



Learning Deterministically Recognizable Tree Series — Revisited

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00 Motivation

Goal

- Given $\psi: T_\Sigma \rightarrow A$ with $(A, +, \cdot, 0, 1)$ semifield
- Learn finite representation (here: deterministic wta) of ψ , if possible
- Access to ψ is granted by a certain form of teacher (oracle)

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Myhill-Nerode congruence

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01 Notation

Trees

- T_Σ : trees over ranked alphabet Σ
- C_Σ : contexts (trees with exactly one occurrence of \square) over Σ
- $\text{size}(t)$: number of nodes of a tree t

Tree series

- tree series: mapping of type $T_\Sigma \rightarrow A$
- we write (ψ, t) for $\psi(t)$ with $\psi : T_\Sigma \rightarrow A$
- $A\langle\langle T_\Sigma \rangle\rangle$: set of all mappings of type $T_\Sigma \rightarrow A$

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02 Syntax

Definition (Borchardt and Vogler '03)

$(Q, \Sigma, \mathcal{A}, \mu, F)$ is a weighted tree automaton (wta)

- Q is a finite nonempty set (states)
- Σ is a ranked alphabet (of input symbols)
- $\mathcal{A} = (A, +, \cdot, 0, 1)$ is a semifield (of weights)
- $\mu = (\mu_k)_{k \geq 0}$ with $\mu_k : \Sigma^{(k)} \rightarrow A^{Q^k \times Q}$ (called tree representation)
- $F \subseteq Q$ (final states)

Definition

wta $(Q, \Sigma, \mathcal{A}, \mu, F)$ is deterministic if for every $\sigma \in \Sigma^{(k)}$ and $w \in Q^k$ there exists at most one $q \in Q$ such that $\mu_k(\sigma)_{w,q} \neq 0$.

02 Example wta

$Q = \{S, VP, NP, NN, ADJ, VB\}$ and $F = \{S\}$

Alice $\xrightarrow{0.5}$ NN

Bob $\xrightarrow{0.5}$ NN

loves $\xrightarrow{0.5}$ VB

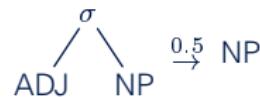
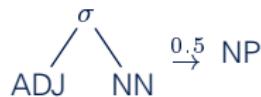
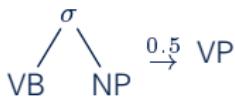
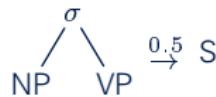
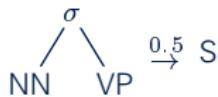
hates $\xrightarrow{0.5}$ VB

