

# Expressive Power of Syntax-based Machine Translation Formalisms

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Stuttgart — December 8, 2015

## Review Translation [by Google Translate]

- 1 The room it is not narrowly was a simple, bathtub was also attached.
- 2 Wi-fi, TV and I was available.
- 3 Church looked When morning awake open the curtain.
- 4 When looking at often, wives, went out and is invited to try to go [...].
- 5 But was a little cold, morning walks was good.

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- 5 But was a little cold, morning walks was good.

## Original [Japanese — © tripadvisor]

- 1 部屋もシンプルでしたが狭くなく、バスタブもついていました。
- 2 Wi-fi、テレビも利用出来ました。
- 3 朝起きてカーテンを開けると教会が見えました。
- 4 しばし眺めていると、妻たちは、[...]るから行こうとさそわれ出かけました。
- 5 ちょっと寒かったけれど、朝の散策はグッドでしたよ。

## Speech transcript



Okay, what is your name?



Abdul.



And your last name?



Al Farran.

# Machine Translation

## Speech transcript



Okay, what is your name?



Abdul.



And your last name?



Al Farran.

## Speech translation [undisclosed system; most likely phrase-based]




Okay, what's your name?




milk a mechanic and I am here I mean yes

Text taken from [Jones, Shen, Herzog: Machine translation for government applications. *Lincoln Laboratory Journal* 18(1), 2009]  
Icons by Rion (Wikipedia) and Ivlichev Viktor Petrovich (<http://www.aha-soft.com/>)

## Speech transcript


 Okay, what is your name?


 Abdul.


 And your last name?


 Al Farran.

## Speech translation [undisclosed system; most likely phrase-based]

 Okay, what's your name?

 milk a mechanic and I am here I mean yes

 What is your last name?

 every two weeks my son's name is ismail

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## Timeline

### 1960 — Dark age

- rule-based systems (e.g.,  SYSTRAN)
- Chomskyan approach (perfect translation, poor coverage)

### 1991 — Reformation

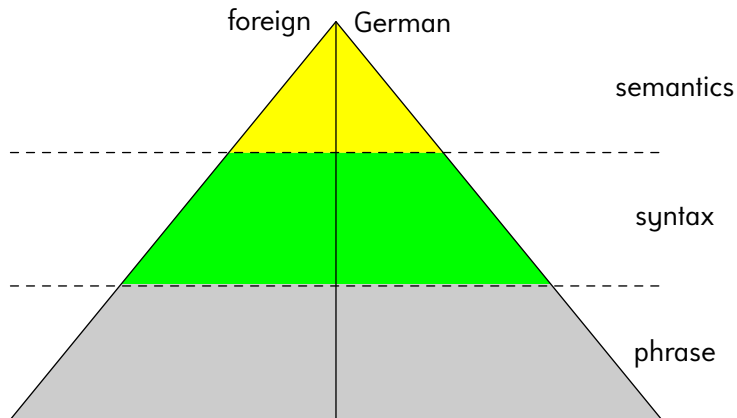
- phrase-based and syntax-based systems
- statistical approach (cheap, automatically trained)

### 2015 — Potential future

- semantics-based systems (e.g., FrameNet-based)
- semi-supervised, statistical approach
- basic understanding of (translated) text

# Machine Translation

Vauquois triangle:

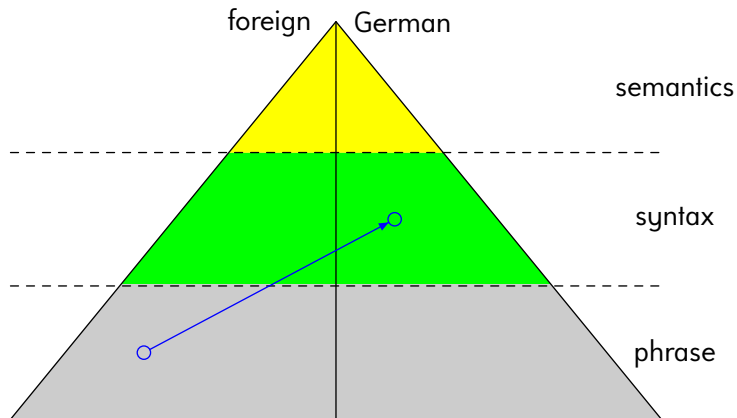


Translation model:



# Machine Translation

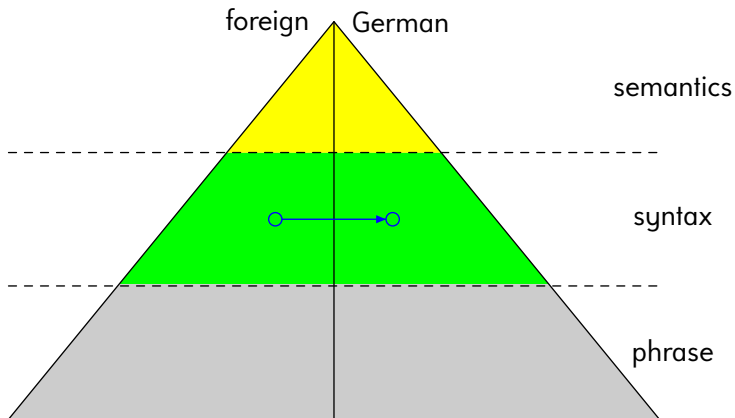
Vauquois triangle:



Translation model: [string-to-tree](#)

# Machine Translation

Vauquois triangle:



Translation model: [tree-to-tree](#)

## Training data

- parallel corpus
- word alignments
- parse trees

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- parallel corpus
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- parse trees

## Parallel Corpus

linguistic resource containing (sentence-by-sentence) example translations

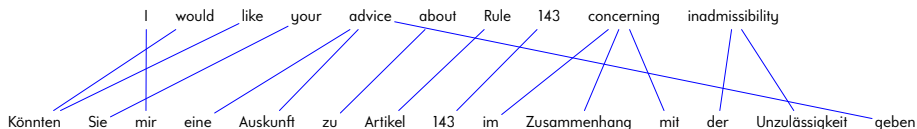
parallel corpus, word alignments, parse tree

I would like your advice about Rule 143 concerning inadmissibility

Könnten Sie mir eine Auskunft zu Artikel 143 im Zusammenhang mit der Unzulässigkeit geben

