

Hyper-Optimization for Deterministic Tree Automata

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- 2 Deterministic Tree Automaton
- 3 Structure of Hyper-Minimal DTA
- 4 Hyper-Optimization

Hyper-Optimization

Intuition

- minimize automaton allowing a finite number of errors
- several (non-isomorphic) hyper-minimal automata
- return automaton committing the least number of errors

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- several (non-isomorphic) hyper-minimal automata
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n = number of states

m = size of the automaton

model/process	hyper-minimization	hyper-optimization
DFA	$\mathcal{O}(m \log n)$	$\mathcal{O}(mn)$
DBA	$\mathcal{O}(mn)$???
DCA	$\mathcal{O}(mn)$???
DTA	$\mathcal{O}(m \log n)$	$\mathcal{O}(mn)$

DTA = deterministic tree automaton

DBA / DCA = deterministic BÜCHI / Co-BÜCHI automaton

Why Hyper-Optimization?

Advantages

- makes the DTA smaller → efficiency gain
- reduces spurious, artificial effects
- conservative as it keeps the number of errors minimal

Disadvantages

- reductions sometimes rather small
- no discrimination between errors
- no non-trivial limit on the number of errors

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Deterministic Tree Automaton

Definition (GÉCSEG, STEINBY 1984)

(Q, Σ, δ, F) **deterministic tree automaton** (DTA)

- Q finite set *states*
- Σ ranked alphabet *input symbols*
- $\delta: \Sigma(Q) \rightarrow Q$ *transitions*
- $F \subseteq Q$ *final states*

Definition

transition function extends to $\delta: T_{\Sigma}(Q) \rightarrow Q$ by

$$\begin{aligned}\delta(q) &= q \\ \delta(\sigma(t_1, \dots, t_k)) &= \delta(\sigma(\delta(t_1), \dots, \delta(t_k)))\end{aligned}$$

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Deterministic Tree Automaton

Example

- states q_α, q_β (nonfinal) and q_γ, q_σ (final)
- nullary input symbols α, β, γ and binary σ
- for all nullary symbols π, π'

$$\pi \mapsto q_\pi \quad \sigma(q_\pi, q_{\pi'}) \mapsto q_\sigma \quad \sigma(q_\alpha, q_\sigma) \mapsto q_\sigma$$

Evaluating a tree



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Evaluating a tree



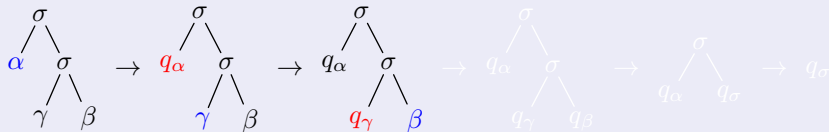
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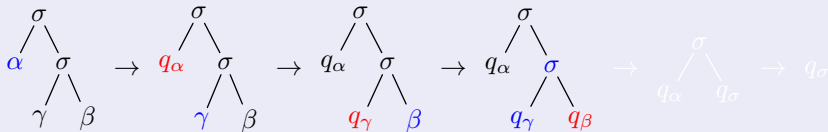
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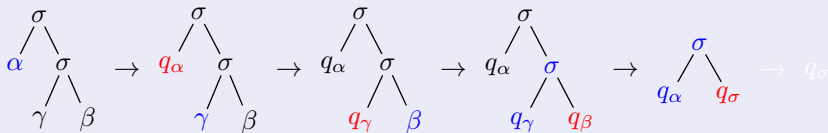
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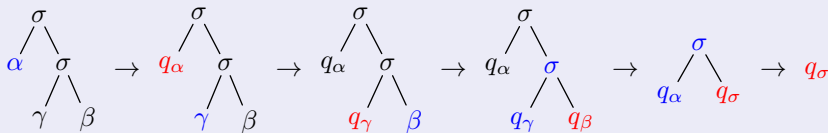
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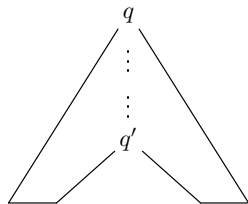
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Deterministic Tree Automaton