ugly $\xrightarrow{0.25}$ ADJ

nice $\xrightarrow{0.25}$ ADJ

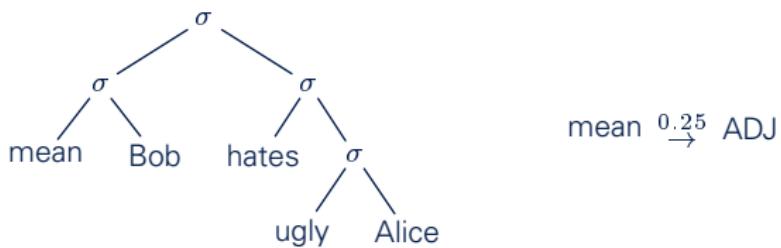
mean $\xrightarrow{0.25}$ ADJ

tall $\xrightarrow{0.25}$ ADJ



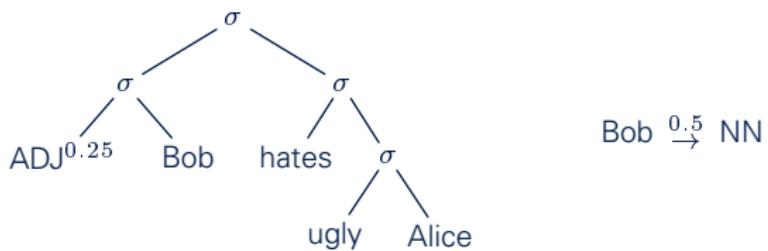
02 Computation using wta

Example



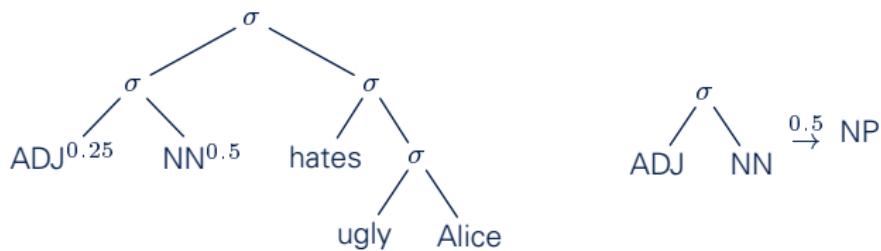
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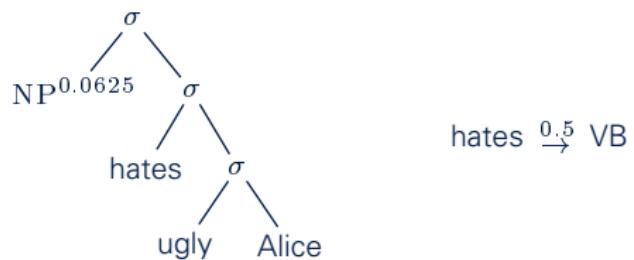
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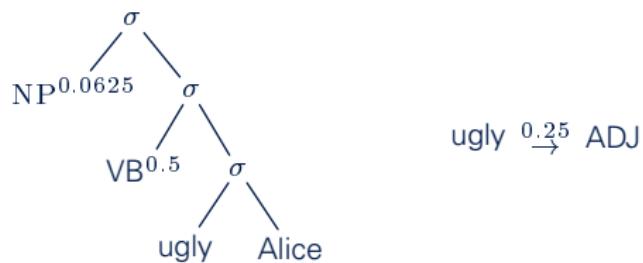
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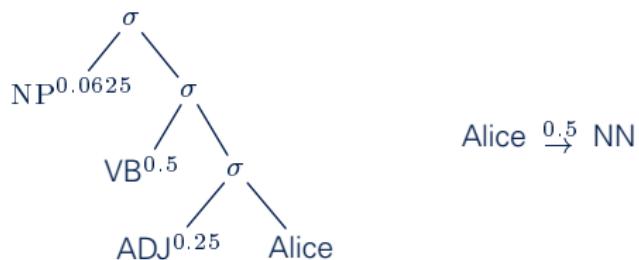
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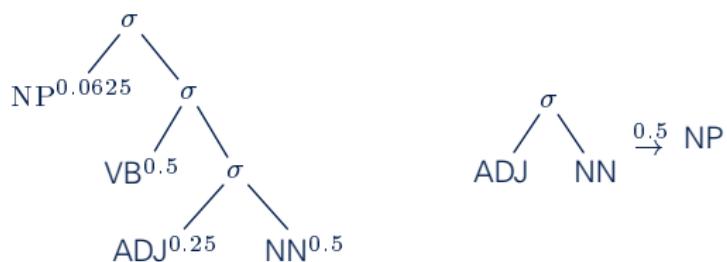
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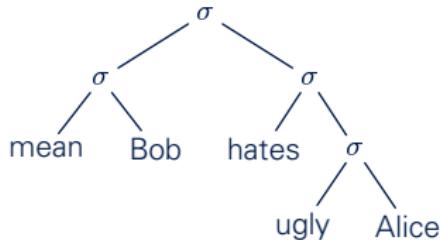


02 Computation using wta

Example

$S^{0.000244140625}$

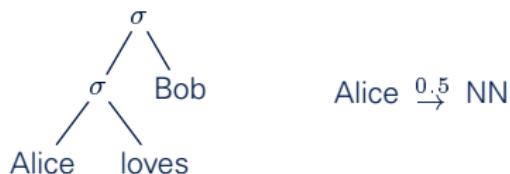
So the tree



is accepted with weight 0.000244140625 .