# Machine Translation

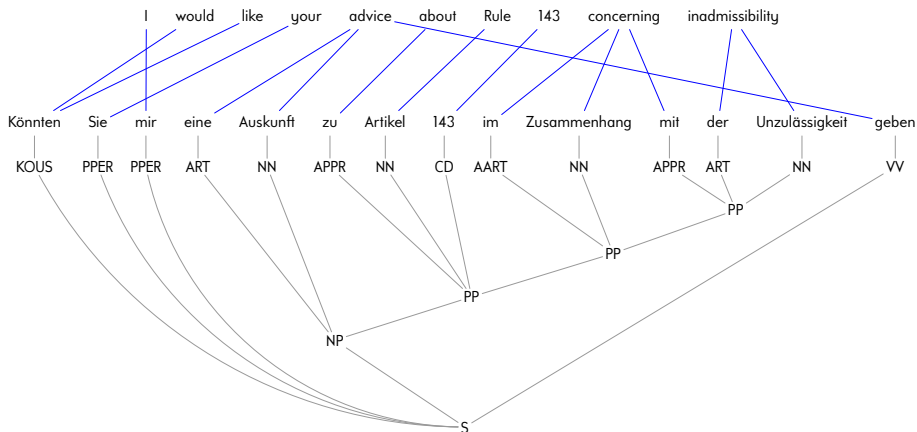
parallel corpus, **word alignments**, parse tree



via GIZA++ [Och, Ney: A systematic comparison of various statistical alignment models. *Computational Linguistics* 29(1), 2003]

# Machine Translation

parallel corpus, word alignments, **parse tree**

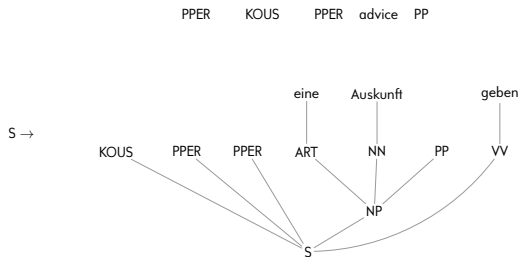


via Berkeley parser [Petrov, Barrett, Thibaux, Klein: Learning accurate, compact, and interpretable tree annotation. *Proc. ACL*, 2006]

# Synchronous Grammars

**Synchronous tree substitution grammar:** productions  $N \rightarrow (r, r_1)$

- nonterminal  $N$
- right-hand side  $r$  of context-free grammar production
- right-hand side  $r_1$  of tree substitution grammar production



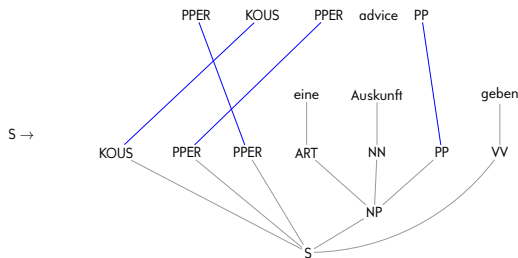
variant of [M., Graehl, Hopkins, Knight: The power of extended top-down tree transducers. *SIAM Journal on Computing* 39(2), 2009]



# Synchronous Grammars

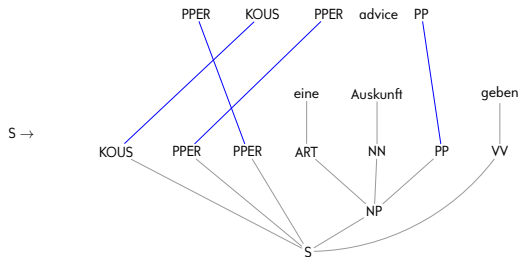
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- nonterminal  $N$
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- (bijective) synchronization of nonterminals



variant of [M., Graehl, Hopkins, Knight: The power of extended top-down tree transducers. *SIAM Journal on Computing* 39(2), 2009]

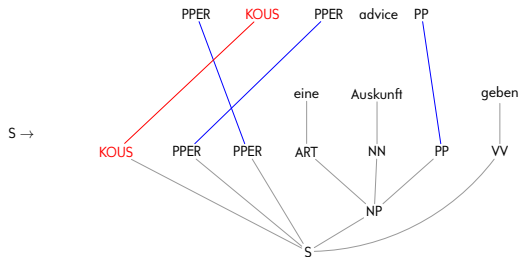
# Synchronous Grammars



## Production application

- 1 Selection of synchronous nonterminals

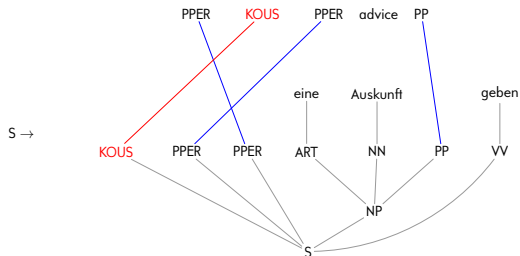
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# Synchronous Grammars



## Production application

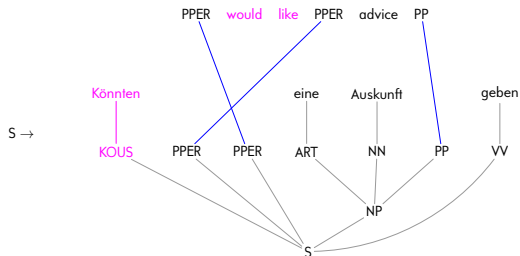
- 1 Selection of synchronous nonterminals
- 2 Selection of suitable production

KOUS →

would like

Könnten  
KOUS

# Synchronous Grammars



## Production application

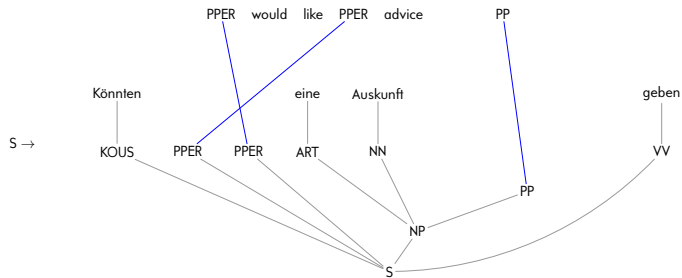
- 1 Selection of synchronous nonterminals
- 2 Selection of suitable production
- 3 Replacement on both sides

KOU5 →

would like

Könnten  
KOU5

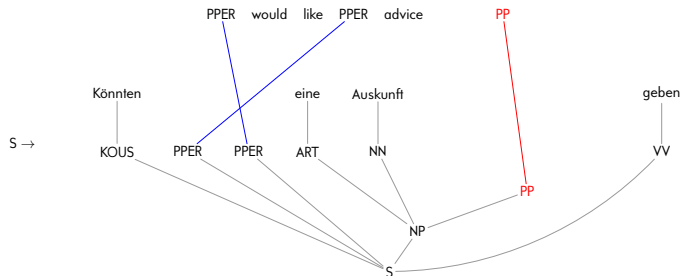
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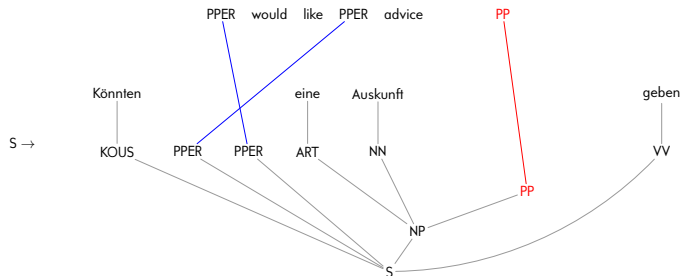
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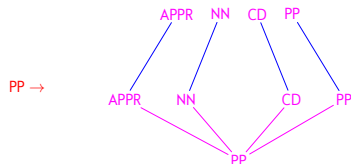
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# Synchronous Grammars



