

Minimization of Deterministic Weighted Tree Automata

Andreas Maletti

March 14, 2008

Syntax-based MT (e.g. TIBURON)

Overview

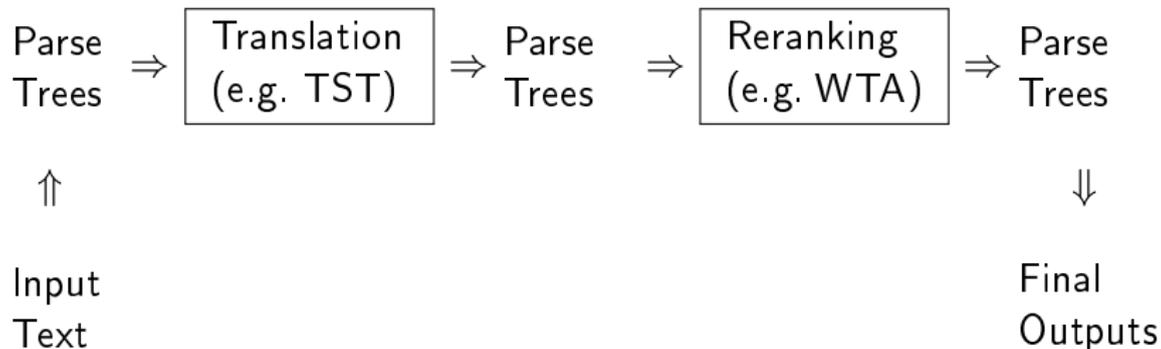


Abbreviations

- ▶ FST = Finite State Transducer
- ▶ FSA = Finite State Automaton

Syntax-based MT (e.g. TIBURON)

Overview



Abbreviations

- ▶ TST = Tree Series Transducer
- ▶ WTA = Weighted Tree Automaton

Table of Contents

Introduction

Weighted Tree Automata

Minimization Procedure

Some Experimental Results

Table of Contents

Introduction

Weighted Tree Automata

Minimization Procedure

Some Experimental Results

Overview

Tree Series

- ▶ Assigns **weight** (e.g. a probability) to each tree
- ▶ Weight drawn from semiring; e.g. $(\mathbb{R}, +, \cdot, 0, 1)$

Overview

Tree Series

- ▶ Assigns **weight** (e.g. a probability) to each tree
- ▶ Weight drawn from semiring; e.g. $(\mathbb{R}, +, \cdot, 0, 1)$

Weighted Tree Automaton

- ▶ **Finitely** represents tree series
- ▶ Defines the **recognizable** tree series

Overview

Tree Series

- ▶ Assigns **weight** (e.g. a probability) to each tree
- ▶ Weight drawn from semiring; e.g. $(\mathbb{R}, +, \cdot, 0, 1)$

Weighted Tree Automaton

- ▶ **Finitely** represents tree series
- ▶ Defines the **recognizable** tree series

Application

- ▶ Re-ranker for parse trees
- ▶ Representation of parses

Syntax

Definition

Weighted tree automaton (wta) is tuple $(Q, \Sigma, \mathcal{A}, F, \mu)$ where

- ▶ Q : finite set of *states*
- ▶ Σ : ranked alphabet of *input symbols*
- ▶ $\mathcal{A} = (A, +, \cdot, 0, 1)$: semiring of *weights*
- ▶ $F \subseteq Q$: *final states*

Syntax

Definition

Weighted tree automaton (wta) is tuple $(Q, \Sigma, \mathcal{A}, F, \mu)$ where

- ▶ Q : finite set of *states*
- ▶ Σ : ranked alphabet of *input symbols*
- ▶ $\mathcal{A} = (A, +, \cdot, 0, 1)$: semiring of *weights*
- ▶ $F \subseteq Q$: *final states*
- ▶ $\mu = (\mu_k)_{k \in \mathbb{N}}$ with $\mu_k: \Sigma_k \rightarrow A^{Q \times Q^k}$

