

# WEIGHTED HOM-PROBLEM FOR NONNEGATIVE INTEGERS

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## HOM-Problem:

regular tree language  $\xrightarrow{\text{tree homomorphism}}$  image regular?

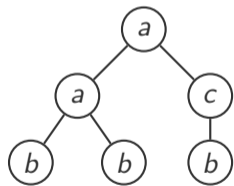
weighted HOM-Problem:

weighted regular tree language  $\xrightarrow{\text{tree homomorphism}}$  image regular?

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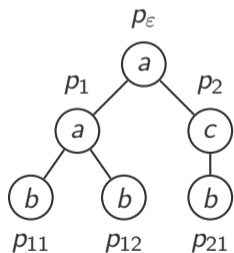
weighted tree automaton over  $\mathbb{N}_0$



weighted HOM-Problem:

weighted regular tree language  $\xrightarrow{\text{tree homomorphism}}$  image regular?

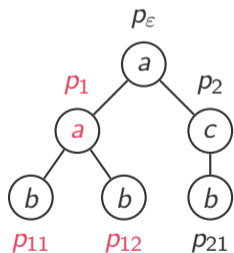
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weighted HOM-Problem:

weighted regular tree language  $\xrightarrow{\text{tree homomorphism}}$  image regular?

weighted tree automaton over  $\mathbb{N}_0$

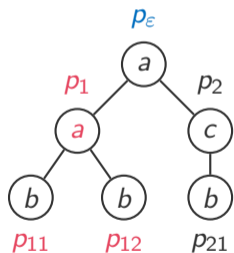


$(p_{11}, p_{12}, a, p_1)$

weighted HOM-Problem:

weighted regular tree language  $\xrightarrow{\text{tree homomorphism}}$  image regular?

weighted tree automaton over  $\mathbb{N}_0$



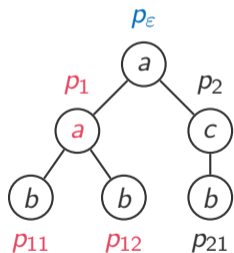
final weights  
transition weights  
in  $\mathbb{N}_0$

$(p_{11}, p_{12}, a, p_1)$

weighted HOM-Problem:

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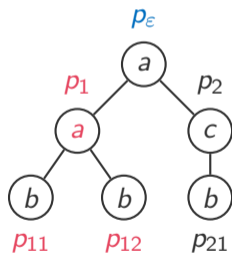
weight of run =  
 $\prod$  transition weights  $\cdot$  final weight



weighted HOM-Problem:

weighted regular tree language  $\xrightarrow{\text{tree homomorphism}}$  image regular?

weighted tree automaton over  $\mathbb{N}_0$



final weights  
transition weights  
in  $\mathbb{N}_0$

$(p_{11}, p_{12}, a, p_1)$

weight of run =  
 $\prod$  transition weights  $\cdot$  final weight

weight of tree =  
sum over runs on tree

alphabets:  $\Sigma = \{\sigma^{(1)} \ \gamma^{(1)} \ \beta^{(0)}\}$        $\Delta = \{a^{(2)} \ c^{(1)} \ b^{(0)}\}$

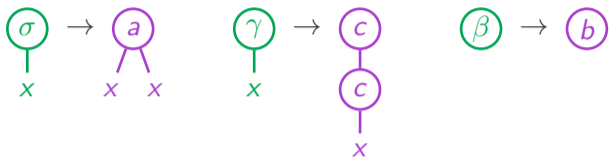
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homomorphism  $h$  from  $\Sigma$  to  $\Delta$ :

alphabets:  $\Sigma = \{\sigma^{(1)} \quad \gamma^{(1)} \quad \beta^{(0)}\}$        $\Delta = \{a^{(2)} \quad c^{(1)} \quad b^{(0)}\}$

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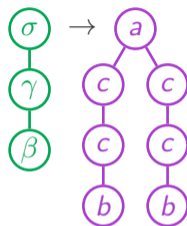
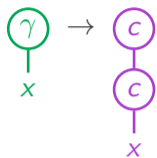
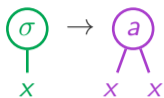
homomorphism  $h$  from  $\Sigma$  to  $\Delta$ :



alphabets:  $\Sigma = \{\sigma^{(1)} \ \gamma^{(1)} \ \beta^{(0)}\}$        $\Delta = \{a^{(2)} \ c^{(1)} \ b^{(0)}\}$

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homomorphism  $h$  from  $\Sigma$  to  $\Delta$ :



apply rules from bottom to top

alphabets:  $\Sigma = \{\sigma^{(1)} \quad \gamma^{(1)} \quad \beta^{(0)}\}$        $\Delta = \{a^{(2)} \quad c^{(1)} \quad b^{(0)}\}$

---

homomorphism  $h$  from  $\Sigma$  to  $\Delta$ :



apply rules from bottom to top

---

if  $\mathcal{A}$  assigns weights to trees  $t$  over  $\Sigma$

$\Rightarrow s \mapsto \sum_{t \in h^{-1}(s)} \mathcal{A}(t)$  assigns weights to trees  $s$  over  $\Delta$

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**Thm**  $h(\mathcal{A})$  regular    iff    no duplication of arbitrarily large subtrees    in  $\text{supp } \mathcal{A}$







## Proving non-regularity

$$\text{for } L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$$

$1_L$  non-regular (non-regular support)

## Proving non-regularity

$$\text{for } L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$$

$1 + 1_L$  non-regular?