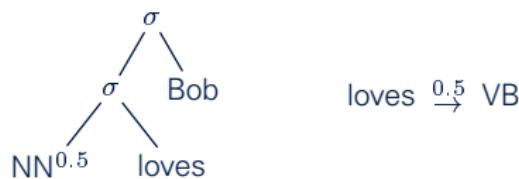
02 Computation using wta (cont'd)

Example



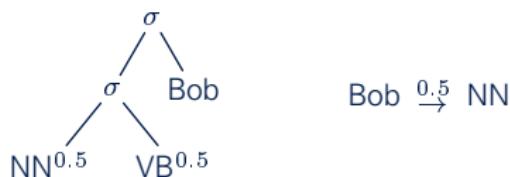
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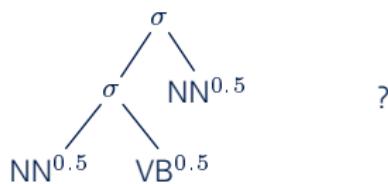
02 Computation using wta (cont'd)

Example



02 Computation using wta (cont'd)

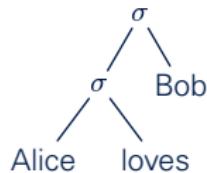
Example



02 Computation using wta (cont'd)

Example

So the tree



is rejected (accepted with weight 0).

02 Deterministically recognizable

Definition

A tree series $\psi \in A\langle\!\langle T_\Sigma \rangle\!\rangle$ is **deterministically recognizable** if there exists a deterministic wta accepting ψ .

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03 Definition

In the sequel, let $\psi \in A\langle\langle T_\Sigma \rangle\rangle$ with $A = (A, +, \cdot, 0, 1)$ a semifield.

Definition (Borchardt '03)

Two trees $t, u \in T_\Sigma$ are equivalent if there exists $a \in A \setminus \{0\}$ such that for every context $c \in C_\Sigma$

$$a \cdot (\psi, c[t]) = (\psi, c[u]) .$$

This equivalence relation is denoted by \equiv .

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Example

Let $\psi = \text{size}$ and $\mathcal{A} = (\mathbb{Z} \cup \{\infty\}, \min, +, \infty, 0)$. Then $t \equiv u$ for every $t, u \in T_\Sigma$ because with $a = \text{size}(u) - \text{size}(t)$

$$a + \text{size}(c[t]) = a + \text{size}(c) - 1 + \text{size}(t) = \text{size}(u) + \text{size}(c) - 1 = \text{size}(c[u])$$

03 Myhill-Nerode theorem

Lemma (Borchardt '03)
 \equiv is a congruence on (T_Σ, Σ) .

03 Myhill-Nerode theorem

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\equiv is a congruence on (T_Σ, Σ) .

Theorem (Borchardt '03)

The following are equivalent:

- ψ is deterministically recognizable.
- \equiv has finite index.

Note: The implementation of \equiv yields a minimal deterministic wta accepting ψ .

03 Approximating the Myhill-Nerode relation

Definition

Let $C \subseteq C_\Sigma$. Two trees $t, u \in T_\Sigma$ are C -equivalent if there exists $a \in A \setminus \{0\}$ such that for every context $c \in C$

$$a \cdot (\psi, c[t]) = (\psi, c[u]) .$$

The C -equivalence relation is denoted by \equiv_C .

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Lemma

- \equiv and \equiv_{C_Σ} coincide.
- If \equiv has finite index, then there exists finite $C \subseteq C_\Sigma$ such that \equiv and \equiv_C coincide.

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04 Supervised learning

Goal

Learn a small $C \subseteq C_\Sigma$ such that \equiv and \equiv_C coincide.

Definition (Drewes and Vogler '07)

A maximally adequate teacher answers two types of queries:

- Coefficient query: Given $t \in T_\Sigma$ the teacher supplies (ψ, t) .
- Equivalence query: Given wta M the teacher supplies either
 - \perp if $S(M) = \psi$; or
 - some $t \in T_\Sigma$ such that $(S(M), t) \neq (\psi, t)$.