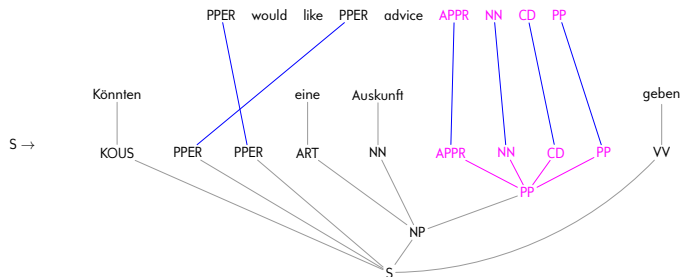
## Production application

- 1 synchronous nonterminals
- 2 suitable production



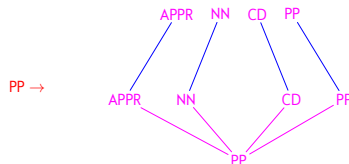


# Synchronous Grammars

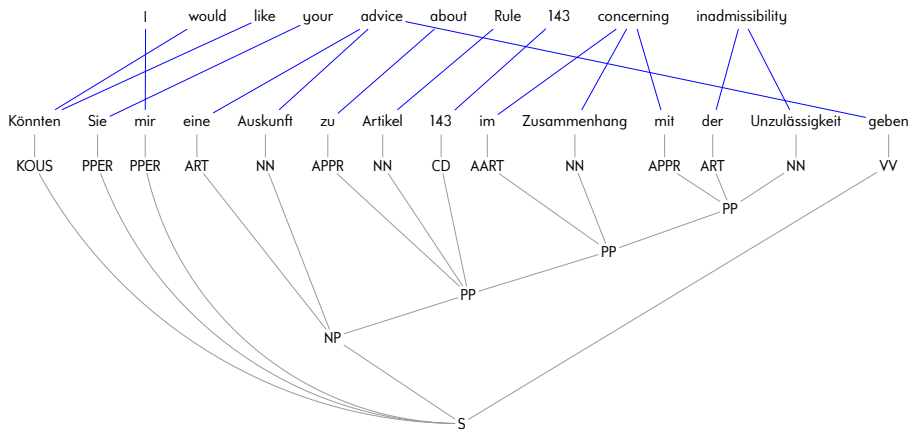


## Production application

- 1 synchronous nonterminals
- 2 suitable production
- 3 replacement



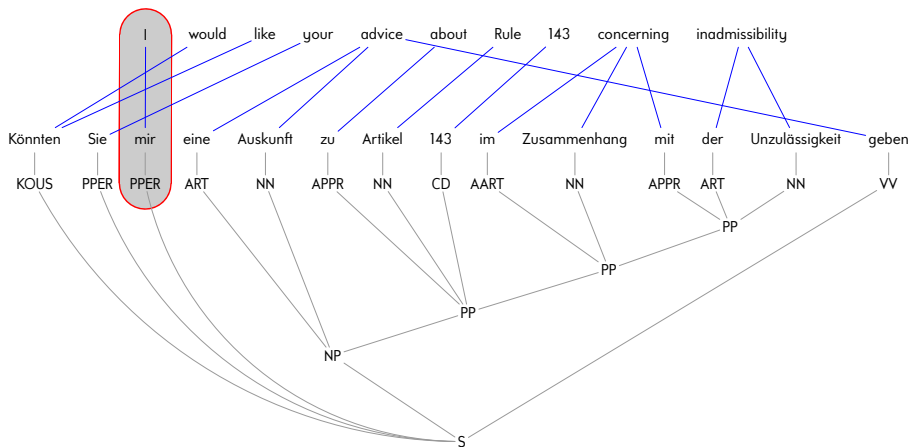
# Production Extraction



following [Galley, Hopkins, Knight, Marcu: What's in a translation rule? *Proc. NAACL*, 2004]

# Production Extraction

(extractable productions marked in red)

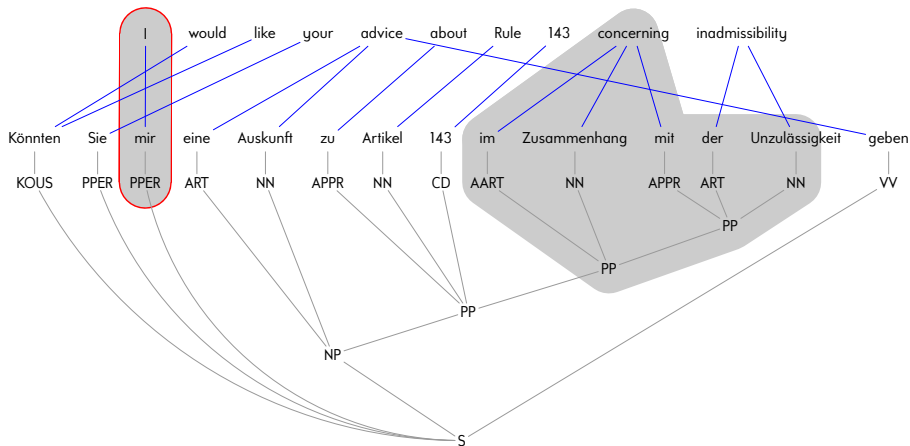


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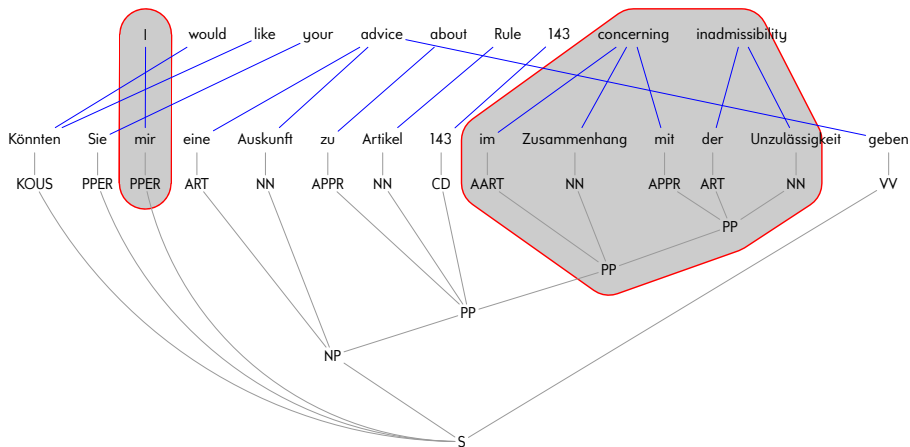


