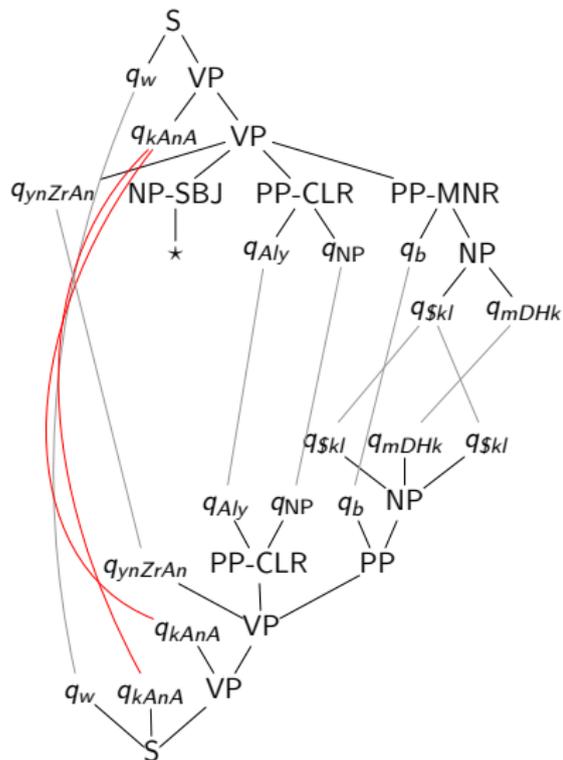


$$\begin{array}{c} \text{NP} \\ | \\ h \end{array} \xrightarrow{q_{\text{NP}}} \begin{array}{c} \text{NP} \\ | \\ \text{him} \end{array} \quad b \xrightarrow{q_b} \text{in} \quad \$kl \xrightarrow{q_{\$kl}} a \cdot \text{way}$$

$$mDHk \xrightarrow{q_{mDHk}} \text{funny} \quad w \xrightarrow{q_w} \text{And} \quad Aly \xrightarrow{q_{Aly}} \text{at}$$

$$kAnA \xrightarrow{q_{kAnA}} \begin{array}{c} \text{NP-SBJ} \\ | \\ \text{they} \end{array} \cdot \text{were} \quad ynzrAn \xrightarrow{q_{ynzrAn}} \text{looking}$$

# Statistical Machine Translation



NP  $q_{NP}$  NP  $q_b$  in  $q_{skl}$  a . way  
 h him b in  $q_{skl}$  a . way  
 $q_{mDHk}$  funny w  $q_w$  And Aly  $q_{Aly}$  at  
 $q_{kAnA}$   $q_{kAnA}$  NP-SBJ they . were  $q_{ynZrAn}$   $q_{ynZrAn}$  looking

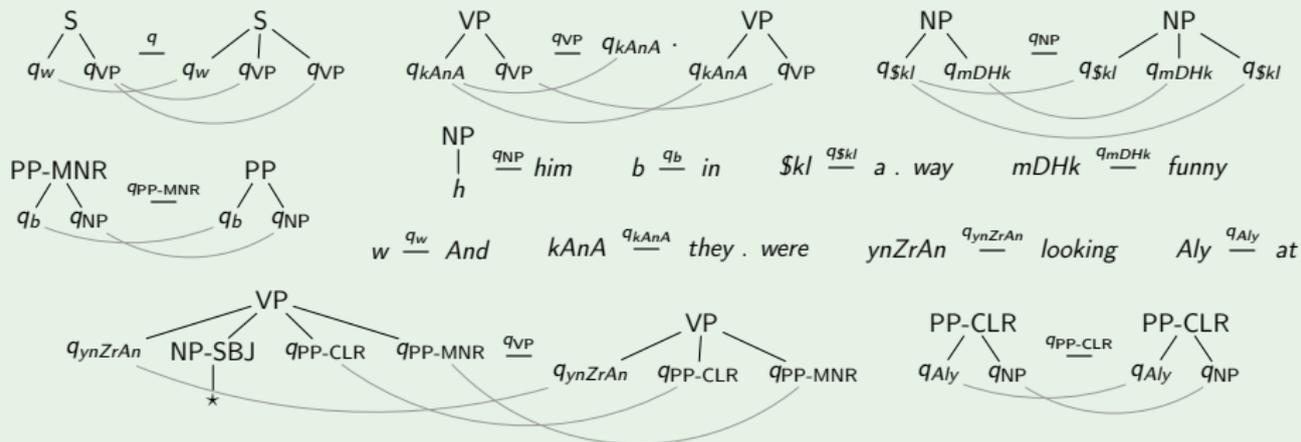






# Statistical Machine Translation

## Extracted rules



# Linear Multi Tree Transducer

## MBOT

**linear multi tree transducer**  $(Q, \Sigma, I, R)$

- finite set  $Q$  states
- alphabet  $\Sigma$  input and output symbols
- $I \subseteq Q$  initial states
- finite set  $R \subseteq T_{\Sigma}(Q) \times Q \times T_{\Sigma}(Q)^*$  rules
  - each  $q \in Q$  occurs at most once in  $\ell$   $(\ell, q, \vec{r}) \in R$
  - each  $q \in Q$  that occurs in  $\vec{r}$  also occurs in  $\ell$   $(\ell, q, \vec{r}) \in R$