Shorthands

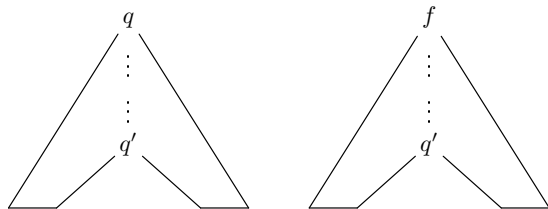
- $L(M)_{q'}^q = \{c \in C_\Sigma \mid \delta(c[q']) = q\}$
- $L(M)_{q'} = \bigcup_{f \in F} L(M)_{q'}^f$
- $L(M)^q = \delta^{-1}(q) \cap T_\Sigma$



Deterministic Tree Automaton

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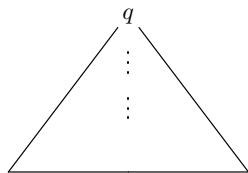
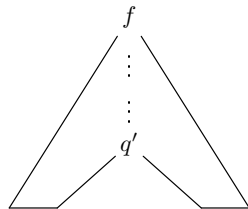
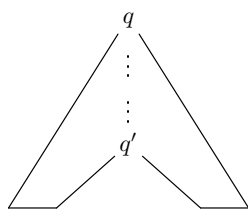
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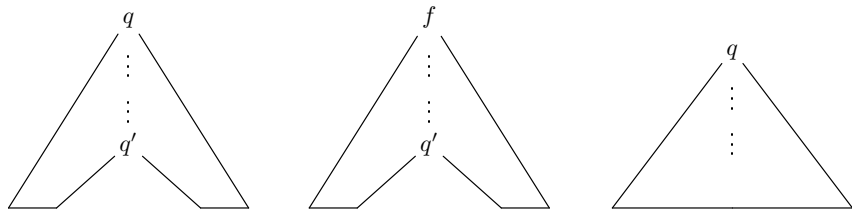
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Definition (Recognized tree language)

$$L(M) = \bigcup_{f \in F} L(M)^f$$

Hyper-Minimization

Definition

states q and q' are

- **equivalent** if $L(M)_q = L(M)_{q'}$
- **almost equivalent** if $L(M)_q$ and $L(M)_{q'}$ are almost equal

Theorem (known)

- *trim DTA is minimal*
 \iff *no different, but equivalent states*
- *minimal DTA is hyper-minimal*
 \iff *no different, but almost equivalent special states*

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Almost Equivalent DTA

Definition

DTA M and N are **almost equivalent** if they recognize almost equal tree languages

Definition

- state q is a **kernel state** if $L(M)^q$ is infinite
- $\text{Ker}(M) = \{q \in Q \mid q \text{ kernel state}\}$
- $\text{Pre}(M) = Q - \text{Ker}(M)$

preamble states

Almost Equivalent DTA

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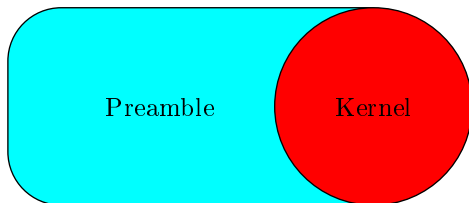
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Kernel and Preamble States



Almost equivalent DTA

$$\text{DTA } M = (Q, \Sigma, \delta, F)$$

$$N = (P, \Sigma, \mu, G)$$

Theorem

If M and N are hyper-minimal and almost equivalent, then there exists a bijection $h: Q \rightarrow P$ such that

1 h is bijective on kernels

2 $h(q) \in G \iff q \in F$

3 $h(\delta(s)) = \mu(h(s))$ for every
 $s \in \Sigma(Q) - \{s \in \Sigma(\text{Pre}(M)) \mid \delta(s) \in \text{Ker}(M)\}$