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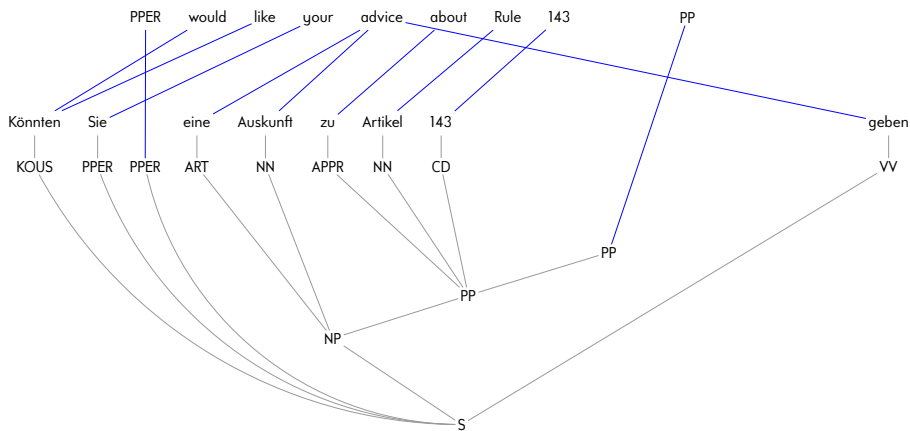
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## Removal of extractable production:



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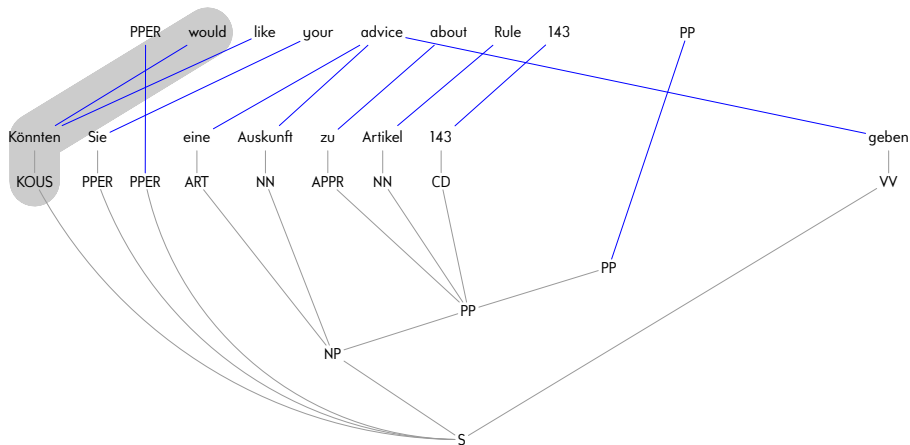






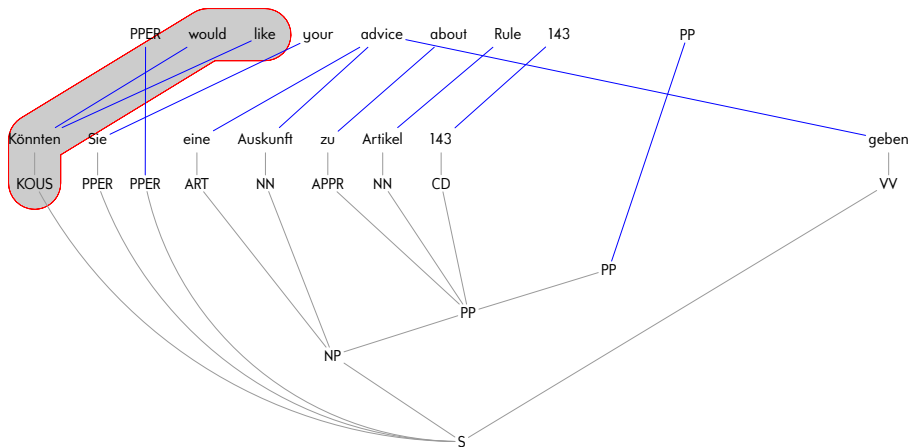
# Production Extraction

Repeated production extraction:



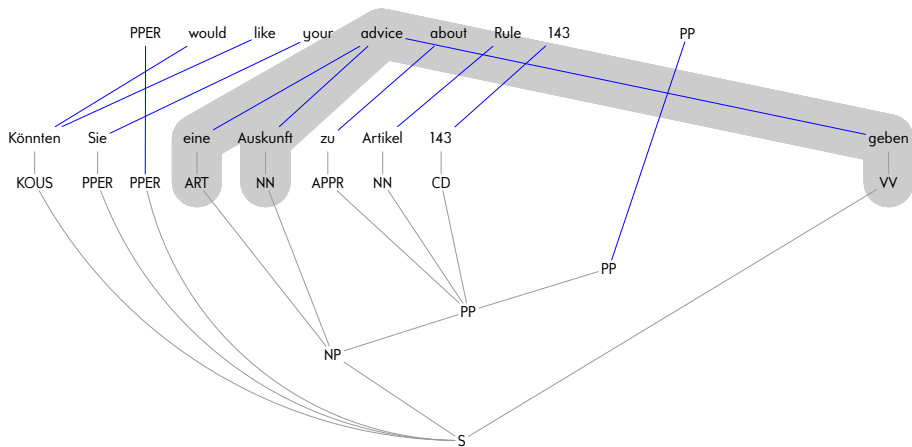
# Production Extraction

Repeated production extraction: (extractable productions marked in red)



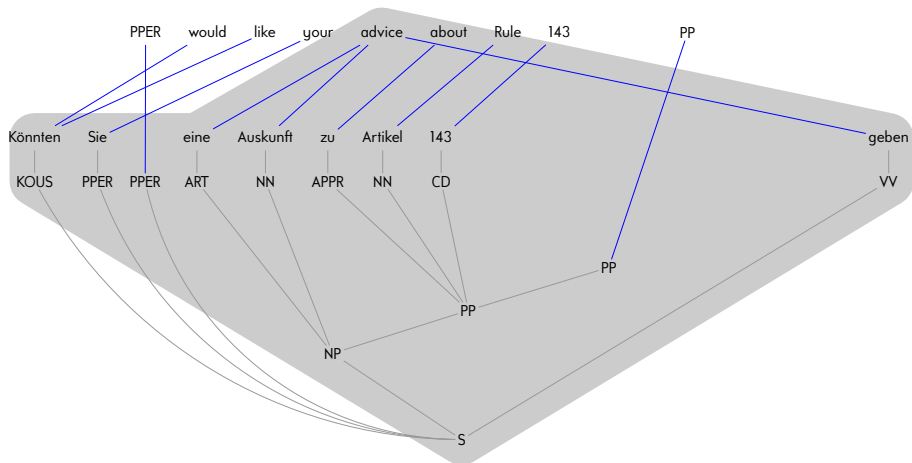
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Repeated production extraction: (extractable productions marked in red)