# Linear Multi Tree Transducer

## Syntactic properties

MBOT  $(Q, \Sigma, I, R)$  is

- **linear tree transducer with regular look-ahead** ( $\text{XTOP}^R$ )  
if  $|\vec{r}| \leq 1$   $\forall (\ell, q, \vec{r}) \in R$
- **linear tree transducer** ( $\text{XTOP}$ )  
if  $|\vec{r}| = 1$   $\forall (\ell, q, \vec{r}) \in R$

# Linear Multi Tree Transducer

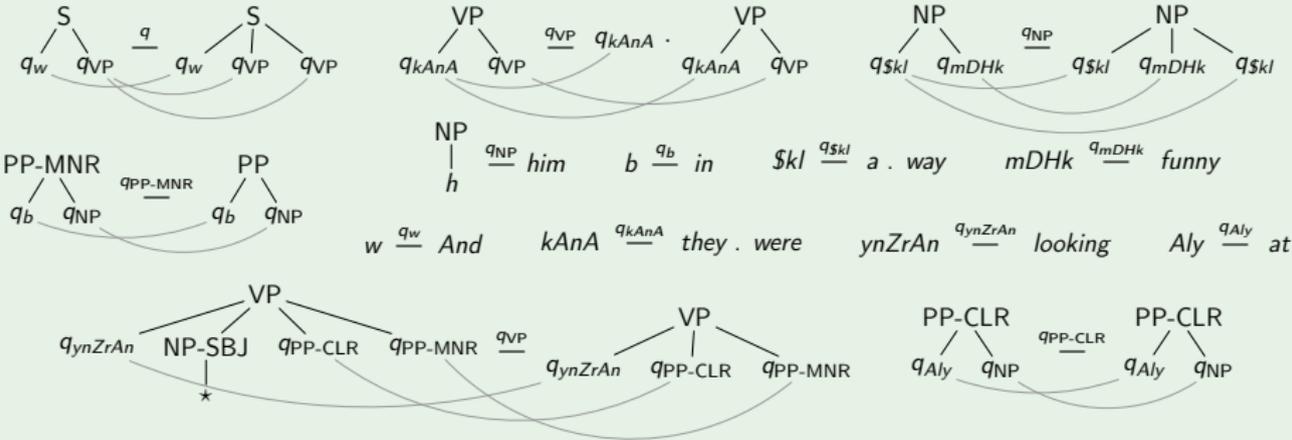
## Syntactic properties

MBOT  $(Q, \Sigma, I, R)$  is

- **linear tree transducer with regular look-ahead** ( $\text{XTOP}^R$ )  
if  $|\vec{r}| \leq 1$   $\forall (l, q, \vec{r}) \in R$
- **linear tree transducer** ( $\text{XTOP}$ )  
if  $|\vec{r}| = 1$   $\forall (l, q, \vec{r}) \in R$
- **$\epsilon$ -free** if  $l \notin Q$   $\forall (l, q, \vec{r}) \in R$

# Linear Multi Tree Transducer

## Extracted rules



## Properties

XTOP<sup>R</sup>: **X**

XTOP: **X**

$\epsilon$ -free: **✓**

## Another Example

### Textual example

MBOT  $M = (Q, \Sigma, \{\star\}, R)$

- $Q = \{\star, q, \text{id}, \text{id}'\}$
- $\Sigma = \{\sigma, \delta, \gamma, \alpha\}$
- the following rules in  $R$ :

$$\sigma(\star, q) \xrightarrow{\star} \sigma(\star, q)$$

$$\sigma(\star, q) \xrightarrow{q} q$$

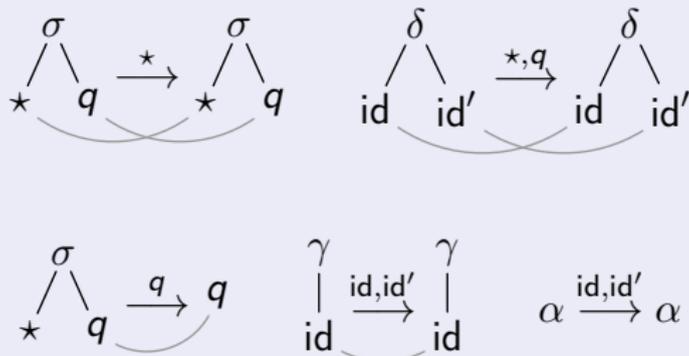
$$\delta(\text{id}, \text{id}') \xrightarrow{\star, q} \delta(\text{id}, \text{id}')$$

$$\gamma(\text{id}) \xrightarrow{\text{id}, \text{id}'} \gamma(\text{id})$$

$$\alpha \xrightarrow{\text{id}, \text{id}'} \alpha$$

## Another Example

### Graphical representation



### Properties

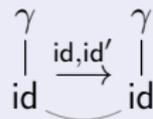
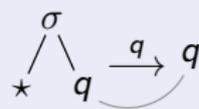
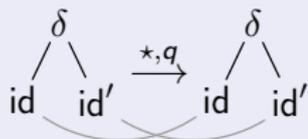
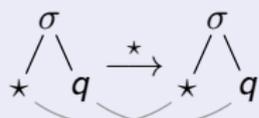
XTOP<sup>R</sup>: ✓