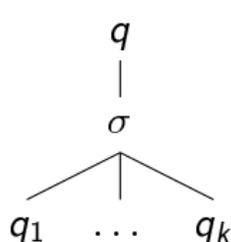
Syntax

Definition

Weighted tree automaton (wta) is tuple $(Q, \Sigma, \mathcal{A}, F, \mu)$ where

- ▶ Q : finite set of *states*
- ▶ Σ : ranked alphabet of *input symbols*
- ▶ $\mathcal{A} = (A, +, \cdot, 0, 1)$: semiring of *weights*
- ▶ $F \subseteq Q$: *final states*
- ▶ $\mu = (\mu_k)_{k \in \mathbb{N}}$ with $\mu_k: \Sigma_k \rightarrow A^{Q \times Q^k}$

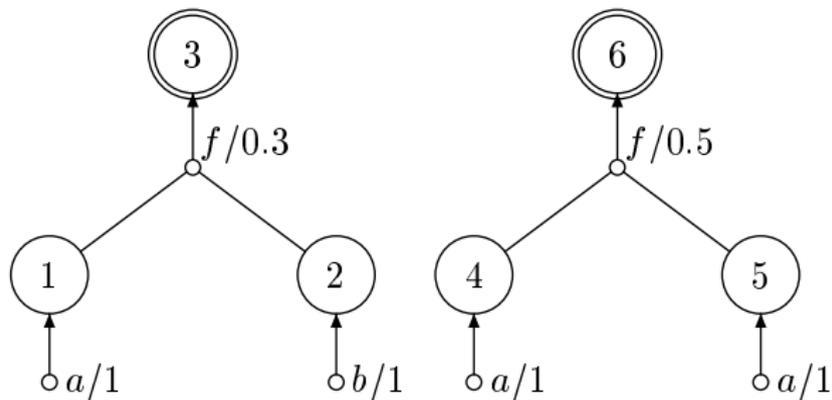
Sample Transition



with weight $\mu_k(\sigma)_{q, q_1 \dots q_k}$

Syntax — Illustration

Sample Automaton



Semantics

Definition

Let $t \in T_{\Sigma}(Q)$ and $W = \text{pos}(t)$.

- ▶ **Run** on t : map $r: W \rightarrow Q$ with $r(w) = t(w)$ if $t(w) \in Q$

Semantics

Definition

Let $t \in T_{\Sigma}(Q)$ and $W = \text{pos}(t)$.

- ▶ **Run** on t : map $r: W \rightarrow Q$ with $r(w) = t(w)$ if $t(w) \in Q$
- ▶ **Weight** of r

$$\text{wt}(r) = \prod_{\substack{w \in W \\ t(w) \in \Sigma}} \mu_k(t(w))_{r(w), r(w_1) \dots r(w_k)}$$

Semantics

Definition

Let $t \in T_{\Sigma}(Q)$ and $W = \text{pos}(t)$.

- ▶ **Run** on t : map $r: W \rightarrow Q$ with $r(w) = t(w)$ if $t(w) \in Q$
- ▶ **Weight** of r

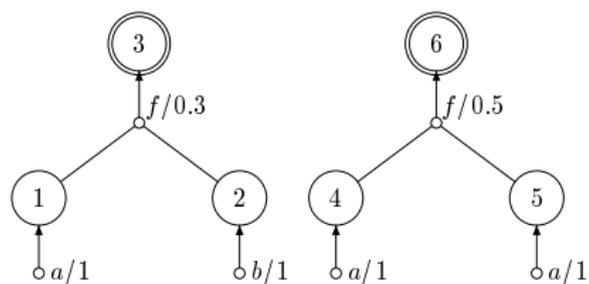
$$\text{wt}(r) = \prod_{\substack{w \in W \\ t(w) \in \Sigma}} \mu_k(t(w))_{r(w), r(w_1) \dots r(w_k)}$$

- ▶ **Recognized tree series**

$$(\|M\|, t) = \sum_{\substack{r \text{ run on } t \\ r(\varepsilon) \in F}} \text{wt}(r)$$

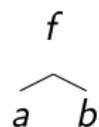
Semantics — Illustration

Sample Automaton

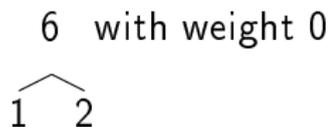


Sample Runs

Input tree:

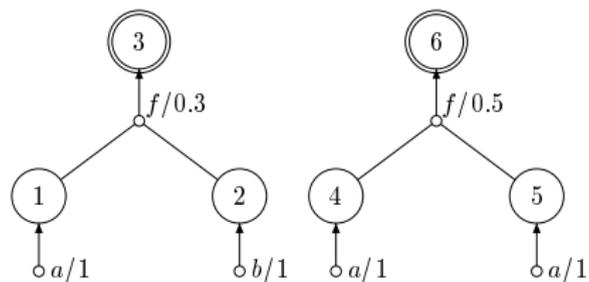


Runs:



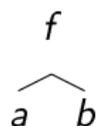
Semantics — Illustration

Sample Automaton

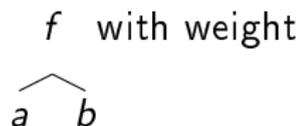


Sample Runs

Input tree:

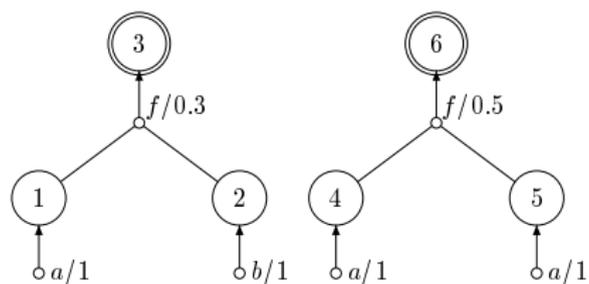


Runs:



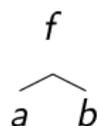
Semantics — Illustration

Sample Automaton

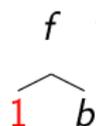


Sample Runs

Input tree:

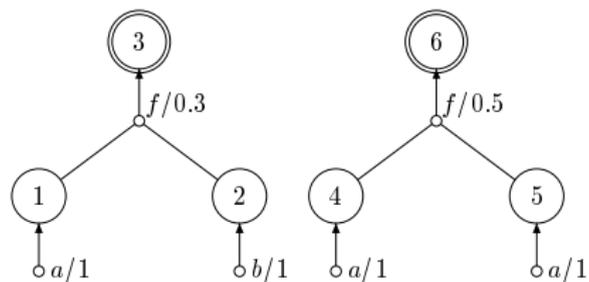


Runs:



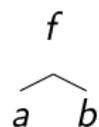
Semantics — Illustration

Sample Automaton

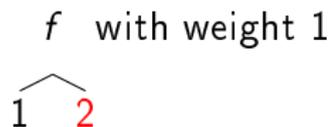


Sample Runs

Input tree:

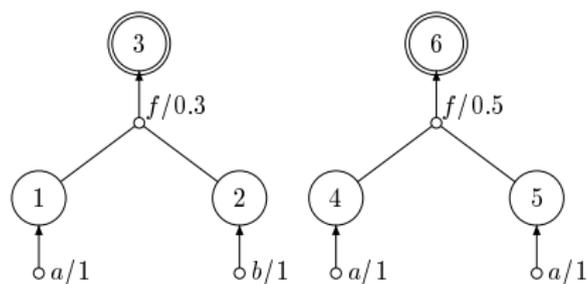


Runs:



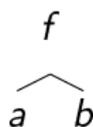
Semantics — Illustration

Sample Automaton

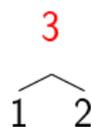


Sample Runs

Input tree:



Runs:



3 with weight 0.3

Determinism

Definition

Deterministic wta: for every $\sigma \in \Sigma_k$ and $w \in Q^k$ there exists exactly one $q \in Q$ such that $\mu_k(\sigma)_{q,w} \neq 0$

Determinism

Definition

Deterministic wta: for every $\sigma \in \Sigma_k$ and $w \in Q^k$ there exists exactly one $q \in Q$ such that $\mu_k(\sigma)_{q,w} \neq 0$

