### Compositions of Top-down Tree Transducers with $\varepsilon$ -Rules

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Compositions of Top-down Tree Transducers with E-Rules





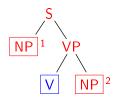


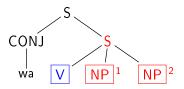
### Synchronous Tree Substitution Grammars

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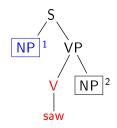


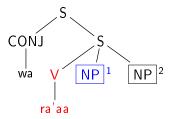




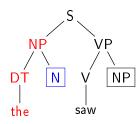


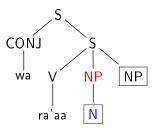




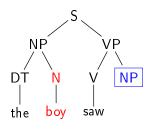


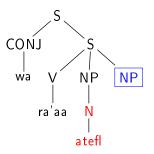






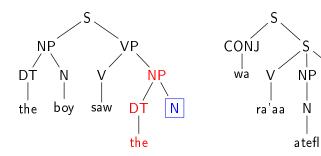








### Synchronous Tree Substitution Grammars

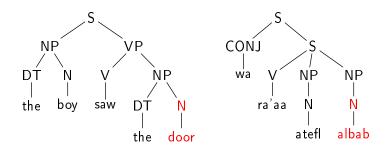




NP

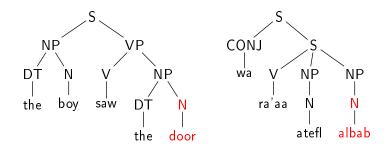
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Ν





### Synchronous Tree Substitution Grammars



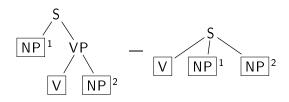
#### Implementation

- input-oriented with hidden states ( $\rightarrow$  tree transducer)
- linear nondeleting extended top-down tree transducer in Tiburon [May, Knight '06]

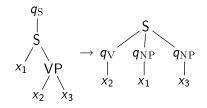
Compositions of Top-down Tree Transducers with E-Rules

### Synchronous Tree Substitution Grammars (cont'd)

Synchronous tree substitution grammar rule:



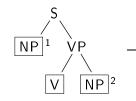
Tree transducer rule:

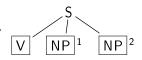




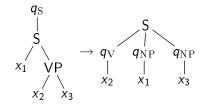
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Synchronous tree substitution grammar rule:

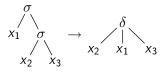




Tree transducer rule:



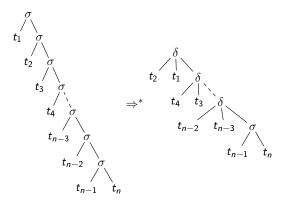
Simplified transducer rule:



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## Synchronous Tree Substitution Grammars (cont'd)

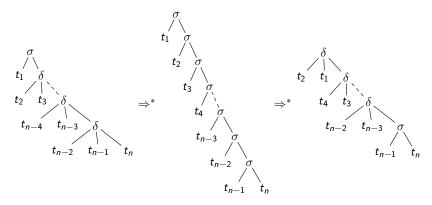
Derivation:





### Synchronous Tree Substitution Grammars (cont'd)

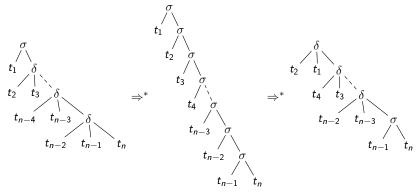
Derivation:





## Synchronous Tree Substitution Grammars (cont'd)

Derivation:



#### Conclusion

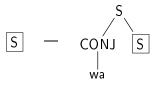
#### We cannot compose them!

## Synchronous Tree Substitution Grammars (cont'd)

Why?

- linear nondeleting top-down tree transducers can be composed [Engelfriet '75, Baker '79]
- ightarrow large left-hand sides cause problems
- $\rightarrow$  What about  $\varepsilon$ -rules?