XTOP: ✓

$\varepsilon$ -free: ✓

# Semantics

## Rules

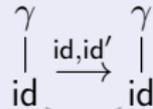
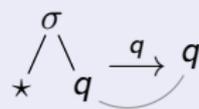
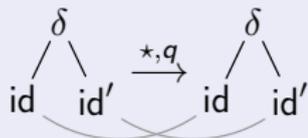
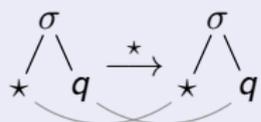


$$\alpha \xrightarrow{\text{id}, \text{id}'} \alpha$$

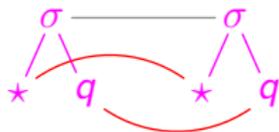
★ ——— ★

# Semantics

## Rules

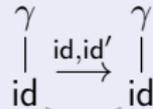
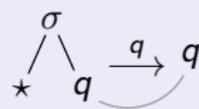
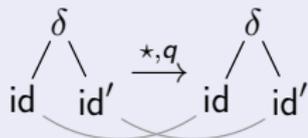
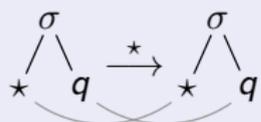


$$\alpha \xrightarrow{\text{id, id}'} \alpha$$

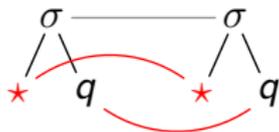


# Semantics

## Rules

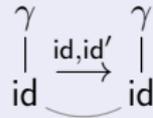
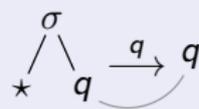
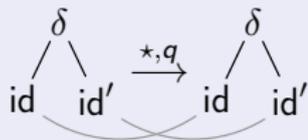
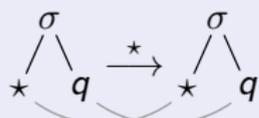


$$\alpha \xrightarrow{id, id'} \alpha$$

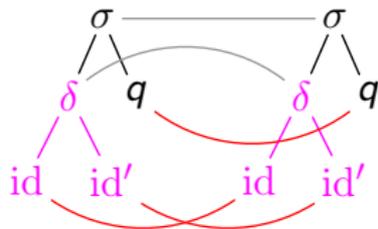


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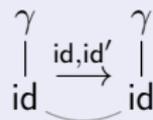
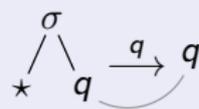
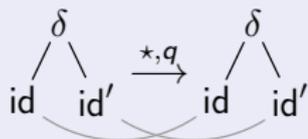
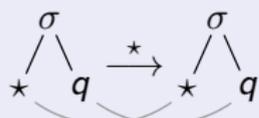


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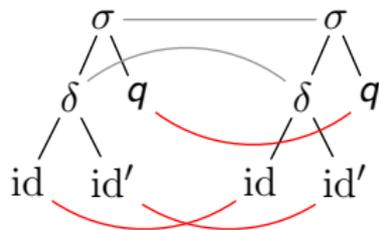


# Semantics

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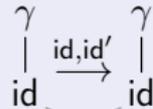
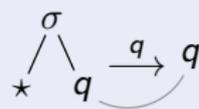
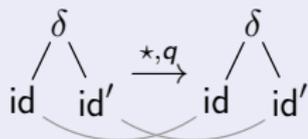
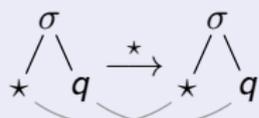


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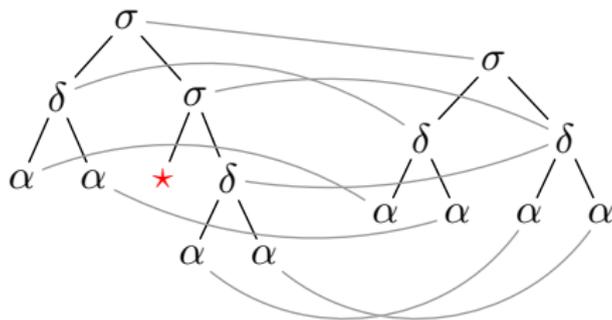


# Semantics

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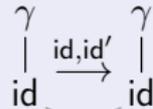
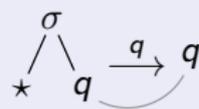
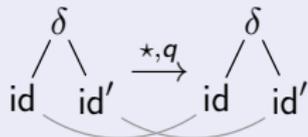
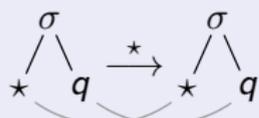