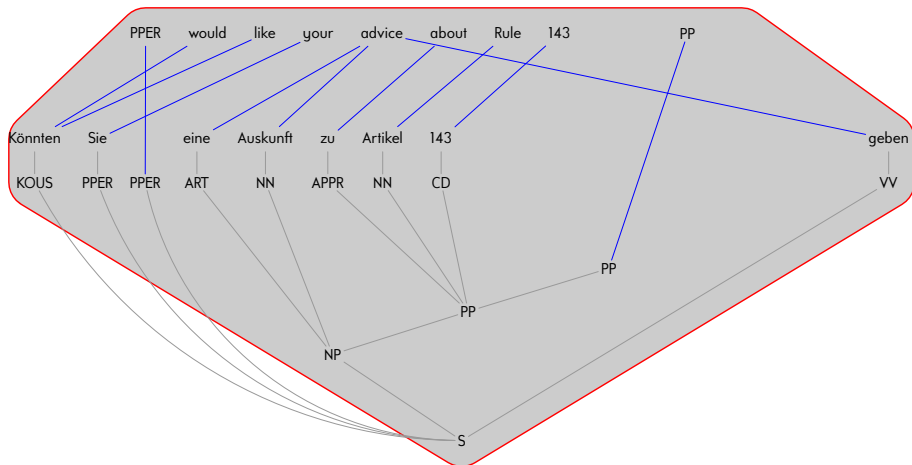
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## Advantages

- very simple
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## Disadvantages

- problems with discontinuities
- composition and binarization not possible  
[M., Graehl, Hopkins, Knight: The power of extended top-down tree transducers. *SIAM Journal on Computing* 39(2), 2009]  
[Zhang, Huang, Gildea, Knight: Synchronous Binarization for Machine Translation. *Proc. NAACL*, 2006]
- “context-free”



English → German translation task:

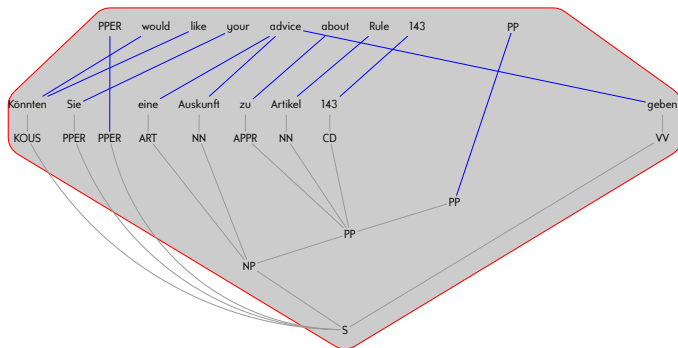
(higher BLEU is better)

Type	System	BLEU [vanilla]	BLEU [competition]
string-to-string	phrase-based	16.7	20.3
	hierarchical	17.0	—
string-to-tree	STSG	15.2	—
	STSG [GHKM]	17.1	19.4
tree-to-tree	STSG	14.5	—

from [Seemann, Braune, M.: A systematic evaluation of MBOT in statistical machine translation. *Proc. MT-Summit*, 2015]  
and [Bojar et al.: Findings of the 2013 workshop on statistical machine translation. *Proc. WMT*, 2013]

- 1 Background
- 2 Extending the Expressive Power
- 3 Investigating their Expressive Power

# Production Extraction



- very specific production
- every production for 'advice' contains sentence structure  
(syntax "in the way")

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variant of [M: Why synchronous tree substitution grammars?. *Proc. NAACL*, 2010]

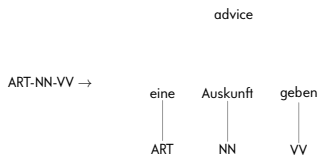
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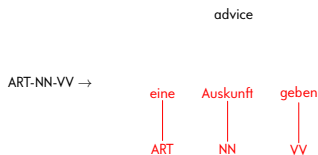


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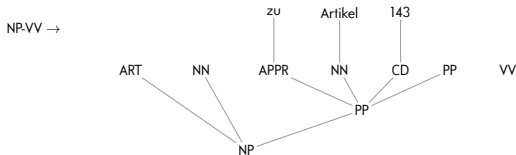
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ART-NN-VV about Rule 143 PP

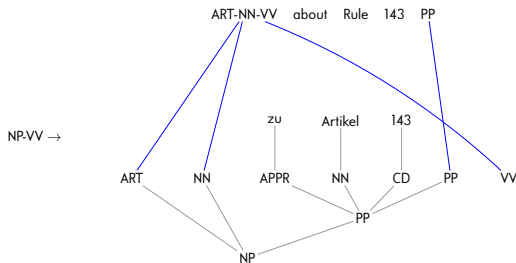


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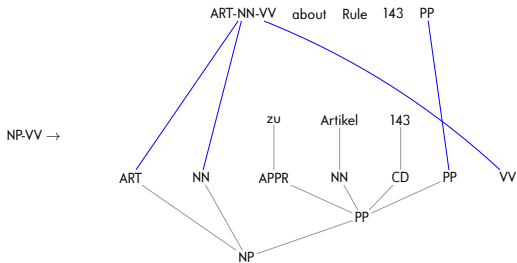
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- nonterminal  $N$
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- synchronization via map NT  $r_1, \dots, r_n$  to NT  $r$





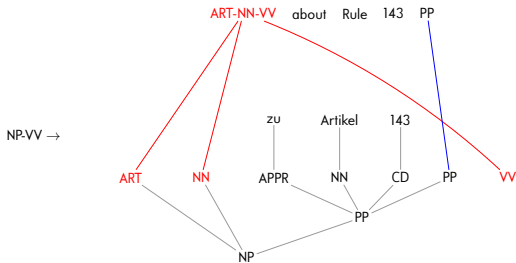
# Synchronous Grammars



## Production application

- 1 synchronous nonterminals

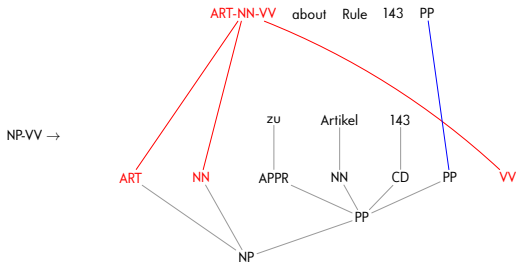
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## Production application