STSG rule:



Simplified tree transducer rule:



## Synchronous Tree Substitution Grammars (cont'd)

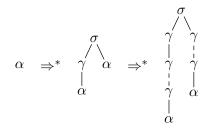
Derivation:

$$\begin{array}{ccc} \alpha & \Rightarrow^* & & & & \\ \alpha & \Rightarrow^* & & & & \\ & & & & & \\ & & & & \alpha \end{array}$$



## Synchronous Tree Substitution Grammars (cont'd)

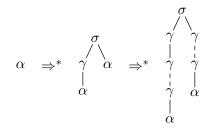
Derivation:





## Synchronous Tree Substitution Grammars (cont'd)

Derivation:



#### Conclusion

We cannot even compose linear nondeleting top-down tree transducers with  $\varepsilon\text{-rules!}$ 

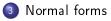


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2 Top-down tree transducer with  $\varepsilon$ -rules







### Syntax

Definition (cf. [Rounds '70] & [Thatcher '70])

Top-down tree transducer ( $\varepsilon$ tdtt) with  $\varepsilon$ -rules ( $Q, \Sigma, \Delta, I, R$ )

- Q alphabet of states
- $\Sigma$  and  $\Delta$  ranked alphabets of input and output symbols
- $I \subseteq Q$  initial states
- R finite set of *(rewrite)* rules  $q(l) \rightarrow r$  with

(i) 
$$q \in Q$$
,  
(ii)  $l = x_1$  or  $l = \sigma(x_1, \ldots, x_k)$  for some  $\sigma \in \Sigma_k$ , and  
(iii)  $r \in T_{\Delta}(Q(\operatorname{var}(l)))$ 

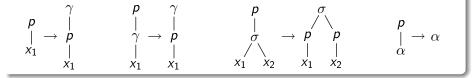


### A full example

#### Example

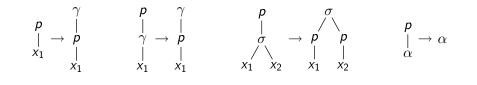
 $\varepsilon$ tdtt  $M = (P, \Sigma, \Sigma, P, R)$  with

- $P = \{p\}$
- $\Sigma = \{\sigma^{(2)}, \gamma^{(1)}, \alpha^{(0)}\}$
- the following rules in R

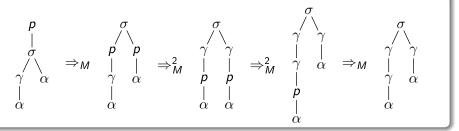




### A full example (cont'd)



#### Example





### Semantics

#### Definition

$$M = (Q, \Sigma, \Delta, I, R) \varepsilon t dt t$$

$$\tau_{M} = \{(t, u) \mid \exists q \in I \colon q(t) \Rightarrow^{*}_{M} u\}$$



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$$M = (Q, \Sigma, \Delta, I, R) \varepsilon t dtt$$

$$\tau_M = \{(t, u) \mid \exists q \in I \colon q(t) \Rightarrow^*_M u\}$$

#### Example

Our example  $\varepsilon$ tdtt can include  $\gamma$ -symbols anywhere in the input tree.



### Syntactical restrictions

Definition

 $\varepsilon$ tdtt  $M = (Q, \Sigma, \Delta, I, R)$  is

- linear if every right-hand side (of a rule) does not contain duplicate variables
- nondeleting, if every right-hand side contains all variables of its left-hand side
- total if for every  $q \in Q$  and  $t \in T_{\Sigma}$  there exists  $u \in T_{\Delta}$  such that  $q(t) \Rightarrow^*_M u$



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#### Example

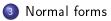
Our example  $\varepsilon$ tdtt is linear, nondeleting, and total.



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) Top-down tree transducer with arepsilon-rules



### 4 Composition



### One-symbol form

Definition (cf. [Berstel '79] & [Engelfriet et al '08])

An  $\varepsilon$ tdtt is in one-symbol form if at most one output symbol occurs in each rule.



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#### Example

Our example  $\varepsilon$ tdtt is in one-symbol form.

#### Theorem

For every  $\varepsilon$ tdtt we can construct an equivalent one in one-symbol form.



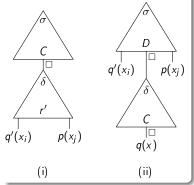
### Maximally output-separated

### Definition

An  $\varepsilon$ tdtt is maximally output-separated if for every rule  $q(I) \rightarrow r \in R$ 

- (i)  $r \neq C[r']$  for every nontrivial context  $C \in C_{\Delta}$  and  $r' \in \Delta(T_{\Delta}(Q(X)))$ ,
- (ii)  $r \neq D[C[q(x)]]$  for every nontrivial context  $D \in C_{\Delta}(Q(X))$ and nontrivial context  $C \in C_{\Delta}$ .