$$\alpha \xrightarrow{\text{id}, \text{id}'} \alpha$$

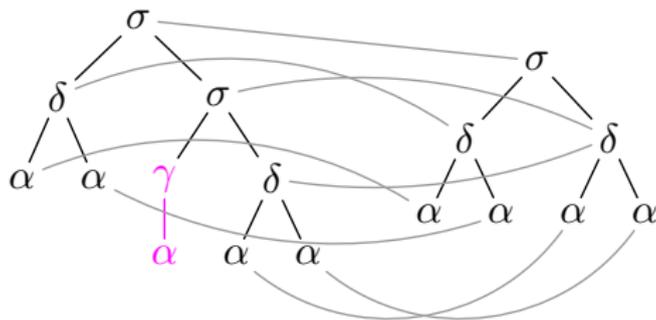


# Semantics

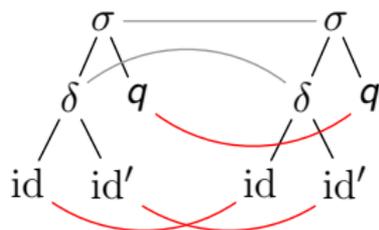
## Rules



$$\alpha \xrightarrow{\text{id}, \text{id}'} \alpha$$



# Semantics



## Sentential forms

$\langle t, A, D, u \rangle$

- $t \in T_{\Sigma}(Q)$
- $A \subseteq \mathbb{N}^* \times \mathbb{N}^*$
- $D \subseteq \mathbb{N}^* \times \mathbb{N}^*$
- $u \in T_{\Sigma}(Q)$

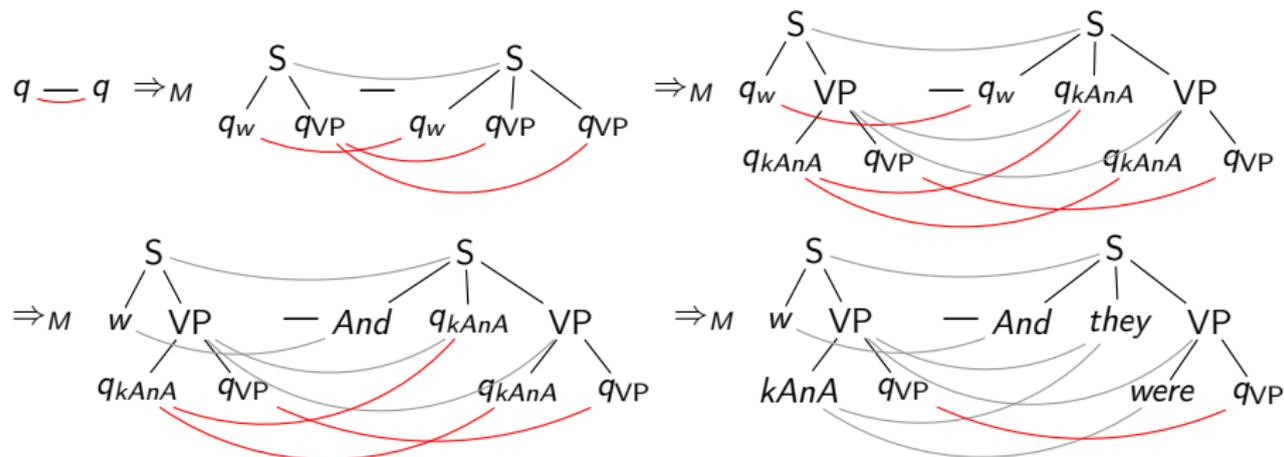
input tree

active links (red)

disabled links (gray)

output tree

# Semantics



# Semantics

## Dependencies and relation

- state-computed dependencies:

$$M_q = \{ \langle t, D, u \rangle \mid t, u \in T_\Sigma, \langle q, \{(\varepsilon, \varepsilon)\}, \emptyset, q \rangle \Rightarrow_M^* \langle t, \emptyset, D, u \rangle \}$$

- computed dependencies:

$$\text{dep}(M) = \bigcup_{q \in I} M_q$$

# Semantics

## Dependencies and relation

- state-computed dependencies:

$$M_q = \{\langle t, D, u \rangle \mid t, u \in T_\Sigma, \langle q, \{(\varepsilon, \varepsilon)\}, \emptyset, q \rangle \Rightarrow_M^* \langle t, \emptyset, D, u \rangle\}$$

- computed dependencies:

$$\text{dep}(M) = \bigcup_{q \in I} M_q$$

- computed transformation:

$$\tau_M = \{(t, u) \mid \langle t, D, u \rangle \in \text{dep}(M)\}$$

## Further Properties

### Regularity-preserving

transformation  $\tau \subseteq T_\Sigma \times T_\Sigma$  **preserves regularity**

if  $\tau(L) = \{u \mid (t, u) \in \tau, t \in L\}$  is regular for all regular  $L \subseteq T_\Sigma$

rp-MBOT = regularity preserving transformations computable by MBOT

### Compositions

- $\tau_1 ; \tau_2 = \{(s, u) \mid \exists t: (s, t) \in \tau_1, (t, u) \in \tau_2\}$
- support modular development
- allow integration of external knowledge sources
- occur naturally in query rewriting

# Contents

1 Basics

2 Linking technique

# Dependencies

## Recent research

- Bojańczyk, ICALP 2014
- Maneth et al., ICALP 2015

on models with dependencies

# Dependencies

## Hierarchical properties

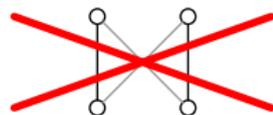
A dependency  $\langle t, D, u \rangle$  is

