

# THE NORMAL SUBSEMIGROUPS OF THE MONOID OF INJECTIVE MAPS \*

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## Abstract

We consider the monoid  $\text{Inj}(M)$  of injective self-maps of a set  $M$  and want to determine its normal subsemigroups by numerical invariants. This was established by Mesyan in 2012 if  $M$  is countable. Here we obtain an explicit description of all normal subsemigroups of  $\text{Inj}(M)$  for any uncountable set  $M$ .

## 1 Introduction

In two recent papers, Mesyan [11, 12] investigated the monoid  $\text{Inj}(M)$  of all injective self-maps of an infinite set  $M$ . A subsemigroup  $U$  of  $\text{Inj}(M)$  is normal if it is closed under conjugations by elements from  $S(M)$ , the symmetric group of all permutations of  $M$ . In a well-known result, Schreier and Ulam [17] and Baer [1] showed that  $S(M)$  has only few normal subgroups. Surprisingly, Mesyan [12] completely described the normal subsemigroups of  $\text{Inj}(M)$  if  $M$  is countable; there are uncountably many - determined by numerical invariants and subsemigroups of the monoid  $(\mathbb{N}, +)$ .

In this paper we will describe the normal subsemigroups of  $\text{Inj}(M)$  for all uncountable sets  $M$ . Due to the uncountability of  $M$  new classes of normal subsemigroups arise stemming from injections behaving on an uncountable subset of  $M$  like a permutation. Thus a combination of Mesyan's methods for injective functions and their conjugacy classes as well as results on permutation groups is needed.

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For the permutation group methods we employ a deep analysis of products of conjugacy classes in symmetric groups obtained by Moran [13, 14, 15]. In particular, he characterized products of conjugacy classes of the maximal factor group of  $S(M)$ . We extend his result to products of particular conjugacy classes of  $S(M)$ . Any normal subsemigroup  $G$  of  $\text{Inj}(M)$  decomposes into three parts: the group part  $G_{\text{grp}}$ , the subsemigroup  $G_{\text{fin}}$  comprising all elements with finite, non-trivial co-image and  $G_{\text{inf}}$ , the infinitary analog version of  $G_{\text{fin}}$ . The critical part of the characterization concerns  $G_{\text{fin}}$ . Here we need the results on products of conjugacy classes in symmetric groups of uncountable sets to obtain the description of  $G_{\text{fin}}$ .

Our main results are contained in Theorems 3.10, 5.1, 5.2 and 5.5. As a consequence we derive the precise number of normal subsemigroups of  $\text{Inj}(M)$ , which is  $2^{c(M)^{\aleph_0}}$ , where  $c(M) = |\{\mu \mid \mu \leq |M| \text{ is a cardinal}\}|$ . In contrast we show that  $\text{Inj}(M)$  has only  $|i| + 3$  maximal normal subsemigroups if  $|M| = \aleph_i$ . ( $i$  an ordinal).

We just note that the semigroup  $\text{Inj}_{\text{fin}}(M)$  is also known as Baer-Levi semigroup, see [2, 9] for its importance in semigroup theory. In [7] its maximal subsemigroups were investigated, and in [10] it was shown not to have the Bergman property. Related results on products of conjugacy classes in the symmetric groups are contained in [3, 4, 6].

## 2 Definitions

Let  $M$  be an infinite set,  $\text{Inj}(M)$  the monoid of all injective maps of  $M$  and  $S(M)$  the symmetric group of all permutations of  $M$ . If  $f \in \text{Inj}(M)$ , we put  $f^{S(M)} = \{g^{-1}fg \mid g \in S(M)\}$ , the set of conjugates of  $f$ . We let  $[f] = \{x \in M \mid xf \neq x\}$  denote the *support* of  $f$ . Moreover, we will write  $|f| = |[f]|$  for the size of the support. Also  $\text{Fix}(f) = M \setminus [f]$  denotes the set of fixed points of  $f$ . If  $x \in M$ , the set  $\{y \in M \mid y^{f^i} = x \text{ or } x^{f^i} = y \text{ for some } i \geq 0\}$  is called the *f-orbit* of  $x$ , or an *orbit* of  $f$ . If  $x \notin Mf$ , we call this orbit also a *forward orbit*. Observe that then the set  $\{x^{f^i} \mid i \geq 0\}$ , the *f-orbit* of  $x$ , is infinite; in particular,  $[f]$  is infinite and  $|M \setminus Mf| \leq |f|$ . We call any orbit  $U$  of  $f$  with  $U \subseteq Mf$  a *closed orbit*; then clearly  $f \upharpoonright U \in S(U)$ .

We let  $\mathbb{N}$  denote the set of positive integers, and  $\mathbb{N}_\infty = \mathbb{N} \cup \{\aleph_0\}$ . We let  $\bar{f}$  be the map from  $\mathbb{N}_\infty$  to the cardinals, where  $\bar{f}(n)$  is the number of closed orbits of size  $n$  of  $f$  for each  $n \in \mathbb{N}_\infty$ .

Recall that  $\kappa^+$  denotes the successor cardinal of  $\kappa$ . If  $\aleph_0 \leq \nu \leq |M|^+$ , then let  $S^\nu(M) = \{f \in S(M) \mid |f| < \nu\}$  which is a normal subgroup of  $S(M)$ . We

also write  $\text{Fin}(M) = S^{\aleph_0}(M)$ , the group of finitary permutations of  $M$ , and we let  $\text{Alt}(M) = \{f \in \text{Fin}(M) \mid |f| \text{ is even}\}$ , the infinite alternating group on  $M$ . Now let us consider  $\text{Inj}(M)$ . We say that a subset  $U \subseteq \text{Inj}(M)$  is *normal* in  $\text{Inj}(M)$  if  $U^f = f^{-1}Uf \subseteq U$  for all  $f \in S(M)$ . We will write  $U \triangleleft \text{Inj}(M)$  for normal subsemi-groups.

For the rest of this paper,  $M$  will denote an infinite set.

### 3 Products of conjugates

In this section, we recall results about  $S(M)$  and  $\text{Inj}(M)$  which will be needed later on. First we deal with the symmetric group. As is well-known, two permutations  $f, g \in S(M)$  are conjugate if and only if  $\bar{f} = \bar{g}$ .

**Lemma 3.1.** ([5]) *Let  $f, h \in S(M)$  with  $|h| \leq |f|$  and let  $f$  have infinite support. Then  $h \in (f^{S(M)})^4$ .*

Next we turn to a sharpening of Lemma 3.1.

**Definition 3.2.** *Following [13, p. 325] we say that  $f \in S(M)$  is of type 0 if the following holds.*

- (i)  $\bar{f}(1) = 0$  or  $\bar{f}(1) = |M|$ .
- (ii)  $\bar{f}(n) = 0$  or  $\bar{f}(n) \geq \aleph_0$  for all  $2 \leq n \in \mathbb{N}_\infty$ .