- 1 synchronous nonterminals

# Synchronous Grammars



## Production application

- 1 synchronous nonterminals
- 2 suitable production

ART-NN-VV →

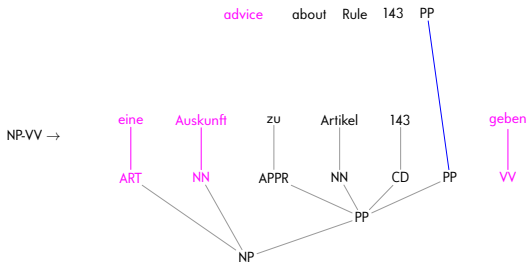
eine  
|  
ART

Auskunft  
|  
NN

geben  
|  
VV

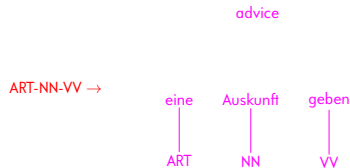
advice

# Synchronous Grammars

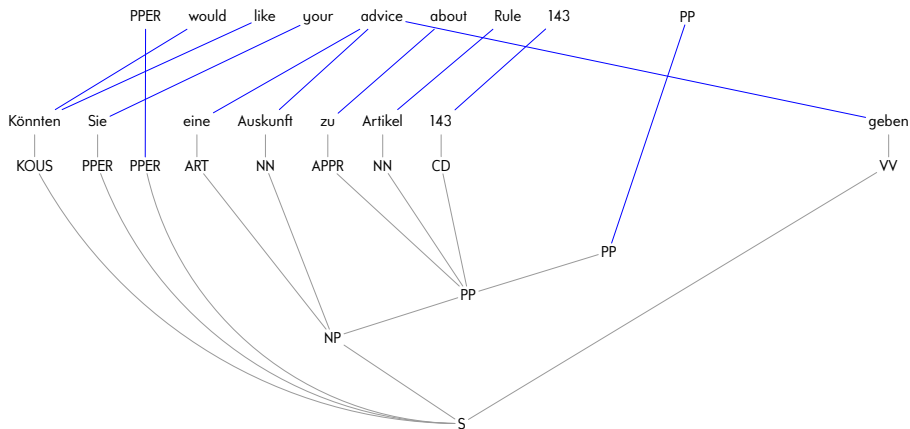


## Production application

- 1 synchronous nonterminals
- 2 suitable production
- 3 replacement

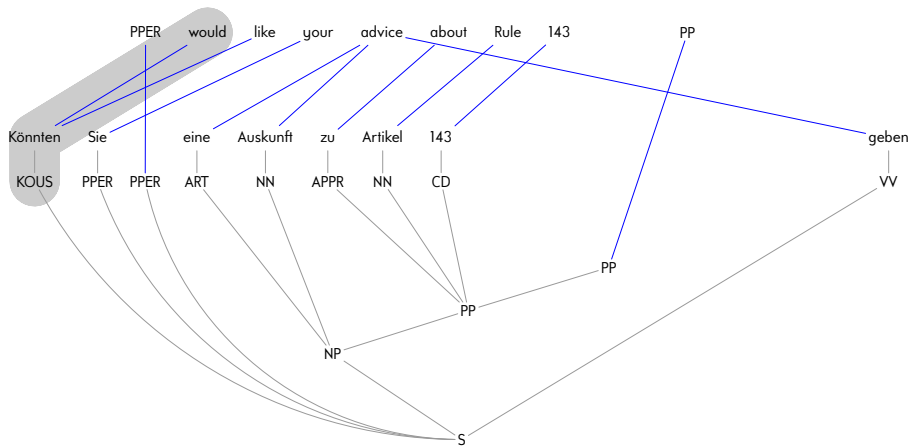


# Production Extraction



variant of [M.: How to train your multi bottom-up tree transducer. *Proc. ACL*, 2011]

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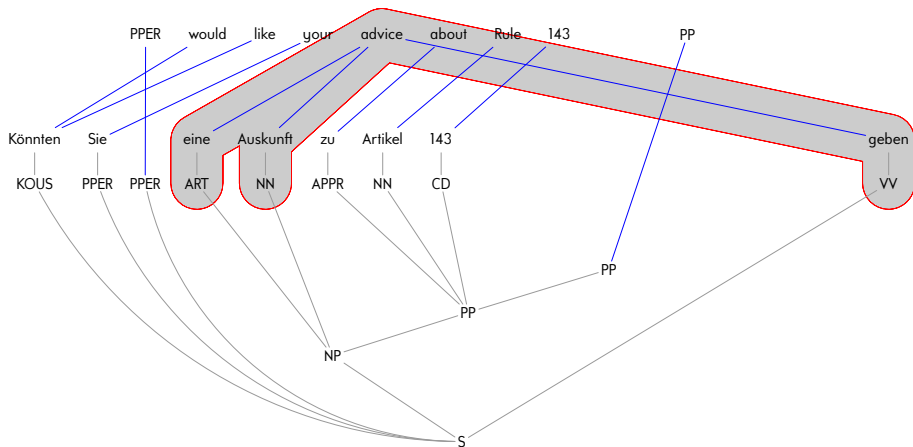


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# Production Extraction

(extractable productions marked in red)



variant of [M.: How to train your multi bottom-up tree transducer. *Proc. ACL*, 2011]



## Advantages

- complicated discontinuities
- implemented in framework 'Moses'
- binarizable, composable

[Braune, Seemann, Quernheim, M.: Shallow local multi bottom-up tree transducers in SMT. *Proc. ACL*, 2013]

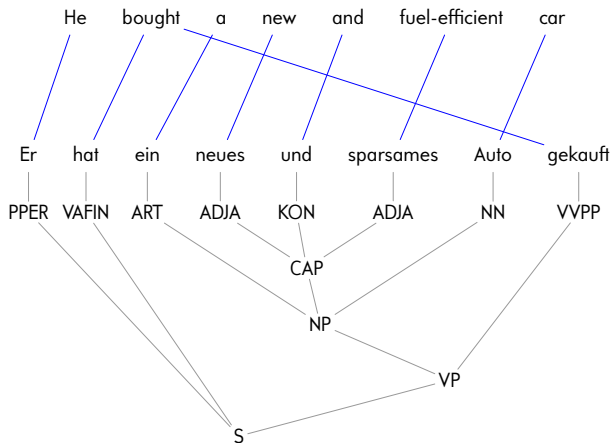
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## Disadvantages

- output non-regular (trees) or non-context-free (strings)
- not symmetric (input context-free; output not)