- **input hierarchical** if

①  $w_2 \not\leq w_1$

②  $\exists (v_1, w_1') \in D$  with  $w_1' \leq w_2$

for all  $(v_1, w_1), (v_2, w_2) \in D$  with  $v_1 < v_2$



# Dependencies

## Hierarchical properties

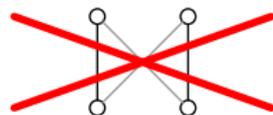
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# Dependencies

## Hierarchical properties

A dependency  $\langle t, D, u \rangle$  is

- **input hierarchical** if

- 1  $w_2 \not\leq w_1$
- 2  $\exists (v_1, w'_1) \in D$  with  $w'_1 \leq w_2$

for all  $(v_1, w_1), (v_2, w_2) \in D$  with  $v_1 < v_2$

- **strictly input hierarchical** if

- 1  $v_1 < v_2$  implies  $w_1 \leq w_2$
- 2  $v_1 = v_2$  implies  $w_1 \leq w_2$  or  $w_2 \leq w_1$

for all  $(v_1, w_1), (v_2, w_2) \in D$

# Dependencies

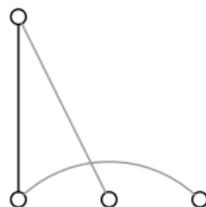
## Distance properties

A dependency  $\langle t, D, u \rangle$  is

- **input link-distance bounded by  $b \in \mathbb{N}$**

if for all  $(v_1, w_1), (v_1 v', w_2) \in D$  with  $|v'| > b$

$\exists (v_1 v, w_3) \in D$  such that  $v < v'$  and  $1 \leq |v| \leq b$



# Dependencies

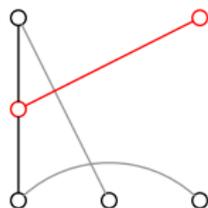
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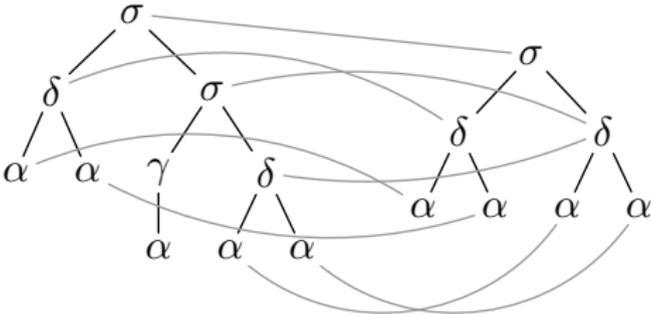
# Dependencies

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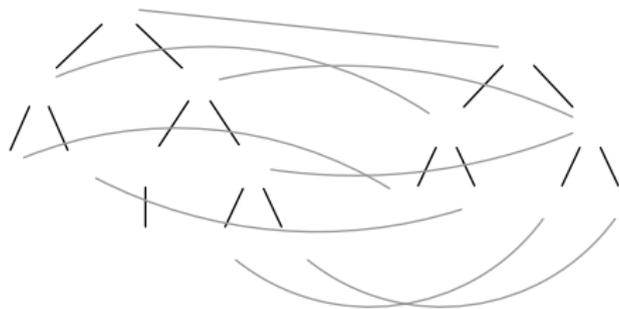
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 $\exists (v_1 v, w_3) \in D$  such that  $v < v'$  and  $1 \leq |v| \leq b$
- **strict input link-distance bounded by  $b$**   
if for all  $v_1, v_1 v' \in \text{pos}(t)$  with  $|v'| > b$   
 $\exists (v_1 v, w_3) \in D$  such that  $v < v'$  and  $1 \leq |v| \leq b$

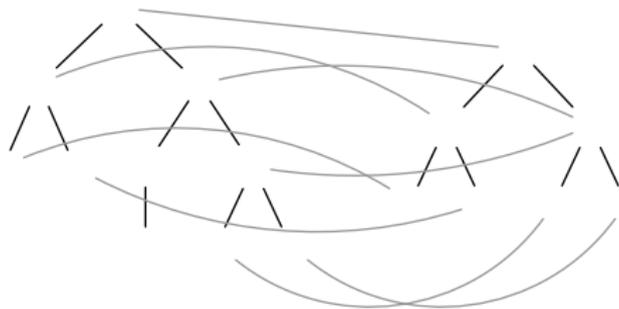
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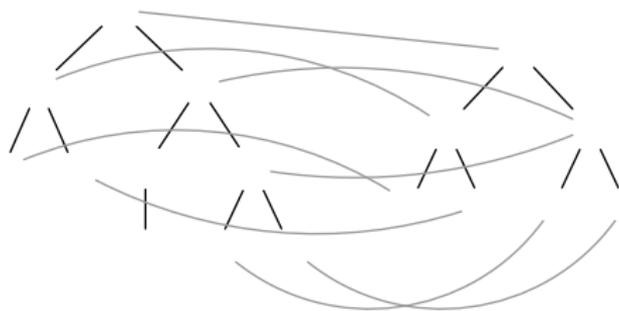