Moreover, we say that  $f \in S(M)$  is almost of type 0 if  $\bar{f}(n) = 0$  or  $\bar{f}(n) \geq \aleph_0$  for all  $n \in \mathbb{N}_\infty$ .

**Lemma 3.3.** (Moran [13, Theorem 2]) *Let  $f, h \in S(M)$  be two permutations of type 0 with  $|h| \leq |f|$ . Then  $h \in (f^{S(M)})^2$ .*

Now we can derive the following strengthening of Lemmas 3.1 and 3.3 for uncountable sets  $M$ .

**Proposition 3.4.** *Let  $M$  be uncountable and let  $f, h \in S(M)$  be two permutations which are almost of type 0 with  $|h| \leq |f|$ . Then  $h \in (f^{S(M)})^2$ .*

*Proof.* If  $h, f$  are of type 0, then the claim is straightforward by Lemma 3.3.

In the second case we assume that  $h$  is of type 0 but  $f$  is not. Hence  $\aleph_0 \leq \bar{f}(1) < |M|$ . Choose  $m \in \mathbb{N}_\infty$  such that  $\bar{f}(m) > \bar{f}(1)$ . We can split  $M = M_1 \dot{\cup} M_2$  such that  $M_1$  consists of  $\text{Fix}(f)$  and  $\bar{f}(1)$ -many  $m$ -orbits of  $f$ . Hence  $f_i = f \upharpoonright M_i \in S(M_i)$  for  $i = 1, 2$ , and  $|M_1| = |\text{Fix}(f)| = |f_1|$ , and  $f_1$  and  $f_2$  both are of type 0.

Choose  $h_1 \in S(M_1)$ ,  $h_2 \in S(M_2)$  of type 0 with  $\bar{h}_1 + \bar{h}_2 = \bar{h}$ , hence  $\overline{h_1 \cup h_2} = \bar{h}$ . Note that  $|h_2| \leq |h| \leq |f| = |f_2|$ . By the first case it follows that  $h_1 \in (f_1^{S(M_1)})^2$  and similarly  $h_2 \in (f_2^{S(M_2)})^2$ . Thus  $h \in (h_1 \cup h_2)^{S(M)} \subseteq (f^{S(M)})^2$  as required.

In the final case we assume that  $h$  is not of type 0, thus  $\aleph_0 \leq \bar{h}(1) < |M|$ . Choose  $m \in \mathbb{N}_\infty$  such that  $\bar{h}(m) > \bar{h}(1)$ . We split  $M = M_1 \dot{\cup} M_2$  such that  $M_1$  consists of  $\text{Fix}(h)$  and  $\bar{h}(1)$ -many  $m$ -orbits of  $h$ . Then  $h_i = h \upharpoonright M_i \in S(M_i)$  for  $i = 1, 2$  and  $|M_1| = |\bar{h}(1)| = |h_1|$ . Hence  $h_1$  and  $h_2$  are both of type 0. Now we choose  $f_1 \in S(M_1)$ ,  $f_2 \in S(M_2)$  both almost of type 0 with  $\bar{f}_1 + \bar{f}_2 = \bar{f}$  and  $|f_1| = |M_1|$ ; so  $|f_2| = |f|$ . By the first two cases it follows  $h_1 \in (f_1^{S(M_1)})^2$  and similarly  $h_2 \in (f_2^{S(M_2)})^2$ , hence  $h \in (f_1 \cup f_2)^{S(M)} = (f^{S(M)})^2$  as required.  $\square$

Now we turn to  $\text{Inj}(M)$ .

**Observation 3.5.** (Mesyan [11, Lemma 5]) *If  $f, g \in \text{Inj}(M)$ , then*

$$|M \setminus Mfg| = |M \setminus Mf| + |M \setminus Mg|.$$

Let  $U \subseteq M$  be a subset and  $f \in \text{Inj}(M)$ . We say that  $U$  is  $f^{\pm 1}$ -invariant, if whenever  $x \in U, y \in M$ , and  $y = x^{f^i}$  or  $y^{f^i} = x$  for some  $i \in \mathbb{N}$ , then  $y \in U$ . That is,  $U$  is a union of  $f$ -orbits. If  $f_i \in \text{Inj}(M)$  ( $i \in I$ ), we say that  $U$  is  $\{f_i^{\pm 1} \mid i \in I\}$ -invariant if  $U$  is  $f_i^{\pm 1}$ -invariant for each  $i \in I$ . If  $U' \subseteq M$  is a subset and  $U$  is the smallest  $\{f_i^{\pm 1} \mid i \in I\}$ -invariant subset of  $M$  with  $U' \subseteq U$ , we call  $U$  the  $\{f_i^{\pm 1} \mid i \in I\}$ -closure of  $U'$ . Note that then  $|U| \leq \max\{|U'|, |I|, \aleph_0\}$ . Trivially,  $M \setminus U$  is also  $\{f_i^{\pm 1} \mid i \in I\}$ -invariant, and such splittings of  $M$  into invariant subsets will be very important for the rest of this paper. It can be used for the following basic result which describes conjugacy of elements of  $\text{Inj}(M)$ .

**Lemma 3.6.** (Mesyan [11, Proposition 3]) *Let  $f, g \in \text{Inj}(M)$ . Then*

$$g \in f^{S(M)} \iff (|M \setminus Mf| = |M \setminus Mg| \text{ and } \bar{f} = \bar{g}).$$

*Proof.* The claim “ $\Rightarrow$ ” is trivial, and we only must show “ $\Leftarrow$ ”:

We indicate the proof for illustration. Let  $M_1$  be the  $f^{\pm 1}$ -closure of  $M \setminus Mf$ , which is the union of all forward orbits of  $f$ , and  $M_2 = M \setminus M_1$ . Hence  $|M_2| = \sum_{k \in \mathbb{N}_\infty} k \overline{f}(k)$ . Similarly, we define  $M = M'_1 \dot{\cup} M'_2$  for  $g$ .

From  $|M_1| = |M'_1|$  we have a bijection  $h_1 : M_1 \rightarrow M'_1$  such that  $x^{h_1^{-1} f h_1} = x^g$  for all  $x \in M'_1$ . We can also choose  $h_2 : M_2 \rightarrow M'_2$  such that  $h_2^{-1} f h_2 = g$  on  $M_2$ , thus  $h = h_1 \cup h_2 \in S(M)$  satisfies  $f^h = g$ .  $\square$

Next we consider products of conjugacy classes in  $\text{Inj}(M)$ .