04 Main data structure

Definition

(E, T, C) is an observation table if

- E and T are finite subsets of T_Σ ; C is a finite subset of C_Σ
- $E \subseteq T \subseteq \Sigma(E) = \{\sigma(t_1, \dots, t_k) \mid \sigma \in \Sigma^{(k)}, t_1, \dots, t_k \in E\}$
- $\square \in C$
- $T \cap L_C = \emptyset$ where $L_C = \{t \in T_\Sigma \mid \forall c \in C: (\psi, c[t]) = 0\}$
- $\text{card}(E) = \text{card}(E / \equiv_C)$

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$T \cap L_C = \emptyset$ means: "No dead states"

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04 Main data structure

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(E, T, C) is an **observation table** if

- E and T are finite subsets of T_Σ ; C is a finite subset of C_Σ
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- $T \cap L_C = \emptyset$ where $L_C = \{t \in T_\Sigma \mid \forall c \in C: (\psi, c[t]) = 0\}$
- $\text{card}(E) = \text{card}(E / \equiv_C)$

Definition

observation table (E, T, C) **complete** if $\text{card}(E) = \text{card}(T / \equiv_C)$.

04 Completing an observation table

Algorithm: COMPLETE

Require: an observation table (E, T, C)

Ensure: return a complete observation table (E', T, C) such that $E \subseteq E'$

```
for all  $t \in T$  do
2:   if  $t \not\equiv_C e$  for every  $e \in E$  then
         $E \leftarrow E \cup \{t\}$ 
4: return  $(E, T, C)$ 
```

04 Construction of the wta

Definition

Let $\mathcal{T} = (E, T, C)$ complete observation table. Construct $(Q, \Sigma, \mathcal{A}, \mu, F)$

- $Q = E$
- $F = \{e \in E \mid (\psi, e) \neq 0\}$
- for every $\sigma \in \Sigma_k$ and $e_1, \dots, e_k \in E$ such that $t = \sigma(e_1, \dots, e_k) \in T$

$$\mu_k(\sigma)_{e_1 \dots e_k, T(t)} = (\psi, t) \cdot \prod_{i=1}^k (\psi, e_i)^{-1}$$

- all remaining entries are 0

04 Construction of the wta

Definition

Let $\mathcal{T} = (E, T, C)$ complete observation table. Construct $(Q, \Sigma, \mathcal{A}, \mu, F)$

- $Q = E$
- $F = \{e \in E \mid (\psi, e) \neq 0\}$
- for every $\sigma \in \Sigma_k$ and $e_1, \dots, e_k \in E$ such that $t = \sigma(e_1, \dots, e_k) \in T$

$$\mu_k(\sigma)_{e_1 \dots e_k, \mathcal{T}(t)} = (\psi(\mathcal{T}), t) \cdot \prod_{i=1}^k (\psi(\mathcal{T}), e_i)^{-1}$$

- all remaining entries are 0

04 Construction of the wta (cont'd)

Definition

Let $\mathcal{T} = (E, T, C)$ complete observation table. Define $\psi(\mathcal{T}) : T_\Sigma \rightarrow A \setminus \{0\}$ by

- if $(\psi, t) \neq 0$

$$(\psi(\mathcal{T}), t) = (\psi, t)$$

04 Construction of the wta (cont'd)

Definition

Let $\mathcal{T} = (E, T, C)$ complete observation table. Define $\psi(\mathcal{T}) : T_\Sigma \rightarrow A \setminus \{0\}$ by

- if $(\psi, t) \neq 0$

$$(\psi(\mathcal{T}), t) = (\psi, t)$$

- if $(\psi, t) = 0$ and $t \in T$, then let $c \in C$ be such that $(\psi, c[t]) \neq 0$

$$(\psi(\mathcal{T}), t) = (\psi, c[t]) \cdot (\psi, c[\mathcal{T}(t)])^{-1}$$

04 Construction of the wta (cont'd)

Definition

Let $\mathcal{T} = (E, T, C)$ complete observation table. Define $\psi(\mathcal{T}) : T_\Sigma \rightarrow A \setminus \{0\}$ by