# Discontinuity



<b>Task</b>	<b>System</b>	<b>BLEU</b>
English → German	STSG	15.2
	SMTSG	*15.9
English → Arabic	STSG	48.3
	SMTSG	*49.1
English → Chinese	STSG	17.7
	SMTSG	*18.4
English → Polish	STSG	21.3
	SMTSG	*23.4
English → Russian	STSG	24.7
	SMTSG	*26.1

from [Seemann, Braune, M.: A systematic evaluation of MBOT in statistical machine translation. *Proc. MT-Summit*, 2015]  
and [Seemann, M.: Discontinuous statistical machine translation with target-side dependency syntax. *Proc. WMT*, 2015]

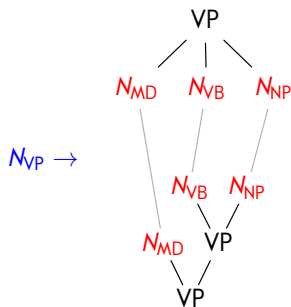
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# Synchronous Grammars

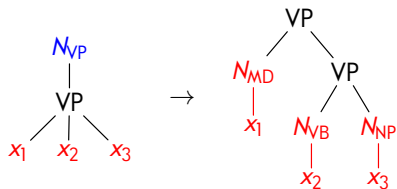
## Notes

- tree-to-tree models easier for theoretical investigation
- strongly related to tree transducers
- we disallow trivial input sides of just a nonterminal ( $\epsilon$ -free)

Synchronous grammar:



Tree transducer:



# Synchronous Grammars

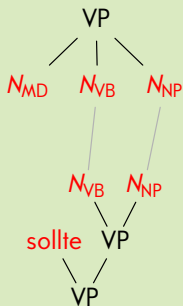
Major linear tree transducers:

synchronization	bijjective	injective
input sides		(output $\rightarrow$ input)
<b>shallow</b>	nondeleting top-down ...	top-down ...
<b>general</b>	nondeleting extended ...	extended ...

## Further distinction

- allow productions on disconnected input nonterminals  
→ regular look-ahead
- allow arbitrary trees for disconnected input nonterminals  
→ no look-ahead

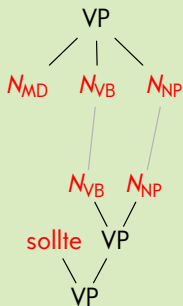
## Illustration



- **no look-ahead:** can plug any (terminal) tree for  $N_{MD}$  [e.g., NP(DT(the), NN(tower))]

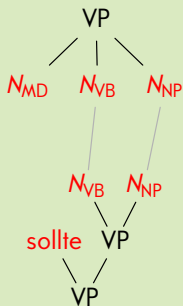


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[e.g., NP(DT(the), NN(tower))]
- **regular look-ahead:** use special “no-output”-productions  $N \rightarrow (r)$   
[e.g.,  $N_{MD} \rightarrow (MD(\text{should}))$ ]

## Illustration



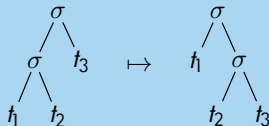
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[e.g.,  $NP(DT(the), NN(tower))$ ]
- **regular look-ahead:** use special “no-output”-productions  $N \rightarrow (r)$   
[e.g.,  $N_{MD} \rightarrow (MD(should))$ ]
- SMTSG always have regular look-ahead (any number of components includes 0)

## Evaluation Criteria



rotations implementable?

(for arbitrary  $t_1, t_2, t_3$ )



symmetric?



domain regular?



range regular?



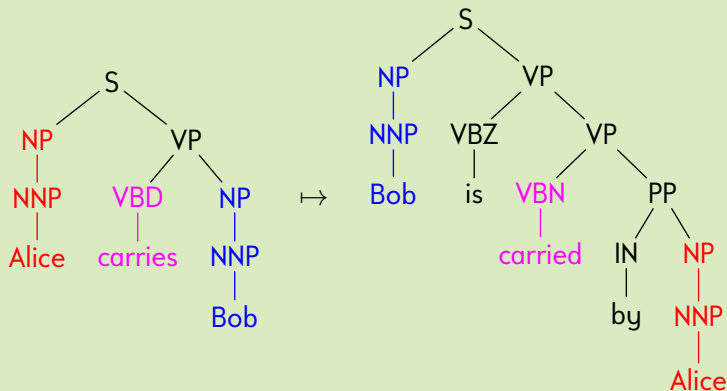
closed under composition?

following [Knight: Capturing practical natural language transformations. *Machine Translation* 21(2), 2007]  
and [May, Knight, Vogler: Efficient inference through cascades of weighted tree transducers. *Proc. ACL*, 2010]

Icons by interactivemania (<http://www.interactivemania.com/>) and UN Office for the Coordination of Humanitarian Affairs

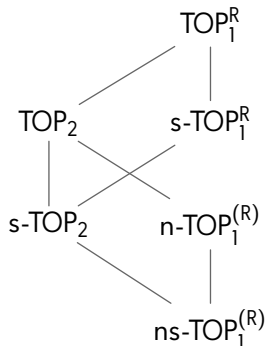
# Synchronous Grammars

## Illustration of rotations








# Top-down Tree Transducer

Hasse diagram with composition closure indicated in subscript:

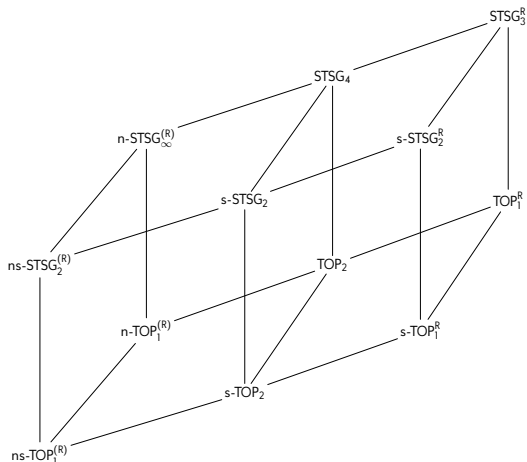


# Top-down Tree Transducer

Model \ Criterion					
ns-TOP	X	X	✓	✓	✓
n-TOP	X	X	✓	✓	✓
s-TOP	X	X	✓	✓	X <sub>2</sub>
s-TOP <sup>R</sup>	X	X	✓	✓	✓
TOP	X	X	✓	✓	X <sub>2</sub>
TOP <sup>R</sup>	X	X	✓	✓	✓

# Synchronous Tree Substitution Grammars






Hasse diagram with the composition closure indicated in subscript:



composition closures by

[Engelfriet, Fülöp, M.: Composition closure of linear extended top-down tree transducers. *Theory of Computing Systems*, to appear 2015]