**Lemma 3.7.** (Mesyan [11, Corollary 10]) *Let  $M$  be countable and  $f, g, h \in \text{Inj}(M) \setminus S(M)$ . Then  $h \in f^{S(M)} \cdot g^{S(M)}$  if and only if  $|M \setminus Mh| = |M \setminus Mf| + |M \setminus Mg|$ .*

**Lemma 3.8.** (Mesyan [11, Corollary 13]). *If  $f, h \in \text{Inj}(M)$  and*

$$|M \setminus Mf| = |M \setminus Mh| = |f| = |h| \geq \aleph_0,$$

*then  $h \in (f^{S(M)})^2$ .*

Now we can show:

**Lemma 3.9.** *If  $f, h \in \text{Inj}(M)$ ,  $|M \setminus Mf| = |M \setminus Mh| \geq \aleph_0$  and  $|h| \leq |f|$ , then  $h \in (f^{S(M)})^2$ .*

*Proof.* If  $|M \setminus Mf| = |f|$ , then Lemma 3.8 applies. Thus we may assume that  $|M \setminus Mf| < |f|$ . Let  $M'_1$  contain  $(M \setminus Mf) \cup (M \setminus Mh)$  and all closed  $f$ -orbits and  $h$ -orbits of size  $n \in \mathbb{N}_\infty$  for which  $\overline{f}(n) \in \mathbb{N}$  or  $\overline{h}(n) \in \mathbb{N}$ . Let  $M_1$  be the  $\{f^{\pm 1}, h^{\pm 1}\}$ -closure of  $M'_1$ , and put  $M_2 = M \setminus M_1$ . Then  $|M_1| = |M \setminus Mf|$ . Let  $f_i = f \upharpoonright M_i$  and  $h_i = h \upharpoonright M_i$  for  $i = 1, 2$ . Then  $f_2, h_2 \in S(M_2)$ . Also  $\overline{h_2}(n) = 0$  or  $\overline{h_2}(n) \geq \aleph_0$  and  $\overline{f_2}(n) = 0$  or  $\overline{f_2}(n) \geq \aleph_0$  for all  $n \in \mathbb{N}_\infty$ . Now we can apply Lemma 3.8 on  $M_1$  and obtain  $h_1 \in (f_1^{S(M_1)})^2$ . On  $M_2$  we apply Proposition 3.4 to get that  $h_2 \in (f_2^{S(M_2)})^2$ . Thus  $h = h_1 \cup h_2 \in (f^{S(M)})^2$ .  $\square$

There are obvious normal subsemigroups of  $\text{Inj}(M)$ : Let  $\aleph_0 \leq \mu, \nu \leq |M|^+$ , then  $\text{Inj}^\nu(M) = \{f \in \text{Inj}(M) \mid |f| < \nu\}$  and  $\text{Inj}_\mu(M) = \{f \in \text{Inj}(M) \mid |M \setminus Mf| = \mu\}$  are normal in  $\text{Inj}(M)$ . If  $\mu < \nu$ , also  $\text{Inj}_\mu^\nu(M) = \text{Inj}_\mu(M) \cap \text{Inj}^\nu(M)$  is normal in  $\text{Inj}(M)$ .

We also let

$$\text{Inj}_{\text{fin}}(M) = \{f \in \text{Inj}(M) \mid M \neq Mf, |M \setminus Mf| \text{ is finite}\}. \quad (3.1)$$

If  $G \subseteq \text{Inj}(M)$ , then let  $G_{\text{grp}} = G \cap S(M)$ ,  $G_{\text{fin}} = G \cap \text{Inj}_{\text{fin}}(M)$  and  $G_\mu = G \cap \text{Inj}_\mu(M)$  for any  $\mu \leq |M|$ . If  $G \triangleleft \text{Inj}(M)$ , then also  $G_{\text{grp}}$ ,  $G_{\text{fin}}$  and  $G_\mu$  are normal in  $\text{Inj}(M)$  because  $S(M)$ ,  $\text{Inj}_{\text{fin}}(M)$ ,  $\text{Inj}_\mu(M) \triangleleft \text{Inj}(M)$ . As noted in [12],  $G_{\text{grp}}$  is a group, since if  $g \in G_{\text{grp}}$ , then  $\bar{g} = \overline{g^{-1}}$ , so  $g^{-1} \in G$  by  $G \triangleleft \text{Inj}(M)$ .

Now we can describe the structure of  $G_{\text{grp}}$  and  $G_\mu$  for all  $\mu \leq \kappa$ .

**Theorem 3.10.** *Let  $|M| = \kappa \geq \aleph_0$  and  $G \triangleleft \text{Inj}(M)$ . Then*

$$G = G_{\text{grp}} \dot{\cup} G_{\text{fin}} \dot{\cup} \bigcup_{\aleph_0 \leq \mu \leq \kappa} G_\mu.$$

- (i)  $G_{\text{grp}}$  is either  $S^\nu(M)$  for some  $\nu \leq \kappa^+$  or  $\text{Alt}(M)$  or  $\{1\}$  or  $\emptyset$ .
- (ii) For all  $\mu \leq \kappa$  either  $G_\mu = \emptyset$  or  $G_\mu = \text{Inj}_\mu^\nu(M)$  for some  $\mu < \nu \leq \kappa^+$ .
- (iii) For all  $\mu < \mu' < \nu$  if  $G_\mu = \text{Inj}_\mu^\nu(M)$  and  $G_{\mu'} \neq \emptyset$ , then  $\text{Inj}_{\mu'}^\nu(M) \subseteq G_{\mu'}$ .
- (iv) For all  $\mu' \leq \nu$  if  $G_{\text{fin}} \not\subseteq \text{Inj}^\nu(M)$  and  $G_{\mu'} \neq \emptyset$ , then  $\text{Inj}_{\mu'}^{\nu^+}(M) \subseteq G_{\mu'}$ .

All these combinations with a normal subsemigroup  $G_{\text{fin}} \subseteq \text{Inj}_{\text{fin}}(M)$  give rise to normal subsemigroups  $G \triangleleft \text{Inj}(M)$ .

*Proof.* (i) Since  $G_{\text{grp}}$  is a normal subgroup of  $S(M)$ , this is the main result of [1]. It also follows from Lemma 3.1.

(ii) Let  $\aleph_0 \leq \mu \leq \kappa$  and assume there is  $f \in G_\mu$ . Let  $\nu = |f|$ . Clearly  $\mu \leq \nu$  and we claim that then  $\text{Inj}_\mu^{\nu^+}(M) \subseteq G_\mu$ . For this, choose  $h \in \text{Inj}_\mu^{\nu^+}(M)$ . Then  $|M \setminus Mf| = \mu = |M \setminus Mh|$  and  $|h| \leq \nu = |f|$ . By Lemma 3.9, we obtain  $h \in (f^{S(M)})^2 \in G$  and our claim. This implies the assertion of (ii) with  $\nu = \sup\{|f|^+ \mid f \in G_\mu\}$ .