Notes

- ▶ Deterministic wta does not use addition

Determinism

Definition

Deterministic wta: for every $\sigma \in \Sigma_k$ and $w \in Q^k$ there exists exactly one $q \in Q$ such that $\mu_k(\sigma)_{q,w} \neq 0$

Notes

- ▶ Deterministic wta does not use addition
- ▶ Recognizable \neq deterministically recognizable

Determinism

Definition

Deterministic wta: for every $\sigma \in \Sigma_k$ and $w \in Q^k$ there exists exactly one $q \in Q$ such that $\mu_k(\sigma)_{q,w} \neq 0$

Notes

- ▶ Deterministic wta does not use addition
- ▶ Recognizable \neq deterministically recognizable
- ▶ Determinization possible in locally-finite semirings [BV03]

Determinism

Definition

Deterministic wta: for every $\sigma \in \Sigma_k$ and $w \in Q^k$ there exists exactly one $q \in Q$ such that $\mu_k(\sigma)_{q,w} \neq 0$

Notes

- ▶ Deterministic wta does not use addition
- ▶ Recognizable \neq deterministically recognizable
- ▶ Determinization possible in locally-finite semirings [BV03]
- ▶ Partial determinization for probabilities [MK06]

Table of Contents

Introduction

Weighted Tree Automata

Minimization Procedure

Some Experimental Results

Overview

Applicability

- ▶ Deterministic wta
- ▶ Commutative semifield (i.e. multiplicative inverses)

Roadmap

- ▶ MYHILL-NERODE congruence relation [Bor03]
- ▶ Determine signs of life
- ▶ Refinement

MYHILL-NERODE congruence

Definition

$p \equiv q$: there exists nonzero a such that for every context C

$$(\|M\|, C[p]) = a \cdot (\|M\|, C[q])$$

MYHILL-NERODE congruence

Definition

$p \equiv q$: there exists nonzero a such that for every context C

$$(\|M\|, C[p]) = a \cdot (\|M\|, C[q])$$

Notes

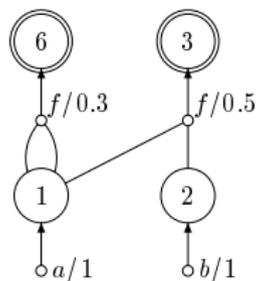
- ▶ Semifields are zero-divisor free
- ▶ Element a is unique if p is not dead

Signs of Life

Definition

Sign of life of $q \in Q$: context C such that $(\|M\|, C[q]) \neq 0$

Example



State	Sign of life	State	Sign of life
1	$f(\square, b)$	2	$f(1, \square)$
3	\square	6	\square

Compute Signs of Life

Algorithm

```
 $D \leftarrow Q \setminus F$   
2:  $\text{sol} \leftarrow \{(q, \square) \mid q \in F\}$   
    $T \leftarrow \{(w, \sigma, q) \in \delta \mid q \in F\}$   
4: while  $T \neq \emptyset$  do  
    $\tau = ((q_1, \dots, q_k), \sigma, q) \in T$   
6:    $I \leftarrow \{i \in [1, k] \mid q_i \in D, \forall j \in [1, i-1]: q_j \neq q_i\}$   
    $\text{sol} \leftarrow \text{sol} \cup \{(q_i, \text{sol}(q)[\sigma(q_1, \dots, \square, \dots, q_k)]) \mid i \in I\}$   
8:    $P \leftarrow \{q_i \mid i \in I\}$   
    $D \leftarrow D \setminus P$   
10:   $T \leftarrow (T \setminus \{\tau\}) \cup \{(w, \gamma, p) \in \delta \mid p \in P\}$   
   end while  
12:  $\Pi \leftarrow \{\{q \in Q \setminus D \mid \text{ht}(\text{sol}(q)) = i\} \mid i \geq 1\} \cup \{D\}$   
   return  $(\Pi, \text{sol}, D)$ 
```

