# FINITE SEQUENTIALITY OF UNAMBIGUOUS MAX-PLUS TREE AUTOMATA

Erik Paul

Leipzig University



$$q_0 \xrightarrow{a} q_1 \xrightarrow{a} q_2 \xrightarrow{b} q_3 \xrightarrow{a} q_4 \xrightarrow{b} q_5 \xrightarrow{a} q_6$$

Weights in  $\mathbb{R} \cup \{-\infty\}$ 

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initial weight + transition weights + final weight

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initial weight + transition weights + final weight

Weight of word:

maximum over all runs

sequential / deterministic

one "initial state" no two valid  $p\stackrel{a}{
ightarrow} q_1,\; p\stackrel{a}{
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sequential / deterministic

one "initial state" no two valid  $p \stackrel{a}{ o} q_1, \ p \stackrel{a}{ o} q_2$ 

$$\operatorname{\mathsf{Run}}(w) = \{\operatorname{\mathsf{Runs}}\ r \ \operatorname{\mathsf{on}}\ w \ \operatorname{\mathsf{weight}}(r) \neq -\infty\}$$

sequential / deterministic

one "initial state" no two valid 
$$p \stackrel{a}{\to} q_1, \ p \stackrel{a}{\to} q_2$$

$$Run(w) = \{Runs \ r \ on \ w \ with \ weight(r) \neq -\infty\}$$

unambiguous

$$|\mathsf{Run}(w)| \leq 1$$

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#### Sequentiality problem

Given  $\mathcal{A}$ 

Is there determ 
$$\mathcal{A}'$$
 with  $\llbracket \mathcal{A} \rrbracket = \llbracket \mathcal{A}' \rrbracket$ ?

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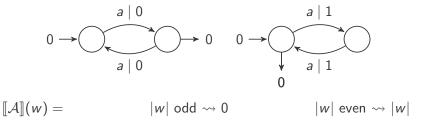
## Sequentiality problem

Given  $\mathcal A$ 

Is there determ  $\mathcal{A}'$  with  $[\![\mathcal{A}]\!] = [\![\mathcal{A}']\!]$ ?

decidable on words for unamb  ${\mathcal A}$ 

[Mohri]



 ${\cal A}$  max-plus automaton

p, q states

$$0 \longrightarrow 0 \longrightarrow 0 \longrightarrow 0 \longrightarrow a \mid 1$$

$$[A](w) = |w| \text{ odd } \rightsquigarrow 0 \qquad |w| \text{ even } \rightsquigarrow |w|$$

 ${\cal A}$  max-plus automaton

p, q states

$$p, q \text{ rivals}$$
 iff  $\exists \text{ words } u, v$ :

$$\xrightarrow{u} p \xrightarrow{v|x} p$$

$$\xrightarrow{u} q \xrightarrow{v|y} q$$

 $x \neq y$ 

$$[\![\mathcal{A}]\!](w) =$$

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$$u = \varepsilon \quad v = aa$$

$$a \mid 0$$

$$0 \rightarrow 0 \rightarrow q$$

$$a \mid 1$$

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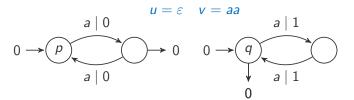
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$$T_{\rm HM}$$
  $\mathcal{A}$  unamb  $\Rightarrow$ 

 ${\mathcal A}$  determinizable  $\ \leftrightarrow \$  no rivals in  ${\mathcal A}$ 

[Mohri]

#### Finite Sequentiality problem

Given 
$$\mathcal{A}$$
 Is  $[\![\mathcal{A}]\!] = \max_{i=1}^n [\![\mathcal{A}_i]\!]$  for some determ  $\mathcal{A}_i$ ?