(iii) Let  $h \in \text{Inj}_{\mu'}^\nu(M)$ . Then  $\alpha := |h| < \nu$ . Choose any  $f \in G_{\mu'}$ . In case  $|f| \geq \alpha$ , by Lemma 3.9 we obtain  $h \in (f^{S(M)})^2 \in G_{\mu'}$ . Now assume that  $|f| < \alpha < \nu$ . By assumption, there is  $g \in G_\mu$  with  $|g| = \alpha$ . Then  $fg \in G_{\mu'}$  and  $|fg| = \alpha$ . Hence, by Lemma 3.9, we have  $h \in ((fg)^{S(M)})^2 \subseteq G_{\mu'}$ .

(iv) We proceed similarly to the argument for (iii). Let  $h \in \text{Inj}_{\mu'}^{\nu^+}(M)$ . Choose any  $f \in G_{\mu'}$ . If  $|h| \leq |f|$ , again by Lemma 3.9 we have  $h \in (f^{S(M)})^2 \in G_{\mu'}$ . Therefore now assume that  $|f| < |h|$ . By assumption, there is  $g \in G_{\text{fin}}$  with  $|g| \geq \nu$ . Then  $fg \in G_{\mu'}$  and  $|h| \leq \nu \leq |g| = |fg|$ . So by Lemma 3.9 we obtain  $h \in ((fg)^{S(M)})^2 \subseteq G_{\mu'}$  and the result.  $\square$

Hence it remains to describe the structure of  $G_{\text{fin}}$ . As in Mesyan [12], this depends on the value of  $G_{\text{grp}}$ . Therefore we proceed by the case distinction given by Theorem 3.10(i).

## 4 Products of conjugacy classes for uncountable sets

Throughout this section we assume that  $G \triangleleft \text{Inj}(M)$ . Let

$$N(G) = \{ |M \setminus Mf| \mid f \in G_{\text{fin}} \}. \quad (4.1)$$

It is clear by Observation 3.5 that  $N(G) \subseteq \mathbb{N}$  is a subsemigroup.

Conversely, if  $N$  is a subsemigroup of  $(\mathbb{N}, +)$ , then following [12], we let

$$\text{Inj}_N(M) = \{ g \in \text{Inj}(M) \mid |M \setminus Mg| \in N \} \triangleleft \text{Inj}(M) \quad (4.2)$$

and

$$\text{Inj}_N^\alpha(M) = \{ g \in \text{Inj}_N(M) \mid |g| < \alpha \} = \text{Inj}_N(M) \cap \text{Inj}^\alpha(M) \quad (4.3)$$

for any  $\alpha \leq |M|^+$ . Clearly  $\text{Inj}_N(M) \subseteq \text{Inj}_{\text{fin}}(M)$ . By Observation 3.5 it is easy to see that  $\text{Inj}_N(M) \triangleleft \text{Inj}(M)$ , cf. [12, p. 292].

**Lemma 4.1.** *Let  $G \triangleleft \text{Inj}(M)$  and  $\alpha > \aleph_0$  with  $G_{\text{fin}} \subseteq \text{Inj}^\alpha(M)$  and  $S^\alpha(M) \subseteq G$ . Then  $G_{\text{fin}} = \text{Inj}_N^\alpha(M)$  for  $N = N(G)$ .*

*Proof.* If  $h \in \text{Inj}_N^\alpha(M)$ , then by (4.2) and (4.1) there is some  $f \in G_{\text{fin}}$  such that  $|M \setminus Mf| = |M \setminus Mh|$ , so  $|f| < \alpha$ . Choose any bijection  $k_1 : M \setminus Mf \rightarrow M \setminus Mh$ , and define  $k_2 : Mf \rightarrow Mh$  by  $xf \mapsto xh$ , which is also bijective. Then  $k = k_1 \cup k_2 \in S(M)$ . Since  $[k] \setminus [f] \subseteq \text{Fix}(f) \cap [h]$ , we have  $[k] \subseteq [f] \cup [h]$  and by  $|f|, |h| < \alpha$  it also follows  $k \in S^\alpha(M) \subseteq G$ . So  $h = fk \in G$  and  $|M \setminus Mh| = |M \setminus Mf|$ , hence also  $h \in G_{\text{fin}}$ , so  $\text{Inj}_N^\alpha(M) \subseteq G_{\text{fin}}$ .  $\square$

In this context, we note that each subsemigroup of  $\mathbb{N}$  is finitely generated, cf. [16]. Consequently,  $\mathbb{N}$  contains precisely  $\aleph_0$  subsemigroups.

For the remaining part of this section we consider the case that  $G_{\text{fin}} \not\subseteq \text{Inj}^{\aleph_1}(M)$ , i.e. there is  $f \in G_{\text{fin}}$  with  $|f| \geq \aleph_1$ .

### 4.1 Moran's characterization $\mathcal{P}$ and products of types

Our goal is to extend the crucial Lemma 3.7 to the uncountable case. For this, we will use Moran's property  $\mathcal{P}$  which describes products of conjugacy classes.

**Definition 4.2.** *Let  $M$  be an uncountable set.*

- (i) We call each function  $T : \mathbb{N}_\infty \longrightarrow \{0\} \cup \{\mu \mid \aleph_1 \leq \mu \leq |M|\}$  such that  $\sum_{n \in \mathbb{N}_\infty} T(n) = |M|$  a type (of  $S(M)$ ). We let  $\mathfrak{T}_M$  be the collection of all types of  $S(M)$ .
- (ii) For a type  $T$  of  $S(M)$  let  $C_T = \{f \in S(M) \mid \bar{f} = T\}$ , a conjugacy class in  $S(M)$ . We put  $\mathcal{P}(T, T_1, T_2)$  if and only if  $C_T \subseteq C_{T_1} \cdot C_{T_2}$  in  $S(M)$ .

Our aim is to characterize the relation  $\mathcal{P}$ . Moran [14] completely described all conjugacy classes  $C_1, C_2, C_3$  with  $\mathcal{P}(C_1, C_2, C_3)$  in the factor groups  $H_\nu = S(M)/S^\nu(M)$  for  $\nu = |M| \geq \aleph_1$ . He reduced this to a description of the relation  $\mathcal{P}$  for simple types; a type  $T$  of  $S(M)$  is *simple*, if  $T(n) \in \{0, |M|\}$  for each  $n \in \mathbb{N}_\infty$ . In our setting, if  $|M| \geq \aleph_1$ , we have to consider all possible values of  $T$  in  $\{0\} \cup \{\mu \mid \aleph_1 \leq \mu \leq |M|\}$ .