Compute Signs of Life

Algorithm

```
 $D \leftarrow Q \setminus F$   
2:  $\text{sol} \leftarrow \{(q, \square) \mid q \in F\}$   
    $T \leftarrow \{(w, \sigma, q) \in \delta \mid q \in F\}$   
4: while  $T \neq \emptyset$  do  
    $\tau = ((q_1, \dots, q_k), \sigma, q) \in T$   
6:    $I \leftarrow \{i \in [1, k] \mid q_i \in D, \forall j \in [1, i-1]: q_j \neq q_i\}$   
    $\text{sol} \leftarrow \text{sol} \cup \{(q_i, \text{sol}(q)[\sigma(q_1, \dots, \square, \dots, q_k)]) \mid i \in I\}$   
8:    $P \leftarrow \{q_i \mid i \in I\}$   
    $D \leftarrow D \setminus P$   
10:   $T \leftarrow (T \setminus \{\tau\}) \cup \{(w, \gamma, p) \in \delta \mid p \in P\}$   
   end while  
12:  $\Pi \leftarrow \{\{q \in Q \setminus D \mid \text{ht}(\text{sol}(q)) = i\} \mid i \geq 1\} \cup \{D\}$   
   return  $(\Pi, \text{sol}, D)$ 
```

Compute Signs of Life

Algorithm

```
 $D \leftarrow Q \setminus F$   
2:  $\text{sol} \leftarrow \{(q, \square) \mid q \in F\}$   
    $T \leftarrow \{(w, \sigma, q) \in \delta \mid q \in F\}$   
4: while  $T \neq \emptyset$  do  
    $\tau = ((q_1, \dots, q_k), \sigma, q) \in T$   
6:    $I \leftarrow \{i \in [1, k] \mid q_i \in D, \forall j \in [1, i-1]: q_j \neq q_i\}$   
    $\text{sol} \leftarrow \text{sol} \cup \{(q_i, \text{sol}(q)[\sigma(q_1, \dots, \square, \dots, q_k)]) \mid i \in I\}$   
8:    $P \leftarrow \{q_i \mid i \in I\}$   
    $D \leftarrow D \setminus P$   
10:   $T \leftarrow (T \setminus \{\tau\}) \cup \{(w, \gamma, p) \in \delta \mid p \in P\}$   
   end while  
12:  $\Pi \leftarrow \{\{q \in Q \setminus D \mid \text{ht}(\text{sol}(q)) = i\} \mid i \geq 1\} \cup \{D\}$   
   return  $(\Pi, \text{sol}, D)$ 
```

Compute Signs of Life

Algorithm

```
 $D \leftarrow Q \setminus F$   
2:  $\text{sol} \leftarrow \{(q, \square) \mid q \in F\}$   
    $T \leftarrow \{(w, \sigma, q) \in \delta \mid q \in F\}$   
4: while  $T \neq \emptyset$  do  
    $\tau = ((q_1, \dots, q_k), \sigma, q) \in T$   
6:    $I \leftarrow \{i \in [1, k] \mid q_i \in D, \forall j \in [1, i-1]: q_j \neq q_i\}$   
    $\text{sol} \leftarrow \text{sol} \cup \{(q_i, \text{sol}(q)[\sigma(q_1, \dots, \square, \dots, q_k)]) \mid i \in I\}$   
8:    $P \leftarrow \{q_i \mid i \in I\}$   
    $D \leftarrow D \setminus P$   
10:   $T \leftarrow (T \setminus \{\tau\}) \cup \{(w, \gamma, p) \in \delta \mid p \in P\}$   
   end while  
12:  $\Pi \leftarrow \{\{q \in Q \setminus D \mid \text{ht}(\text{sol}(q)) = i\} \mid i \geq 1\} \cup \{D\}$   
   return  $(\Pi, \text{sol}, D)$ 
```

Computing Signs of Life

Definition

Dead state $q \in Q$: there exists no sign of life of q

Notation

- ▶ r : maximal rank of input symbols
- ▶ m : number of transitions
- ▶ n : number of states

Computing Signs of Life

Definition

Dead state $q \in Q$: there exists no sign of life of q

Notation

- ▶ r : maximal rank of input symbols
- ▶ m : number of transitions
- ▶ n : number of states