$\text{Ker}(M) \times \text{Ker}(N)$

for all $q \in \text{Ker}(M)$

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Intuition

hyper-minimal and almost equivalent DTA can differ in

- 1 the finality of preamble states
- 2 transitions from exclusively preamble states to a kernel state

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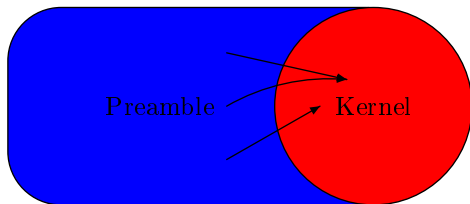
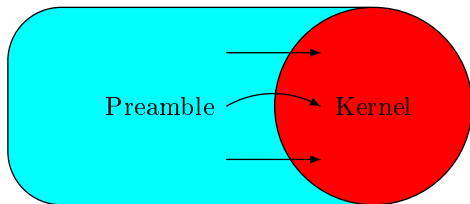
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hyper-minimal and almost equivalent DTA can differ in

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Almost equivalent DTA



Hyper-Optimization

Rough Outline

given DTA M :

- 1 minimize M $\mathcal{O}(m \log n)$
- 2 hyper-minimize M $\mathcal{O}(m \log n)$
- 3 optimize hyper-minimal DTA M $\mathcal{O}(mn)$

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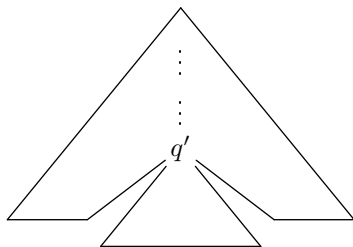
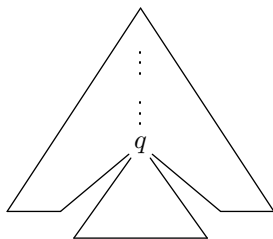
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State Merging

Definition

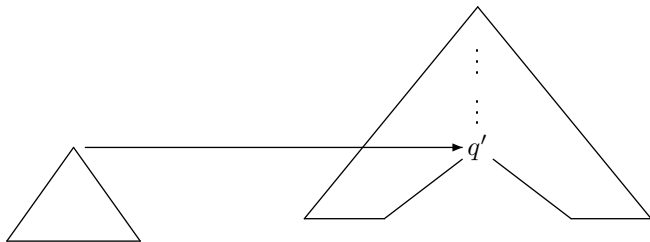
merge of q into q' : redirect all transitions leading to q into q'



State Merging

Definition

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Hyper-Minimization

Lemma (known)

Merging q into q' yields an almost equivalent DTA if

- *q and q' are almost equivalent*
- *q is a preamble state*

Theorem (known)

DTA hyper-minimal \iff no different, but almost equivalent states involving a preamble state

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Error Attribution

hyper-minimal DTA N

minimal input DTA M

Intuition

each error tree $t \in L(M) \ominus L(N)$ occurs either at

- a preamble state of N $\mu(t) \in \text{Pre}(N)$
- a kernel state of N $\mu(t) \in \text{Ker}(N)$

Observations

- $\delta(t) \in \text{Pre}(M)$ if $\mu(t) \in \text{Pre}(N)$
- $L(N)^{\mu(t)} = \bigcup_{q \in B} L(M)^q$

$$B = \{q \in Q \mid q \text{ almost equivalent to } \delta(t)\}$$

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Preamble State Errors

Theorem

The preamble state $p \in \text{Pre}(N)$ causes

- $\sum_{q \in B \cap F} |L(M)^q|$ errors if $p \notin G$
- $\sum_{q \in B - F} |L(M)^q|$ errors if $p \in G$

where $t \in L(N)^p$ and $B = \{q \in Q \mid q \text{ almost equivalent to } \delta(t)\}$.