We recall Moran's results. For this we define a few particular types. If  $F \subseteq \mathbb{N}_\infty$  is a subset, then let  $\widehat{F}$  be the simple type satisfying  $\widehat{F}(n) = |M|$  if and only if  $n \in F$  (for  $n \in \mathbb{N}_\infty$ ). If  $k \in \mathbb{N}_\infty$ , we put  $\widehat{k} = \widehat{\{k\}}$ . We let  $\text{OD}(M)$  be the set of all simple types  $T$  satisfying  $T(n) = 0$  for any even  $n \in \mathbb{N}$  and for  $n = \aleph_0$ . Clearly  $\mathcal{P}(\widehat{1}, T_1, T_2)$  holds if and only if  $T_1 = T_2$ . Since the relation  $\mathcal{P}$  is symmetric, it remains to characterize it for *non-unit* types  $T, T_1, T_2$ , i.e. for  $T, T_1, T_2$  different from  $\widehat{1}$ . Following [14], we call a set  $\{T, T_1, T_2\}$  of types a *non- $\mathcal{P}$ -set* if  $\mathcal{P}(T, T_1, T_2)$  does not hold. The following gives an explicit description of non- $\mathcal{P}$ -sets.

**Theorem 4.3.** (Moran [14, Theorem 1]) *Let  $M$  be uncountable and  $T, T_1, T_2$  non-unit simple types of  $S(M)$ . Then  $\{T, T_1, T_2\}$  is a non- $\mathcal{P}$ -set if and only if one of the following two mutually exclusive conditions holds:*

- (i)  $\{T, T_1, T_2\} = \{\widehat{2}, \widehat{\{1, 2\}}, U\}$  for some  $U \in \text{OD}(M)$ .
- (ii)  $\{T, T_1, T_2\}$  is one of the sets  $\{\widehat{3}, \widehat{\{1, 3\}}, \widehat{2}\}$  or  $\{\widehat{3}, \widehat{\{1, 3\}}, \widehat{\{1, 2\}}\}$  or  $\{\widehat{2}, \widehat{3}, \widehat{\{1, 2, 3\}}\}$ .

Let  $\alpha$  be a cardinal with  $\aleph_1 \leq \alpha \leq |M|$ . We let  $\text{cf}(\alpha)$  denote the cofinality of  $\alpha$ . Now let  $T$  be a type of  $S(M)$ . We define a function  $T^\alpha : \mathbb{N}_\infty \rightarrow \{0, \alpha\}$  by letting (for  $n \in \mathbb{N}_\infty$ )

$$T^\alpha(n) = \begin{cases} \alpha & \text{if } T(n) \geq \alpha \\ 0 & \text{otherwise.} \end{cases}$$

If  $\alpha < |M|$  or  $\text{cf}(\alpha) \neq \omega$ , the condition  $\sum_{n \in \mathbb{N}_\infty} T(n) = |M|$  implies that  $T(n) \geq \alpha$  for some  $n \in \mathbb{N}_\infty$ , hence  $T^\alpha$  is a simple type of  $S(M_\alpha)$  where  $|M_\alpha| = \alpha$ . Now we show:



**Theorem 4.4.** *Let  $M$  be uncountable and  $T, T_1, T_2$  types of  $S(M)$ . Then  $\mathcal{P}(T, T_1, T_2)$  if and only if*

$$\mathcal{P}(T^\alpha, T_1^\alpha, T_2^\alpha) \text{ for each cardinal } \alpha \text{ with } \aleph_1 \leq \alpha \leq |M| \text{ and } \text{cf}(\alpha) \neq \omega \quad (4.4)$$

*Proof.* “ $\Rightarrow$ ” Let  $\mathcal{P}(T, T_1, T_2)$  and  $\alpha$  a cardinal with  $\aleph_1 \leq \alpha \leq |M|$  and  $\text{cf}(\alpha) \neq \omega$ . We choose  $h, f, g \in S(M)$  with  $\bar{h} = T, \bar{f} = T_1, \bar{g} = T_2$  and  $h = fg$ . Let  $M'_1$  be the union of all orbits of  $h, f$  and  $g$  of length  $n \in \mathbb{N}_\infty$  for which  $\bar{h}(n) < \alpha$  resp.  $\bar{f}(n) < \alpha$  or  $\bar{g}(n) < \alpha$ , and let  $M_1$  be the  $\{h^{\pm 1}, f^{\pm 1}, g^{\pm 1}\}$ -closure of  $M'_1$ . Since  $\text{cf}(\alpha) \neq \omega$ ,  $\alpha$  is not the sum of countably many smaller cardinals. Hence  $|M_1| < \alpha$ . Put  $M_2 = M \setminus M_1$ , so  $|M_2| = |M|$ .

Choose  $M' \subseteq M_2$  such that  $M'$  is  $\{h^{\pm 1}, f^{\pm 1}, g^{\pm 1}\}$ -invariant and  $|M'| = \alpha$ . Let  $h' = h \upharpoonright M', f' = f \upharpoonright M'$  and  $g' = g \upharpoonright M'$ . Then  $h' = f'g'$  and  $\bar{h}'(n) = 0$  or  $\bar{h}'(n) = \alpha$  for each  $n \in \mathbb{N}_\infty$ , and similarly for  $f'$  and  $g'$ . Hence  $\bar{h}', \bar{f}'$ , and  $\bar{g}'$  are simple types of  $S(M')$  and  $\bar{h}' = T^\alpha, \bar{f}' = T_1^\alpha, \bar{g}' = T_2^\alpha$ , proving  $\mathcal{P}(T^\alpha, T_1^\alpha, T_2^\alpha)$ .

“ $\Leftarrow$ ” Assume (4.4). We wish to construct  $h, f, g \in S(M)$  such that  $h = fg$  and  $\bar{h} = T, \bar{f} = T_1, \bar{g} = T_2$ ; then  $\mathcal{P}(T, T_1, T_2)$ . We decompose  $M = \dot{\bigcup}_{\alpha \in D} M_\alpha$  (with  $D = \{\alpha \mid \aleph_1 \leq \alpha \leq |M| \text{ and } \text{cf}(\alpha) \neq \omega\}$ ) into pairwise disjoint sets  $M_\alpha$  of cardinality  $|M_\alpha| = \alpha$ . By assumption, for each  $\alpha \in D$  we have  $\mathcal{P}(T^\alpha, T_1^\alpha, T_2^\alpha)$ , hence there are  $h_\alpha, f_\alpha, g_\alpha \in S(M_\alpha)$  such that  $h_\alpha = f_\alpha g_\alpha$  and  $\bar{h}_\alpha = T^\alpha, \bar{f}_\alpha = T_1^\alpha, \bar{g}_\alpha = T_2^\alpha$ . Put  $h = \dot{\bigcup}_{\alpha \in D} h_\alpha, f = \dot{\bigcup}_{\alpha \in D} f_\alpha$  and  $g = \dot{\bigcup}_{\alpha \in D} g_\alpha$ . Clearly  $h = fg$ , and for each  $n \in \mathbb{N}_\infty$  we have  $\bar{h}(n) = \sum_{\alpha \in D} \bar{h}_\alpha(n) = \sum_{\alpha \in D} T^\alpha(n) = \sup_{\alpha \in D} T^\alpha(n)$ . Note that  $T^\alpha(n) = 0$  if  $\alpha > T(n)$ . If  $\text{cf}(T(n)) \neq \omega$  and  $\alpha = T(n)$ , we have  $T^\alpha(n) = \alpha = T(n)$ . If  $\text{cf}(T(n)) = \omega$ , we have  $T^\alpha(n) = \alpha$  for each  $\alpha \in D$  with  $\alpha < T(n)$ , and the supremum of all these  $\alpha$  equals  $T(n)$ . Hence in any case  $\bar{h}(n) = T(n)$ , showing  $\bar{h} = T$ . Similarly,  $\bar{f} = T_1$  and  $\bar{g} = T_2$ .  $\square$

Observe that condition (4.4) for each  $\alpha$  is characterized by Theorem 4.3. Hence Theorem 4.3 and Theorem 4.4 together give a complete description of the relation  $\mathcal{P}$  on  $\mathfrak{T}_M$ .