## Dependencies



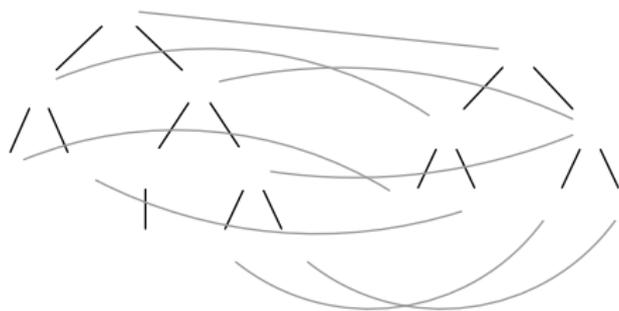
strictly input hierarchical

## Dependencies



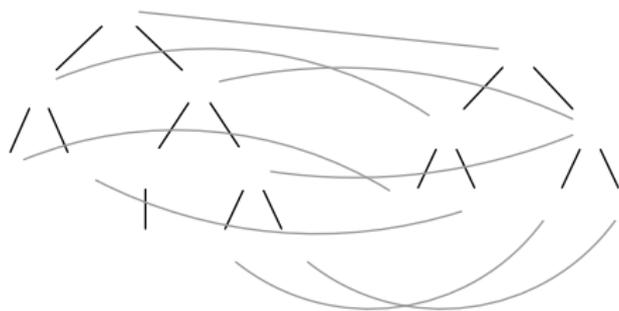
strictly input hierarchical and strictly output hierarchical

## Dependencies



strictly input hierarchical and strictly output hierarchical  
with strict input link-distance 2

## Dependencies



strictly input hierarchical and strictly output hierarchical  
with strict input link-distance 2 and strict output link-distance 1

# Dependencies

Model \ Property	hierarchical		link-distance bounded	
	input	output	input	output
XTOP <sup>R</sup>	strictly	strictly	✓	strictly
MBOT	✓	strictly	✓	strictly

# Linking Theorem

## Theorem

Let  $M_1, \dots, M_k$  be  $\varepsilon$ -free  $XTOP^R$  over  $\Sigma$  such that

$$\{(c[t_1, \dots, t_n], c'[t_1, \dots, t_n]) \mid t_1, \dots, t_n \in T\} \subseteq \tau_{M_1}; \dots; \tau_{M_k}$$

for some contexts  $c, c' \in C_\Sigma(X_n)$  and special  $T \subseteq T_\Sigma$ .

$$\forall 1 \leq i \leq k, \forall 1 \leq j \leq n$$

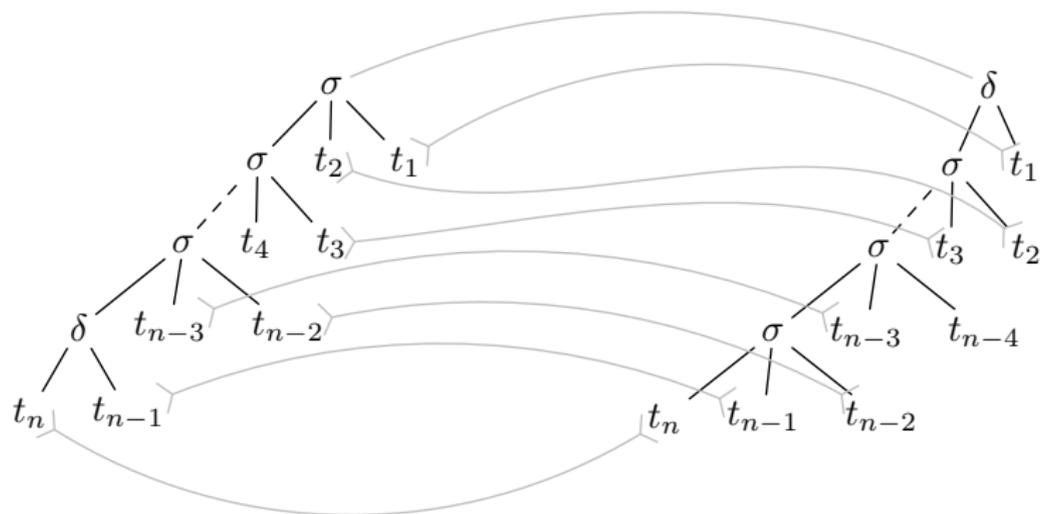
$\exists t_j \in T, \exists \langle u_{i-1}, D_i, u_i \rangle \in \text{dep}(M_i), \exists (v_{ji}, w_{ji}) \in D_i$  such that

- $u_0 = c[t_1, \dots, t_n]$  and  $u_k = c'[t_1, \dots, t_n]$
- $\text{pos}_{x_j}(c') \leq w_{jk}$
- $v_{ji} \leq w_{j(i-1)}$  if  $i \geq 2$
- $\text{pos}_{x_j}(c) \leq v_{j1}$

# Linking Theorem

Corollary [Arnold, Dauchet, TCS 1982]