# Synchronous Tree Substitution Grammars

Model \ Criterion					
n-TOP	X	X	✓	✓	✓
TOP	X	X	✓	✓	X <sub>2</sub>
TOP <sup>R</sup>	X	X	✓	✓	✓
ns-STSG	✓	✓	✓	✓	X <sub>2</sub>
n-STSG	✓	X	✓	✓	X <sub>∞</sub>
s-STSG <sup>(R)</sup>	✓	X	✓	✓	X <sub>2</sub>
STSG	✓	X	✓	✓	X <sub>4</sub>
STSG <sup>R</sup>	✓	X	✓	✓	X <sub>3</sub>



## Advantages of SMTSG

- always have regular look-ahead
- can always be made nondeleting & shallow
- closed under composition

[Engelfriet, Liliu, M.: Extended multi bottom-up tree transducers — composition and decomposition. *Acta Informatica* 46(8), 2009]

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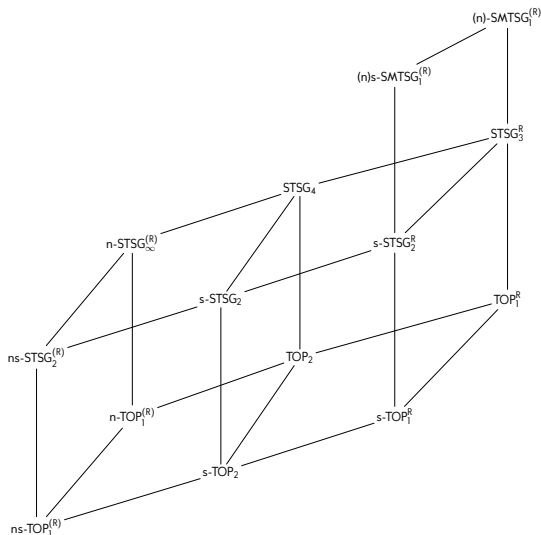
## Disadvantages of SMTSG

- non-regular range






[Engelfriet, Liliu, M.: Extended multi bottom-up tree transducers — composition and decomposition. *Acta Informatica* 46(8), 2009]

# Synchronous Multi Tree Substitution Grammars

Hasse diagram with the composition closure indicated in subscript:



# Synchronous Multi Tree Substitution Grammars

Model \ Criterion					
n-TOP	X	X	✓	✓	✓
TOP	X	X	✓	✓	X <sub>2</sub>
TOP <sup>R</sup>	X	X	✓	✓	✓
ns-STSG	✓	✓	✓	✓	X <sub>2</sub>
n-STSG	✓	X	✓	✓	X <sub>∞</sub>
s-STSG <sup>(R)</sup>	✓	X	✓	✓	X <sub>2</sub>
STSG	✓	X	✓	✓	X <sub>4</sub>
STSG <sup>R</sup>	✓	X	✓	✓	X <sub>3</sub>
(n)s-SMTSG <sup>(R)</sup>	✓	X	✓	X	✓
(n)-SMTSG <sup>(R)</sup>	✓	X	✓	X	✓
reg.-range SMTSG	✓	X	✓	✓	✓
symmetric SMTSG	✓	✓	✓	✓	✓

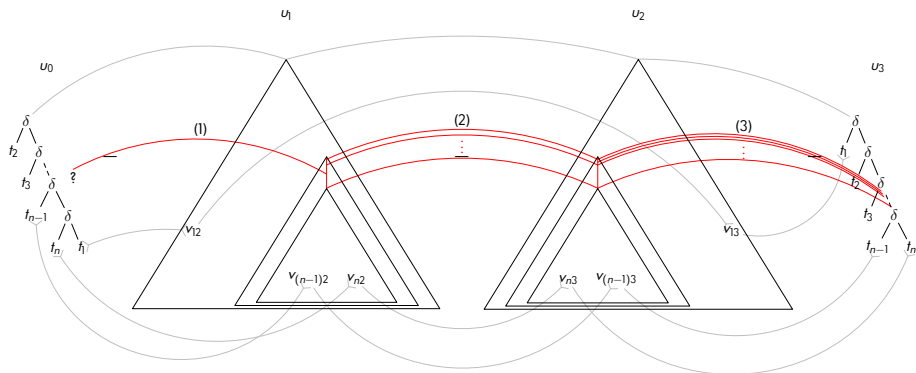
(string-level) range characterization by

[Gildea: On the string translations produced by multi bottom-up tree transducers. *Computational Linguistics* 38(3), 2012]

# Synchronous Multi Tree Substitution Grammars

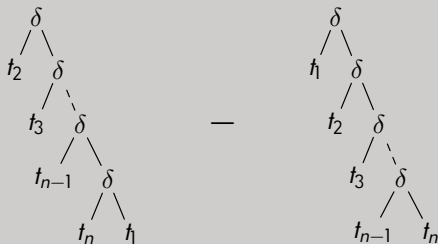
## Theorem

$$(\text{STSG}^R)^3 \subsetneq \text{reg.-range SMTSG}$$



[M.: The power of weighted regularity-preserving multi bottom-up tree transducers. *Int. J. Found. Comput. Sci.*, to appear 2015]

## Counterexample relation



- abstracts a well-known linguistic transformation called **topicalization**
- implementable by SMTSG, but not by any composition of STSG

## Illustration of topicalization

- It rained **yesterday night**.

Topicalized: **Yesterday night**, it rained.

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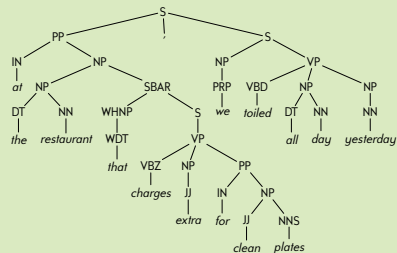
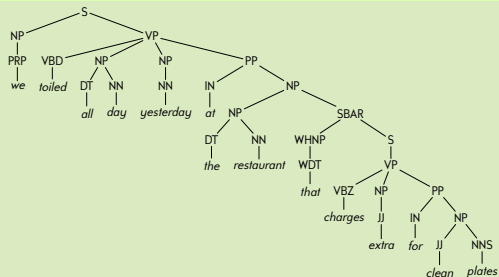
- We toiled all day yesterday  
**at the restaurant that charges extra for clean plates.**

Topicalized: **At the restaurant that charges extra for clean plates**,  
we toiled all day yesterday.



# Synchronous Multi Tree Substitution Grammars

## On the tree level



## Contributions

- SMTSG implementation and evaluation

[Braune, Seemann, Quernheim, M.: Shallow local multi bottom-up tree transducers in SMT. *Proc. ACL*, 2013]

[Seemann, Braune, M.: String-to-tree multi bottom-up tree transducers. *Proc. ACL*, 2015]

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- characterization of expressive power of STSG and SMTSG

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- new proof technique (based on synchronization links)

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# Thank you for the attention.