Now we turn  $\text{Inj}(M)$  for uncountable sets  $M$ . If  $f \in \text{Inj}(M)$  with  $M \setminus Mf$  countable, we define  $T_f : \mathbb{N}_\infty \rightarrow \{0\} \cup \{\mu \mid \aleph_1 \leq \mu \leq |M|\}$  by letting

$$T_f(n) = \begin{cases} \bar{f}(n) & \text{if } \bar{f}(n) \geq \aleph_1 \\ 0 & \text{otherwise.} \end{cases}$$

Then  $T_f$  is a type of  $S(M)$ , the *type of  $f$* . Observe that if  $f \in \text{Inj}(M)$  satisfies  $|f| \leq \aleph_0$ , then  $T_f(1) = |M|$  and  $T_f(n) = 0$  for all  $n \geq 2$ . Also, for  $f \in \text{Inj}(M)$ , we let

$$\text{orb}(f, \omega) = \bigcup \{ \text{closed orbits of } f \text{ of size } n \mid n \in \mathbb{N}_\infty \text{ with } \bar{f}(n) \leq \aleph_0 \}.$$

Now we state our extension of Lemma 3.7 to the uncountable case.

**Proposition 4.5.** *Let  $M$  be uncountable. For  $f, g, h \in \text{Inj}(M) \setminus S(M)$  with  $M \setminus Mf, M \setminus Mg, M \setminus Mh$  countable, the following conditions are equivalent.*

$$(i) \quad h \in f^{S(M)} \cdot g^{S(M)}$$

(ii)  $|M \setminus Mh| = |M \setminus Mf| + |M \setminus Mg|$  and  $\mathcal{P}(T_h, T_f, T_g)$  holds.

*Proof.* Let

$$M'_1 = (M \setminus Mf) \cup (M \setminus Mg) \cup (M \setminus Mh) \cup \text{orb}(f, \omega) \cup \text{orb}(g, \omega) \cup \text{orb}(h, \omega).$$

“(ii)  $\Rightarrow$  (i)” : Let  $M_1$  be the  $\{f^{\pm 1}, g^{\pm 1}, h^{\pm 1}\}$ -closure of  $M'_1$  and  $M_2 = M \setminus M_1$ . Then  $|M_1| = \aleph_0$  and  $|M_2| = |M|$ . Put  $f_i = f \upharpoonright M_i, g_i = g \upharpoonright M_i, h_i = h \upharpoonright M_i$  for  $i = 1, 2$ . Clearly  $f_2, g_2, h_2 \in S(M_2)$ , and (by definition of types  $T$ ), we have  $T_{f_2} = T_f, T_{g_2} = T_g$  and  $T_{h_2} = T_h$ .

By Lemma 3.7 it follows that  $h_1 \in f_1^{S(M_1)} \cdot g_1^{S(M_1)}$  and the property  $\mathcal{P}(T_h, T_f, T_g)$  implies that  $h_2 \in f_2^{S(M_2)} \cdot g_2^{S(M_2)}$ . Patching the components together we get  $h \in f^{S(M)} \cdot g^{S(M)}$ .

“(i)  $\Rightarrow$  (ii)” : Let  $h = f^k \cdot g^{k'}$  with  $k, k' \in S(M)$  be chosen by (i). Then by Observation 3.5 the first claim in (ii) follows immediately. Now let  $M_1$  be the  $\{f^{\pm 1}, g^{\pm 1}, h^{\pm 1}, k^{\pm 1}, (k')^{\pm 1}\}$ -closure of  $M'_1$ , which is countable, and put  $M_2 = M \setminus M_1$ . Thus  $|M_2| = |M|$ . Again, let  $f_2 = f \upharpoonright M_2, g_2 = g \upharpoonright M_2, h_2 = h \upharpoonright M_2, k_2 = k \upharpoonright M_2$  and  $k'_2 = k' \upharpoonright M_2$ . Then  $h_2 = f_2^{k_2} g_2^{k'_2}$  on  $M_2$  and  $\bar{h}_2(n) = 0$  or  $\bar{h}_2(n) = \bar{h}(n) \geq \aleph_1$  for all  $n \in \mathbb{N}_\infty$ , so  $T_{h_2} = T_h$  and the same holds for  $f_2, g_2$ . Thus we have  $\mathcal{P}(T_{h_2}, T_{f_2}, T_{g_2}) = \mathcal{P}(T_h, T_f, T_g)$ , so  $\mathcal{P}$  holds in (ii).  $\square$

## 4.2 Normal subsemigroups of $\text{Inj}(M)$

Throughout this section, let  $M$  be uncountable. Let  $N \subseteq \mathbb{N}$  be a subsemigroup. Now we want to refine the correspondence between the subsemigroup  $N$  and the normal subsemigroup  $\text{Inj}_N(M)$  obtained in (4.1) and (4.2). We fix the family of pairs

$$\mathfrak{P} = \mathbb{N} \times \mathfrak{T}_M. \tag{4.5}$$

We call a subset  $P$  of  $\mathfrak{P}$  an  *$N$ -type set* if it satisfies the following conditions:

(i) If  $(n, T) \in P$ , then  $n \in N$ .

(ii) For each  $n \in N$  there is  $T \in \mathfrak{T}_M$  such that  $(n, T) \in P$ .

(iii) If  $(n_1, T_1), (n_2, T_2) \in P$  and  $T \in \mathfrak{T}_M$  satisfies  $\mathcal{P}(T, T_1, T_2)$ , then  $(n_1 + n_2, T) \in P$ .