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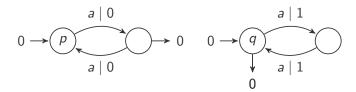
[Bala, Koniński]

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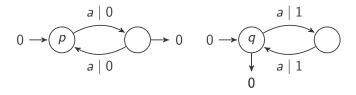


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Is  $[A] = \max_{i=1}^n [A_i]$  for some determ  $A_i$ ? Given  $\mathcal{A}$ 

decidable on words for unamb A

[Bala, Koniński]



for rivals p, q:

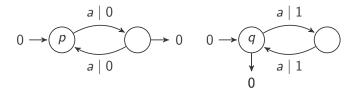
word w fork iff  $p \xrightarrow{w} p \qquad p \xrightarrow{w} q$ 

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 $\llbracket \mathcal{A} \rrbracket$  finitely sequential  $\leftrightarrow$  no forks

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[Bala, Koniński]

$$u = a$$

$$v = b$$

$$w = a$$

$$0 \rightarrow p$$

$$a \mid 0$$

$$b \mid 1$$

$$b \mid -1$$

$$a \mid 0$$

$$q \rightarrow 0$$

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b's before last a

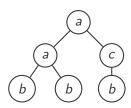
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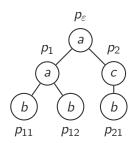
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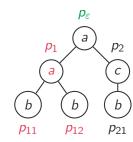
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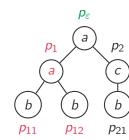


weight of run = transition weights + final weight  $(p_{11}, p_{12}, a, p_1)$ 



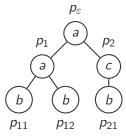
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determinism: bottom-up



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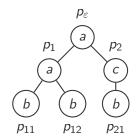


Finite Sequentiality:  $[A] = \max_{i=1}^{n} [A_i]$  for some determ  $A_i$ ?

transition weights + final weight

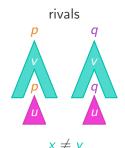
$$(p_{11}, p_{12}, a, p_1)$$

determinism: bottom-up



#### Finite Sequentiality:

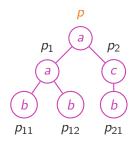
$$\llbracket \mathcal{A} \rrbracket = \max_{i=1}^n \llbracket \mathcal{A}_i \rrbracket$$
 for some determ  $\mathcal{A}_i$ ?



transition weights + final weight

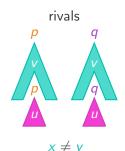
$$(p_{11}, p_{12}, a, p_1)$$

determinism: bottom-up



#### Finite Sequentiality:

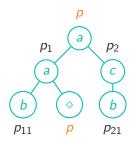
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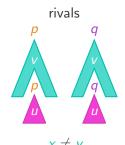
$$(p_{11}, p_{12}, a, p_1)$$

determinism: bottom-up



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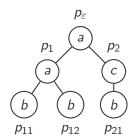
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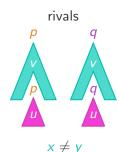
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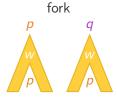
determinism: bottom-up



# Finite Sequentiality:

$$\llbracket \mathcal{A} \rrbracket = \max_{i=1}^n \llbracket \mathcal{A}_i \rrbracket$$
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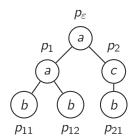
90%

8

transition weights + final weight

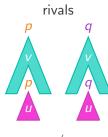
$$(p_{11}, p_{12}, a, p_1)$$

determinism: bottom-up



# Finite Sequentiality:

$$\llbracket \mathcal{A} \rrbracket = \max_{i=1}^n \llbracket \mathcal{A}_i \rrbracket$$
 for some determ  $\mathcal{A}_i$ ?



fork





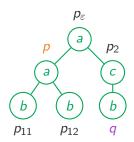
split

 $x \neq y$ 

transition weights + final weight

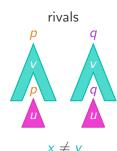
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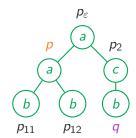


split

transition weights + final weight

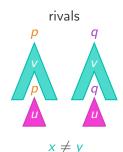
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determinism: bottom-up



## Finite Sequentiality:

 $[A] = \max_{i=1}^{n} [A_i]$  for some determ  $A_i$ ?



fork

p
q
w
p
NEW THM



split

 $\mathcal{A}$  unamb  $\Rightarrow$   $\llbracket \mathcal{A} 
rbracket$  fin seq  $\leftrightarrow$  no forks, no splits