Observations

- $B \subseteq \text{Pre}(M)$
- $|L(M)^q|$ easily computable for $q \in \text{Pre}(M)$

$\mathcal{O}(m)$

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Observations

If $\mu(t) \in \text{Ker}(N)$, then there exists a **left-most** position $p \in \text{pos}(t)$ such that

- $\delta(t|_p) \in \text{Ker}(N)$
- $\delta(t|_{pw}) \in \text{Pre}(N)$ for all $w \neq \varepsilon$

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Kernel State Errors

Example

DTA $M = (Q, \Sigma, \delta, \{q_\alpha\})$ with $L(M) = T_\Sigma - \{\beta, \sigma(\beta, \beta)\}$

- $Q = \{q_\alpha, q_\beta, q_\sigma\}$
- $\Sigma = \{\alpha^{(0)}, \beta^{(0)}, \sigma^{(2)}\}$
- for all $(q, q') \in Q^2 - \{(q_\beta, q_\beta)\}$

$$\delta(\alpha) = q_\alpha \quad \delta(\beta) = q_\beta \quad \delta(\sigma(q_\beta, q_\beta)) = q_\sigma \quad \delta(\sigma(q, q')) = q_\alpha$$

Almost equivalent hyper-minimal (single-state) DTA N with $L(N) = T_\Sigma$

Observation

- error $\sigma(\beta, \beta)$ processed in kernel state \top of N
- both $\beta \rightarrow \top$ transitions switch from exclusively preamble states to a kernel state

Kernel State Errors

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Kernel State Errors

Definition

left-most switch contexts:

$$\text{LC} = \{c \in C_\Sigma \mid \forall w \in \text{pos}(c): w \sqsubset \text{pos}_\square(c) \text{ implies } [\delta(c|_w)] \subseteq \text{Pre}(M)\}$$

Lemma

For all $q \sim q'$ we have

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For all $q \sim q'$ we have

$$d(q, q) = 0$$

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left-most switch contexts:

$$LC = \{c \in C_\Sigma \mid \forall w \in \text{pos}(c): w \sqsubset \text{pos}_\square(c) \text{ implies } [\delta(c|_w)] \subseteq \text{Pre}(M)\}$$

$$\bar{C}_M = C_\Sigma(\text{Pre}(M)) \cap \Sigma(Q \cup \{\square\})$$

Lemma

For all $q \sim q'$ we have

$$d(q, q) = 0$$

$$d(q, q') = \left(\sum_{\substack{c \in \bar{C}_M \\ c = \sigma(q_1, \dots, q_i, \square, q_{i+1}, \dots, q_k) \\ [q_1], \dots, [q_i] \subseteq \text{Pre}(M)}} a_{q_1} \cdot \dots \cdot a_{q_k} \cdot d(\delta(c[q]), \delta(c[q'])) \right) + 1$$

Kernel State Errors

Lemma

For every $s = \sigma(p_1, \dots, p_k) \in \Sigma(\text{Pre}(N))$ with $\mu(s) \in \text{Ker}(N)$

$$|E_s| = \sum_{\substack{q_1 \in [\delta(u_{p_1})] \\ q_k \in [\delta(u_{p_k})]}} |L(M)^{q_1}| \cdot \dots \cdot |L(M)^{q_k}| \cdot d(\delta(\sigma(q_1, \dots, q_k)), q)$$

where $u_p \in L(N)^p$ for every $p \in \text{Pre}(N)$ and $q \equiv \mu(s)$

Main Result

$$m = |M|$$

$$n = |Q|$$

Theorem

- Given hyper-minimal DTA N , almost equivalent to M , we can determine $|L(M) \ominus L(N)|$ in time $\mathcal{O}(mn)$.
- We can compute a hyper-optimal DTA in time $\mathcal{O}(mn)$.

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Conclusion

Solved problems

- Structural characterization of almost equivalent hyper-minimal DTA
- Hyper-optimization algorithm $\mathcal{O}(mn)$

Open problems

- Can hashes be avoided in hyper-minimization?
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