As an illustration we just note:

**Corollary 4.6.** *Let  $N$  be a subsemigroup of  $\mathbb{N}$  and  $P$  an  $N$ -type set. If  $(n, T) \in P$  with  $\sum_{n \geq 2} T(n) = |M|$ , then  $(2n, T') \in P$  for any  $T' \in \mathfrak{T}_M$ .*

*Proof.* Let  $T' \in \mathfrak{T}_M$ . We claim that  $P(T', T, T)$  holds. This follows from Theorem 4.4, since condition (4.4) is satisfied by Theorem 4.3. Now condition (iii) implies  $(2n, T') \in P$ .  $\square$

We observe that for a normal subsemigroup  $G \triangleleft \text{Inj}(M)$  the family

$$P(G) = \{(|M \setminus Mf|, T_f) \mid f \in G_{\text{fin}}\} \quad (4.6)$$

satisfies the

**Remark 4.7.**  *$P(G)$  is an  $N(G)$ -type set.*

*Proof.* Conditions (i) and (ii) are obvious. Now let  $f, g \in G_{\text{fin}}$  and  $m = |M \setminus Mf|, n = |M \setminus Mg|$  and let  $T \in \mathfrak{T}_M$  with  $\mathcal{P}(T, T_f, T_g)$ . Choose  $h \in \text{Inj}(M)$  with  $|M \setminus Mh| = m + n$  and  $T_h = T$ . By Proposition 4.5, we obtain  $h \in f^{S(M)}g^{S(M)} \subseteq G$ . So,  $(m + n, T) \in P(G)$ , proving condition (iii).  $\square$

Now let  $N$  be a subsemigroup of  $\mathbb{N}$  and let  $P$  be an  $N$ -type set. We put

$$\text{Inj}_P(M) = \{f \in \text{Inj}(M) \mid (|M \setminus Mf|, T_f) \in P\}.$$

We have an easy

**Observation 4.8.**  *$\text{Inj}_P(M)$  is a normal subsemigroup of  $\text{Inj}(M)$ , and  $\text{Inj}_P(M) \subseteq \text{Inj}_N(M)$ .*

*Proof.* Let  $f, g \in \text{Inj}_P(M), h = fg$ , and  $m = |M \setminus Mf|, n = |M \setminus Mg|$ . So  $(m, T_f), (n, T_g) \in P$ . By Proposition 4.5 we have  $\mathcal{P}(T_h, T_f, T_g)$  and so  $(m+n, T_h) \in P$  by condition (iii) for  $P$ . Since  $|M \setminus Mh| = m+n$ , we obtain  $h \in \text{Inj}_P(M)$ . Clearly,  $\text{Inj}_P(M)$  is normal and  $\text{Inj}_P(M) \subseteq \text{Inj}_N(M)$ .  $\square$

We give two examples of extreme cases. Let  $N = N(G)$  and  $P = P(G)$ .

First assume that  $G_{\text{fin}} \subseteq \text{Inj}^{\aleph_1}(M)$ . Then  $T_f = \widehat{1}$  for each  $f \in G_{\text{fin}}$ , so  $P = N \times \{\widehat{1}\}$  and  $\text{Inj}_P(M) = \text{Inj}_N^{\aleph_1}(M)$ .

Secondly, assume that  $G_{\text{grp}} = S(M)$ . We claim that then  $P = N \times \mathfrak{T}_M$ . Indeed, choose any  $n \in N$  and  $T \in \mathfrak{T}_M$ . There is  $f \in G_{\text{fin}}$  with  $|M \setminus Mf| = n$ . Let  $M_1$  be the  $f^{\pm 1}$ -closure of  $M \setminus Mf$ , which is countable. Put  $M_2 = M \setminus M_1$  and let  $f_i = f \upharpoonright M_i$  ( $i = 1, 2$ ). Then  $f_2 \in S(M_2)$ . We put  $f' = \text{id}_{M_1} \dot{\cup} f_2^{-1} \in S(M)$ . Also, there is  $g \in S(M)$  with  $T_g = T$ . Then  $f'g \in S(M) = G_{\text{grp}}$ , so  $ff'g \in G$ ,  $|M \setminus Mff'g| = |M \setminus Mf| = n$ , and  $T_{ff'g} = T_g = T$ , showing  $(n, T) \in P$  and our claim. Hence  $\text{Inj}_P(M) = \text{Inj}_N(M)$ .

**Lemma 4.9.** *If  $f, g \in \text{Inj}_{\text{fin}}(M) \cup \text{Inj}_{\aleph_0}(M)$ , then*

$$f \in g^{S(M)} S^{\aleph_1}(M) \iff (|M \setminus Mf| = |M \setminus Mg| \text{ and } T_f = T_g).$$

*Proof.* “ $\Rightarrow$ ” : The first condition  $|M \setminus Mf| = |M \setminus Mg|$  is clear.

If  $f = g^h \cdot k$  for  $h \in S(M)$  and  $k \in S^{\aleph_1}(M)$ , then let

$$M'_1 = [k] \cup (M \setminus Mf) \cup (M \setminus Mg) \cup \text{orb}(f, \omega) \cup \text{orb}(g, \omega),$$

and let  $M_1$  be the  $\{f^{\pm 1}, g^{\pm 1}, h^{\pm 1}, k^{\pm 1}\}$ -closure of  $M'_1$ , which is countable. Set  $M_2 = M \setminus M_1$  and consider the restrictions  $f_2, g_2, k_2, h_2$  of  $f, g, k, h$  to  $M_2$ , respectively. It follows that  $k_2 = \text{id}_{M_2}, f_2 = g_2^{h_2}$ , and  $T_f = T_{f_2} = T_{g_2} = T_g$ , as required.

“ $\Leftarrow$ ” : We let  $M_1$  be the  $\{f^{\pm 1}, g^{\pm 1}\}$ -closure of

$$M'_1 = (M \setminus Mf) \cup (M \setminus Mg) \cup \text{orb}(f, \omega) \cup \text{orb}(g, \omega),$$

which is countable, and let  $M_2 = M \setminus M_1$ . Let  $f_i, g_i$  be the restrictions of  $f, g$  on  $M_i$  ( $i = 1, 2$ ). Then  $M_1 \setminus M_1 f_1 = M \setminus Mf$  and  $M_1 \setminus M_1 g_1 = M \setminus Mg$ . We define  $h_1 \in S(M_1)$  such that

$$h_1 \upharpoonright (M_1 \setminus M_1 g_1) : M_1 \setminus M_1 g_1 \longrightarrow M_1 \setminus M_1 f_1$$

is any bijection and

$$h_1 \upharpoonright M_1 g_1 : M_1 g_1 \longrightarrow M_1 f_1 \quad (xg_1 \mapsto xf_1)$$

which is also bijective. Then  $f_1 = g_1 h_1$ . Also,  $f_2, g_2 \in S(M_2)$  which are conjugates by  $T_{f_2} = T_f = T_g = T_{g_2}$ . We write  $f_2 = (g_2)^{h_2}$ ,  $h'_2 = \text{id}_{M_1} \dot{\cup} h_2$  and  $h'_1 = h_1 \dot{\cup} \text{id}_{M_2}$ . Thus  $|h'_1| \leq \aleph_0$  and  $f = g^{h'_2} h'_1$  is as required.  $\square$