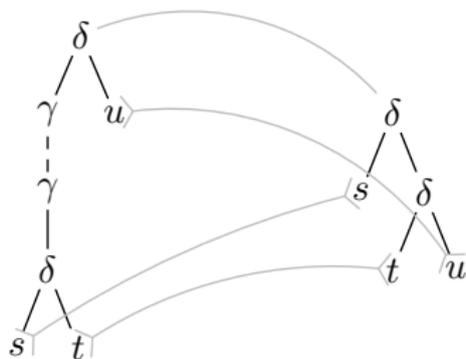
Illustrated relation  $\tau$  cannot be computed by any  $\varepsilon$ -free XTOP<sup>R</sup>



# Linking Theorem

Corollary [M. et al., SICOMP 2009]

Illustrated relation  $\tau$  cannot be computed by any  $\varepsilon$ -free XTOP<sup>R</sup>



# Topicalization

## Example

- *It rained yesterday night.*

**Topicalized:** *Yesterday night, it rained.*

# Topicalization

## Example

- *It rained yesterday night.*

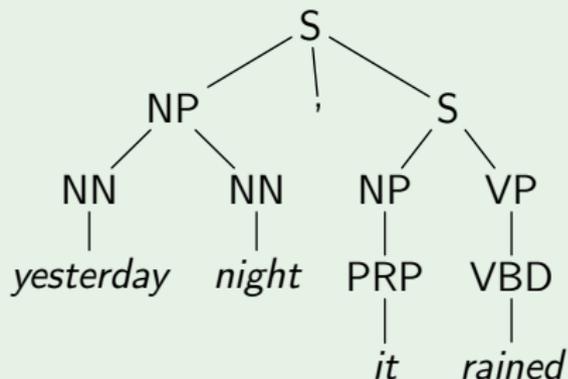
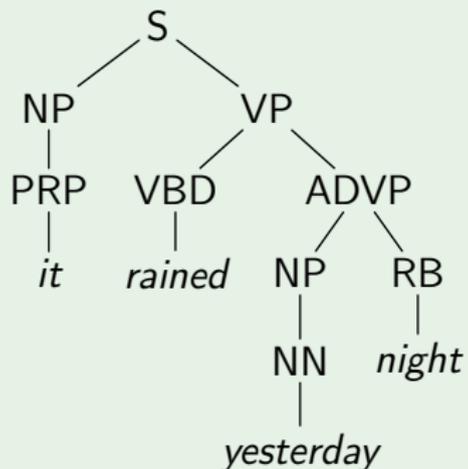
**Topicalized:** *Yesterday night, it rained.*

- *We toiled all day yesterday at the restaurant that charges extra for clean plates.*

**Topicalized:** *At the restaurant that charges extra for clean plates, we toiled all day yesterday.*

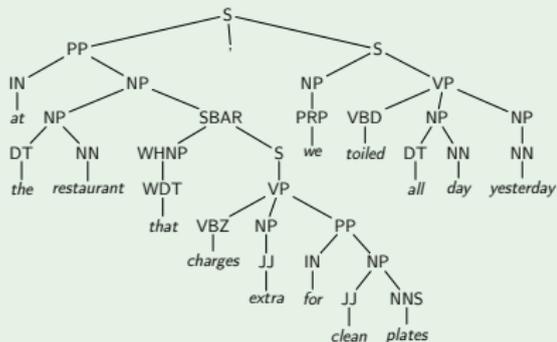
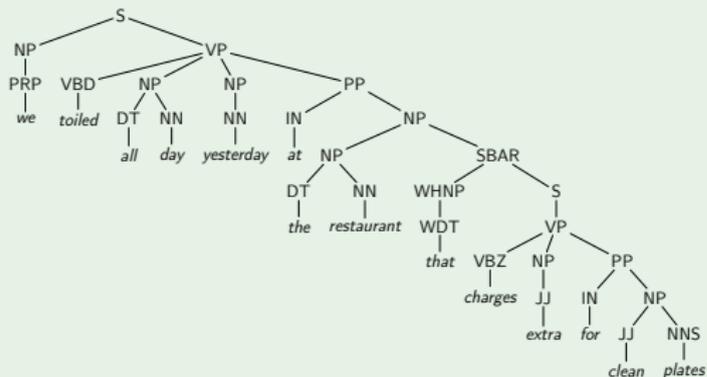
# Topicalization