Notes

- ▶ Algorithm runs in $O(rm)$
- ▶ Computes signs of life of size $\leq rn$
- ▶ Computes dead states

Stages

Definition

Stage (Π, sol, f, r) :

- (i) \equiv refinement of \equiv_{Π}
- (ii) $\text{sol}(F) = \{\square\}$
- (iii) for live q with $p = r([q])$

$$(\|M\|, \text{sol}(p)[q]) = f(q) \cdot (\|M\|, \text{sol}(p)[p])$$

Stages

Definition

Stable stage (Π, sol, f, r) :

- (i) \equiv refinement of \equiv_{Π}
- (ii) $\text{sol}(F) = \{\square\}$
- (iii) for live q with $p = r([q])$

$$(\|M\|, \text{sol}(p)[q]) = f(q) \cdot (\|M\|, \text{sol}(p)[p])$$

- (iv) \equiv_{Π} congruence
- (v) for symbol σ and context C with live $\delta_{\sigma}(C[q])$

$$f(q)^{-1} \cdot c_{\sigma}(C[q]) \cdot f(\delta_{\sigma}(C[q])) = c_{\sigma}(C[p]) \cdot f(\delta_{\sigma}(C[p]))$$

where $\delta_{\sigma}: Q^k \rightarrow Q$ and $c_{\sigma}: Q^k \rightarrow A$

Completing a Stage

Algorithm

```
 $f \leftarrow \emptyset$   
2:  $r \leftarrow \emptyset$   
    $\Pi \leftarrow \Pi \setminus \{D\}$   
4:  $\Pi' \leftarrow \{D\}$   
   while  $\Pi \neq \emptyset$  and  $\Pi \neq \{\emptyset\}$  do  
6:   let  $P \in \Pi$  and  $p \in P$   
      $P' \leftarrow \{q \in P \mid \delta(\text{sol}(p)[q]) \in F\}$   
8:    $f \leftarrow f \cup \{(q, c(\text{sol}(p)[q]) \cdot c(\text{sol}(p)[p])^{-1}) \mid q \in P'\}$   
      $r \leftarrow r \cup \{(P', p)\}$   
10:   $\Pi' \leftarrow \Pi' \cup \{P'\}$   
      $\Pi \leftarrow (\Pi \setminus \{P\}) \cup \{P \setminus P'\}$   
12: end while  
   return  $(\Pi', \text{sol}, f, r)$ 
```

Completing a Stage

Algorithm

```
 $f \leftarrow \emptyset$   
2:  $r \leftarrow \emptyset$   
    $\Pi \leftarrow \Pi \setminus \{D\}$   
4:  $\Pi' \leftarrow \{D\}$   
   while  $\Pi \neq \emptyset$  and  $\Pi \neq \{\emptyset\}$  do  
6:   let  $P \in \Pi$  and  $p \in P$   
      $P' \leftarrow \{q \in P \mid \delta(\text{sol}(p)[q]) \in F\}$   
8:    $f \leftarrow f \cup \{(q, c(\text{sol}(p)[q]) \cdot c(\text{sol}(p)[p])^{-1}) \mid q \in P'\}$   
      $r \leftarrow r \cup \{(P', p)\}$   
10:   $\Pi' \leftarrow \Pi' \cup \{P'\}$   
      $\Pi \leftarrow (\Pi \setminus \{P\}) \cup \{P \setminus P'\}$   
12: end while  
   return  $(\Pi', \text{sol}, f, r)$ 
```

Completing a Stage

Algorithm

```
 $f \leftarrow \emptyset$   
2:  $r \leftarrow \emptyset$   
    $\Pi \leftarrow \Pi \setminus \{D\}$   
4:  $\Pi' \leftarrow \{D\}$   
   while  $\Pi \neq \emptyset$  and  $\Pi \neq \{\emptyset\}$  do  
6:   let  $P \in \Pi$  and  $p \in P$   
      $P' \leftarrow \{q \in P \mid \delta(\text{sol}(p)[q]) \in F\}$   
8:    $f \leftarrow f \cup \{(q, c(\text{sol}(p)[q]) \cdot c(\text{sol}(p)[p])^{-1}) \mid q \in P'\}$   
      $r \leftarrow r \cup \{(P', p)\}$   
10:   $\Pi' \leftarrow \Pi' \cup \{P'\}$   
      $\Pi \leftarrow (\Pi \setminus \{P\}) \cup \{P \setminus P'\}$   
12: end while  
   return  $(\Pi', \text{sol}, f, r)$ 
```