$\mathcal{A} + 1_L$  non-regular?

## Proving non-regularity

$$\text{for } L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\} \quad 1 + 1_L \text{ non-regular?} \quad \mathcal{A} + 1_L \text{ non-regular?}$$

$$\mathcal{A} \left( \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ s \quad t \end{array} \right) = \sum_{\text{run on } \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ s \quad t \end{array}} \prod \text{transitions in run} \cdot \text{final weight}$$

## Proving non-regularity

$$\text{for } L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$$

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$$\begin{aligned} \mathcal{A} \left( \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ s \quad t \end{array} \right) &= \sum_{\text{run on } \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ s \quad t \end{array}} \prod \text{transitions in run} \cdot \text{final weight} \\ &= \sum_{p, q \in Q} \sum_{\substack{r \in Q \\ \text{run on } s \text{ to } p \\ \text{run on } t \text{ to } q}} \prod \text{transitions on } s \cdot \prod \text{transitions on } t \cdot \text{wt}(p, q, a, r) \cdot \text{final}(r) \end{aligned}$$


## Proving non-regularity

for  $L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$        $1 + 1_L$  non-regular?       $\mathcal{A} + 1_L$  non-regular?

$$\begin{aligned}
 \mathcal{A} \left( \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ s \quad t \end{array} \right) &= \sum_{\text{run on } \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ s \quad t \end{array}} \prod \text{transitions in run} \cdot \text{final weight} && \begin{array}{c} r \\ / \quad \backslash \\ p \quad q \end{array} \\
 &= \sum_{p,q \in Q} \sum_{\substack{r \in Q \\ \text{run on } s \text{ to } p \\ \text{run on } t \text{ to } q}} \prod \text{transitions on } s \cdot \prod \text{transitions on } t \cdot \text{wt}(p, q, a, r) \cdot \text{final}(r) \\
 &= \sum_{p,q \in Q} \sum_{\text{run on } s \text{ to } p} \prod \text{transitions} \cdot \sum_{\text{run on } t \text{ to } q} \prod \text{transitions} \cdot \sum_{r \in Q} \text{wt}(p, q, a, r) \cdot \text{final}(r)
 \end{aligned}$$

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$$\text{for } L = \left\{ \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} \mid t \text{ tree} \right\}$$

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## Proving non-regularity

$$\text{for } L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$$

$\mathcal{A} + 1_L$  non-regular?

$t_1, t_2, t_3, \dots$  pairwise distinct

## Proving non-regularity

for  $L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$   $\mathcal{A} + 1_L$  non-regular?  $t_1, t_2, t_3, \dots$  pairwise distinct

assume  $\mathcal{A} + 1_L$  is regular

$$(\mathcal{A} + 1_L) \left( \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{array} \right) = \mathcal{A} \left( \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{array} \right) + \delta_{ij}$$

## Proving non-regularity

$$\text{for } L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\} \quad \mathcal{A} + 1_L \text{ non-regular?} \quad t_1, t_2, t_3, \dots \text{ pairwise distinct}$$

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$$v_i' M' v_j' = (\mathcal{A} + 1_L) \begin{pmatrix} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{pmatrix} = \mathcal{A} \begin{pmatrix} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{pmatrix} + \delta_{ij} = v_i M v_j + \delta_{ij}$$

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$$v'_i M' v'_j = (\mathcal{A} + 1_L) \begin{pmatrix} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{array} \end{pmatrix} = \mathcal{A} \begin{pmatrix} \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{array} \end{pmatrix} + \delta_{ij} = v_i M v_j + \delta_{ij}$$

$$\begin{pmatrix} v_1 \\ v'_1 \end{pmatrix}, \begin{pmatrix} v_2 \\ v'_2 \end{pmatrix}, \dots \text{ vectors in finite-dim vector space } \mathbb{Q}^n \Rightarrow \begin{pmatrix} v_{K+1} \\ v'_{K+1} \end{pmatrix} = \sum_{i=1}^K \alpha_i \begin{pmatrix} v_i \\ v'_i \end{pmatrix}$$

## Proving non-regularity

for  $L = \left\{ \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t \quad t \end{array} \middle| t \text{ tree} \right\}$      $\mathcal{A} + 1_L$  non-regular?     $t_1, t_2, t_3, \dots$  pairwise distinct

assume  $\mathcal{A} + 1_L$  is regular

$$v'_i M' v'_j = (\mathcal{A} + 1_L) \left( \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{array} \right) = \mathcal{A} \left( \begin{array}{c} \textcircled{a} \\ \diagdown \quad \diagup \\ t_i \quad t_j \end{array} \right) + \delta_{ij} = v_i M v_j + \delta_{ij}$$

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$$v'_{K+1} M' v'_{K+1} = \sum_{i=1}^K \alpha_i v'_i M' v'_{K+1}$$

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for  $L = \left\{ \begin{array}{c|c} \begin{array}{c} \textcircled{a} \\ / \quad \backslash \\ t \quad t \end{array} & t \text{ tree} \end{array} \right\}$   $\mathcal{A} + 1_L$  non-regular?  $t_1, t_2, t_3, \dots$  pairwise distinct

assume  $\mathcal{A} + 1_L$  is regular

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$$v'_{K+1} M' v'_{K+1} = \sum_{i=1}^K \alpha_i \underbrace{v'_i M' v'_{K+1}} = \sum_{i=1}^K \alpha_i v_i M v_{K+1} = v_{K+1} M v_{K+1} \not\leq$$