## On the tree level

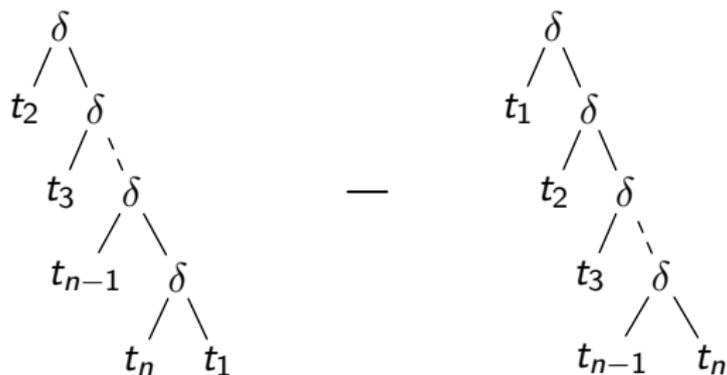


# Topicalization

## On the tree level



# Topicalization



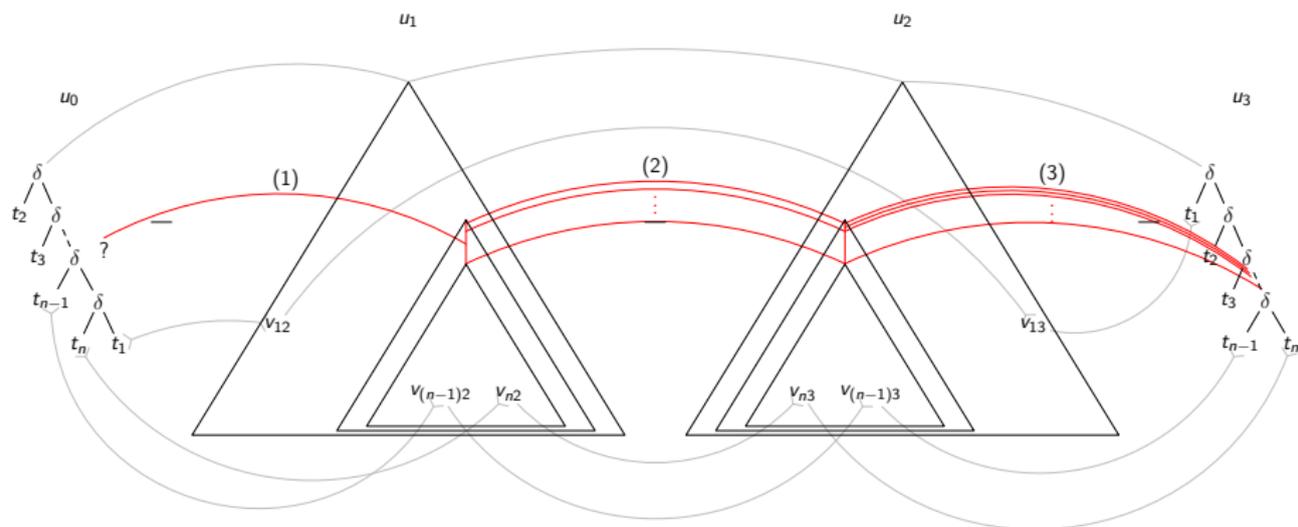
## Theorem

*Topicalization is in rp-MBOT*

# Topicalization

## Theorem

Topicalization cannot be computed by any composition of  $\varepsilon$ -free  $\text{XTOP}^R$



3  $\varepsilon$ -free  $\text{XTOP}^R$  sufficient to simulate any composition of  $\varepsilon$ -free  $\text{XTOP}^R$

# Topicalization

## Corollary

$$(X\text{TOP}^R)^* \subsetneq \text{rp-MBOT}$$

# Linking Theorem

## Theorem

Let  $M = (Q, \Sigma, I, R)$  be an  $\varepsilon$ -free MBOT such that

$$\{(c[t_1, \dots, t_n], c'[t_1, \dots, t_n]) \mid t_1, \dots, t_n \in T\} \subseteq \tau_M$$

for some contexts  $c, c' \in C_\Sigma(X_n)$  and special  $T \subseteq T_\Sigma$ .

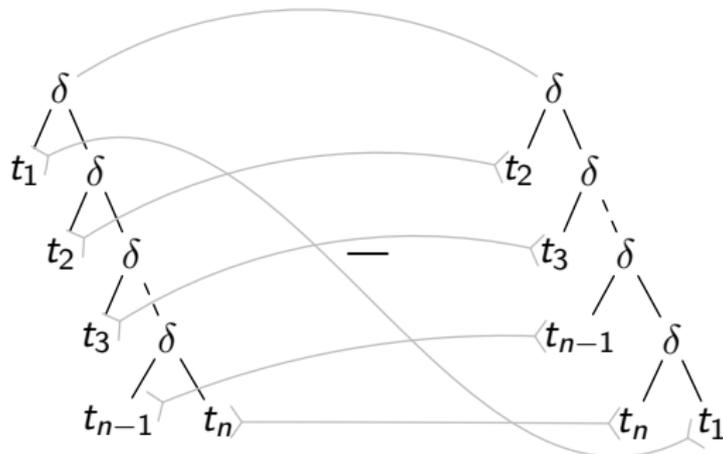
$\forall 1 \leq j \leq n, \exists t_j \in T, \exists \langle u, D, u' \rangle \in \text{dep}(M), \exists (v_j, w_j) \in D$  with

- $u = c[t_1, \dots, t_n]$  and  $u' = c'[t_1, \dots, t_n]$
- $\text{pos}_{x_j}(c) \leq v_j$
- $\text{pos}_{x_j}(c') \leq w_j$

# Linking Theorem

## Corollary

Inverse of topicalization cannot be computed by any  $\varepsilon$ -free MBOT



# Summary & References

## Summary

- 1  $(\text{XTOP}^{\text{R}})^* \subsetneq \text{rp-MBOT}$
- 2 rp-MBOT not closed under inverses
- 3 What happens to invertible MBOT?

# Summary & References

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- 1  $(\text{XTOP}^R)^* \subsetneq \text{rp-MBOT}$
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## References

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