Completing and Refining a Stage

Notes

- ▶ Algorithm runs in $O(rn^3)$
- ▶ Returns stage such that $\equiv_{\Pi'}$ is a refinement of \equiv_{Π}

Completing and Refining a Stage

Notes

- ▶ Algorithm runs in $O(rn^3)$
- ▶ Returns stage such that $\equiv_{\Pi'}$ is a refinement of \equiv_{Π}

Definition

Refinement of (Π, sol, f, r) : Partition Π' with $p \equiv_{\Pi'} q$ if

- (i) $p \equiv_{\Pi} q$
- (ii) $\delta_{\sigma}(C[p]) \equiv_{\Pi} \delta_{\sigma}(C[q])$
- (iii) if $\delta_{\sigma}(C[p])$ is live, then

$$f(p)^{-1} \cdot c_{\sigma}(C[p]) \cdot f(\delta_{\sigma}(C[p])) = f(q)^{-1} \cdot c_{\sigma}(C[q]) \cdot f(\delta_{\sigma}(C[q]))$$

for states p and q , symbol σ , and context C

Complete Algorithm

Algorithm

```
( $\Pi'$ , sol,  $D$ )  $\leftarrow$  COMPUTESOL( $M$ )  
2: repeat  
    ( $\Pi$ , sol,  $f$ ,  $r$ )  $\leftarrow$  COMPLETE( $M$ ,  $\Pi'$ , sol,  $D$ )  
4:    $\Pi' \leftarrow$  REFINE( $M$ ,  $\Pi$ , sol,  $f$ ,  $r$ ,  $D$ )  
    until  $\Pi' = \Pi$   
6: return minimized wta
```

Complete Algorithm

Algorithm

```
( $\Pi'$ , sol,  $D$ )  $\leftarrow$  COMPUTESOL( $M$ )
2: repeat
    ( $\Pi$ , sol,  $f$ ,  $r$ )  $\leftarrow$  COMPLETE( $M$ ,  $\Pi'$ , sol,  $D$ )
4:    $\Pi' \leftarrow$  REFINE( $M$ ,  $\Pi$ , sol,  $f$ ,  $r$ ,  $D$ )
    until  $\Pi' = \Pi$ 
6: return minimized wta
```

Notes

- ▶ Algorithm runs in $O(rmn^4)$
- ▶ Returns equivalent minimal deterministic wta

Table of Contents

Introduction

Weighted Tree Automata

Minimization Procedure

Some Experimental Results

Experiments

State Count

Original	Minimal	Reduction to
98	68	69%
394	308	78%
497	381	77%
727	515	71%
2701	1993	74%
3686	1766	48%

Experiments

State Count

Original	Minimal	Reduction to
98	68	69%
394	308	78%
497	381	77%
727	515	71%
2701	1993	74%
3686	1766	48%

State & Transition Count

Error	Original	Minimal	Reduction to
10^{-4}	(727, 6485)	(629, 6131)	(87%, 95%)
10^{-2}	(727, 6485)	(525, 3425)	(72%, 53%)

References



Björn Borchardt.

The Myhill-Nerode theorem for recognizable tree series.
In *Proc. 7th Int. Conf. Developments in Language Theory*,
volume 2710 of LNCS, pages 146–158. Springer, 2003.



Björn Borchardt and Heiko Vogler.

Determinization of finite state weighted tree automata.
J. Autom. Lang. Combin., 8(3):417–463, 2003.



Jonathan May and Kevin Knight.

A better n -best list: Practical determinization of weighted
finite tree automata.
In *Proc. HLT-NAACL. The Association for Computational
Linguistics*, 2006.

The End

Thank You!