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$$(\psi(\mathcal{T}), t) = (\psi, t)$$

- if $(\psi, t) = 0$ and $t \in T$, then let $c \in C$ be such that $(\psi, c[t]) \neq 0$

$$(\psi(\mathcal{T}), t) = (\psi, c[t]) \cdot (\psi, c[\mathcal{T}(t)])^{-1}$$

- All remaining entries are 1

04 The outer structure

Algorithm: MAIN

```
 $\mathcal{T} \leftarrow (\emptyset, \emptyset, \{\square\})$  {initial observation table}
2: loop
    $M \leftarrow \mathcal{M}(\mathcal{T})$  {construct new wta}
4:    $t \leftarrow \text{EQUAL?}(M)$  {ask equivalence query}
      if  $t = \perp$  then
6:       return  $M$  {return the approved wta}
      else
8:        $\mathcal{T} \leftarrow \text{EXTEND}(\mathcal{T}, t)$  {extend the observation table}
```

04 The workhorse: EXTEND

Algorithm: EXTEND

Require: a complete observation table $\mathcal{T} = (E, T, C)$ and a counterexample $t \in T_{\Sigma}$

Ensure: return a complete observation table $\mathcal{T}' = (E', T', C')$
such that $E \subseteq E'$ and $T \subseteq T'$ and one inclusion is strict

Decompose t into $t = c[u]$ where $c \in C_\Sigma$ and $u \in \Sigma(E) \setminus E$

```

2: if  $u \in T$  and  $u \equiv_{C \cup \{c\}} \mathcal{T}(u)$  then
   return EXTEND( $\mathcal{T}, c[\mathcal{T}(u)]$ ) {normalize and continue}
4: else
   return COMPLETE( $E, T \cup \{u\}, C \cup \{c\}$ ) {add  $u$  and  $c$  to table}

```

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05 Input wta

$Q = \{S, VP, NP, NN, ADJ, VB\}$ and $F = \{S\}$

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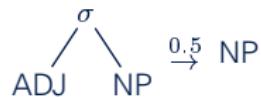
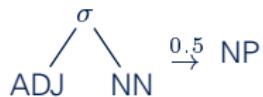
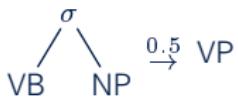
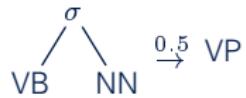
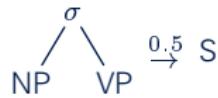
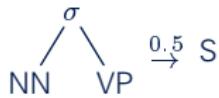
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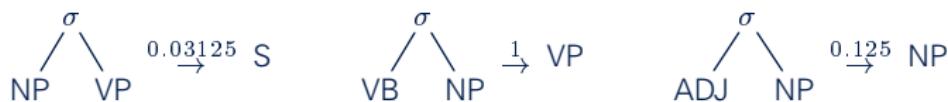


05 Learned wta

$Q = \{\text{NP}, \text{VB}, \text{VP}, \text{S}, \text{ADJ}\}$ and $F = \{\text{S}\}$

Alice $\xrightarrow{1} \text{NP}$ Bob $\xrightarrow{1} \text{NP}$ loves $\xrightarrow{1} \text{VB}$ hates $\xrightarrow{1} \text{VB}$

ugly $\xrightarrow{1} \text{ADJ}$ nice $\xrightarrow{1} \text{ADJ}$ mean $\xrightarrow{1} \text{ADJ}$ tall $\xrightarrow{1} \text{ADJ}$



05 Thank you for your attention!

References

- Borchardt, Vogler: Determinization of finite state weighted tree automata. *J. Autom. Lang. Combin.* 8(3):417–463, 2003
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- Drewes, Vogler: Learning deterministically recognizable tree series. *J. Autom. Lang. Combin.*, to appear, 2007