# Illustration

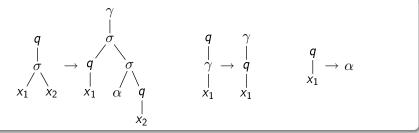




Normal forms

### Maximally output-separated (cont'd)

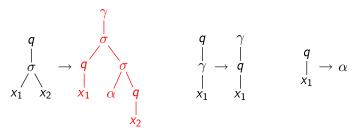






### Maximally output-separated (cont'd)

#### Example



is not maximally output-separated because

(i) 
$$r = C[r']$$
 with  $C = \gamma(\Box)$  and  $r' = \sigma(q(x_1), \sigma(\alpha, q(x_2)))$   
(ii)  $r = D[C[q(x_2)]]$  with  $D = \gamma(\sigma(q(x_1), \Box))$  and  $C = \sigma(\alpha, \Box)$ 



### Maximally output-separated (cont'd)

#### Theorem

For every (linear, nondeleting)  $\varepsilon$ tdtt there exists an equivalent maximally output-separated  $\varepsilon$ tdtt with the same properties.

#### Proof.

Separate the context C from a rule creating two rules, which need to be applied one after the other by introducing a new state.



### Maximally output-separated (cont'd)

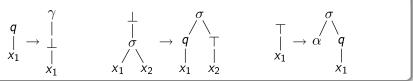
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#### Example





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Top-down tree transducer with  $\varepsilon$ -rules







### Composition construction

#### Definition

arepsilontdtt  $M = (P, \Sigma, \Gamma, I_1, R_1)$  and  $N = (Q, \Gamma, \Delta, I_2, R_2)$  construct

$$M$$
 ;  $N = (Q \times P, \Sigma, \Delta, \mathit{I}_2 \times \mathit{I}_1, \mathit{R}'_1 \cup \mathit{R}'_2 \cup \mathit{R}')$ 

with 3 types of rules:

**(**) rules  $R'_1$  constructed from rules of  $R_1$  that do not produce output

$$\mathcal{R}'_1 = \{q(l) 
ightarrow q(r) \mid q \in Q, l 
ightarrow r \in \mathcal{R}_1, r \in \mathcal{P}(X)\}$$



### Composition construction

#### Definition

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with 3 types of rules:

vules R'<sub>1</sub> constructed from rules of R<sub>1</sub> that do not produce output
epsilon rules R'<sub>2</sub> constructed from epsilon-rules of R<sub>2</sub>

$$R'_{2} = \{ I[p(x_{1})] \to r[p(x_{1})] \mid p \in P, l \to r \in R_{2}, l \in Q(X) \}$$



### Composition construction

#### Definition

arepsilontdtt  $M = (P, \Sigma, \Gamma, I_1, R_1)$  and  $N = (Q, \Gamma, \Delta, I_2, R_2)$  construct

$$M$$
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with 3 types of rules:

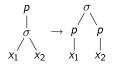
- **(**) rules  $R'_1$  constructed from rules of  $R_1$  that do not produce output
- 2 epsilon rules  $R'_2$  constructed from epsilon-rules of  $R_2$
- rules R' constructed from rules of R<sub>1</sub> that contain an output symbol that is immediately consumed by a rule of R<sub>2</sub>

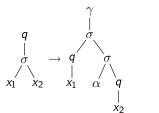
$$R' = \{q(l) \rightarrow r' \mid q \in Q, l \rightarrow r \in R_1, r \in \Gamma(P(X)), q(r) \Rightarrow_N r'\}$$



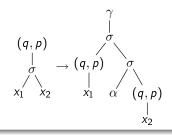
## Composition construction (cont'd)

Example





Resulting rule of R':





### Composition

Theorem (cf. [Engelfriet '75] & [Baker '79])

Let *M* and *N* be  $\varepsilon$ tdtts. Then *M*; *N* computes  $\tau_M$ ;  $\tau_N$  if

- N is linear,
- M is total or N is nondeleting, and
- M is in one-symbol form.



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#### Consequences

 The class of transformations computed by linear nondeleting εtdtt in one-symbol form is closed under composition.



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#### Consequences

- The class of transformations computed by linear nondeleting εtdtt in one-symbol form is closed under composition.
- The class of transformations computed by synchronous context-free grammars (incl. chain rules) is closed under composition.



## Composition (cont'd)

Theorem

Any composition of linear nondeleting  $\varepsilon$ tdtts can be simulated by an  $\varepsilon$ tdtt.

ln-eTOP; · · · ;  $ln-eTOP \subseteq eTOP$ 

Proof.

- Take first  $\varepsilon$ tdtt into one-symbol form (losing linearity and nondeletion)
- ${f Q}$  Compose with next linear and nondeleting  ${f arepsilon}$ tdtt
- ${f 0}$  Result computes composition of first 2 arepsilontdtts
- 4 Repeat



### References

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- Thatcher: Generalized<sup>2</sup> sequential machine maps. *J. Comput. System Sci.* 4(4), 1970

### Thank you for your attention!