**Lemma 4.10.** *Let  $G_{\text{grp}} \supseteq S^{\aleph_1}(M)$  and  $G_{\text{fin}} \not\subseteq \text{Inj}^{\aleph_1}(M)$ . Then  $G_{\text{fin}} = \text{Inj}_{P(G)}(M)$ .*

*Proof.* The inclusion  $G_{\text{fin}} \subseteq \text{Inj}_{P(G)}(M)$  is trivial. For the converse, let  $f \in \text{Inj}_{P(G)}(M)$ . So there is  $g \in G_{\text{fin}}$  with  $|M \setminus Mf| = |M \setminus Mg|$  and  $T_f = T_g$ . By Lemma 4.9 and the assumption on  $G_{\text{grp}}$ , we obtain  $f \in g^{S(M)} S^{\aleph_1}(M) \subseteq G$ . Hence  $f \in G_{\text{fin}}$ .  $\square$

## 5 Characterizing $G_{\text{fin}}$ for uncountable sets $M$

### 5.1 The case: $G$ contains a permutation with infinite support

We are ready to characterize the normal subsemigroups of  $\text{Inj}(M)$  under the restrictions of this section. By Theorem 3.10 we have  $S^{\aleph_1}(M) \subseteq G_{\text{grp}}$ .

**Theorem 5.1.** *Let  $M$  be uncountable,  $G \triangleleft \text{Inj}(M)$  with  $G_{\text{fin}} \neq \emptyset$  and  $S^{\aleph_1}(M) \subseteq G_{\text{grp}}$ . Then there is a subsemigroup  $N \subseteq (\mathbb{N}, +)$  such that  $G_{\text{fin}} \subseteq \text{Inj}_N(M)$ . Moreover, we have:*

(i) *If  $G_{\text{fin}} \subseteq \text{Inj}^{\aleph_1}(M)$ , then  $G_{\text{fin}} = \text{Inj}_N^{\aleph_1}(M)$ .*

(ii) *If  $G_{\text{fin}} \not\subseteq \text{Inj}^{\aleph_1}(M)$ , there is an  $N$ -type set  $P$  such that  $G_{\text{fin}} = \text{Inj}_P(M)$ .*

(iii) *If  $G_{\text{grp}} = S(M)$ , then  $G_{\text{fin}} = \text{Inj}_N(M)$ .*

*All these combinations give rise to normal subsemigroups  $G \triangleleft \text{Inj}(M)$ .*

*Proof.* Let  $N = N(G)$ . The descriptions of  $G_{\text{fin}}$  in (i) and (iii) follow from Lemma 4.1 (with  $\alpha = \aleph_1$ , respectively  $\alpha = |M|^+$ ) and in (ii) from Lemma 4.10. The last statement is immediate by Observation 4.8.  $\square$

## 5.2 The case $G \cap S(M) = 1$

In this section, we assume that  $G \cap S(M) = 1$ . Then it may be the case that there are  $f \in G_{\text{fin}}$  and  $g \in \text{Inj}(M)$  with  $|M \setminus Mf| = |M \setminus Mg|$  and  $T_f = T_g$ , but  $\bar{f} \neq \bar{g}$  and  $g \notin G_{\text{fin}}$ . (For instance, we may choose any  $f \in \text{Inj}(M) \setminus S(M)$  with  $\bar{f}(n) \leq \aleph_0$  for some  $n \in \mathbb{N}$ . Let  $G$  be the normal subsemigroup of  $\text{Inj}(M)$  generated by  $f$ . If  $g \in \text{Inj}(M)$  with  $|M \setminus Mf| = |M \setminus Mg|$  and  $\bar{g}(n) \neq \bar{f}(n)$ , then  $g \notin G$  by Lemma 3.6 and Observation 3.5.) Then  $G_{\text{fin}} \neq \text{Inj}_{P(G)}(M)$ , so we do not have the characterization of Theorem 5.1.

For any subset  $B \subseteq \text{Inj}_{\text{fin}}(M)$  let

$$P(B) = \{(|M \setminus Mf|, T_f) \mid f \in B\} \subseteq \mathfrak{P} \quad (5.1)$$

We also say that  $(k, T) \in P$  is *reducible* if and only if there are two types  $(n_1, T_1), (n_2, T_2) \in P$  such that  $k = n_1 + n_2$  and  $P(T, T_1, T_2)$  holds. Otherwise,  $(k, T)$  is called *irreducible*.

**Theorem 5.2.** *If  $M$  is uncountable,  $G \triangleleft \text{Inj}(M)$ ,  $G \cap S(M) = 1$  and  $P = P(G)$ , then*

$$G_{\text{fin}} = B \dot{\cup} \{f \in \text{Inj}(M) \mid (|M \setminus Mf|, T_f) \in P \text{ is reducible}\} \quad (5.2)$$

and  $B \subseteq \text{Inj}_{\text{fin}}(M)$  is a normal subset which satisfies

$$P(B) = \{(n, T) \in P \mid (n, T) \text{ is irreducible}\}. \quad (5.3)$$

*Conversely, each righthand side of the displayed equation (5.2) is a subsemigroup of  $\text{Inj}_{\text{fin}}(M)$  normal in  $\text{Inj}(M)$ . Moreover, in this case  $G_{\text{fin}}$  is the subsemigroup generated by  $B$ .*

*Proof.* Put  $B = \{f \in G_{\text{fin}} \mid (|M \setminus Mf|, T_f) \text{ irreducible in } P\}$ . Then  $B$  is normal in  $\text{Inj}(M)$  and we claim that (5.2) holds.

If  $h \in \text{Inj}(M)$  and  $(|M \setminus Mh|, T_h) \in P(G)$  is reducible, then there are  $f, g \in G_{\text{fin}}$  such that  $|M \setminus Mh| = |M \setminus Mf| + |M \setminus Mg|$  and  $\mathcal{P}(T_h, T_f, T_g)$  holds. By Proposition 4.5 it follows that  $h \in f^{S(M)}g^{S(M)} \subseteq G_{\text{fin}}$ . This is one inclusion of (5.2), and the converse inclusion holds trivially.

To verify (5.3), let  $(n, T) \in P(G)$  be irreducible. So there is  $f \in G_{\text{fin}}$  with  $|M \setminus Mf| = n$  and  $T_f = T$ . By definition, then  $f \in B$ , proving (5.3).

Given a subsemigroup  $N \subseteq \mathbb{N}$  and an  $N$ -type set  $P$ , then the corresponding righthand side of (5.2) is a normal subsemigroup of  $\text{Inj}(M)$  as seen by the proof of Observation 4.8.

It remains to show that  $G_{\text{fin}}$  is generated by  $B$ . Let  $h \in G_{\text{fin}} \setminus B$ . First assume that there are two irreducible types  $(n_1, T_1), (n_2, T_2) \in P$  such that  $|M \setminus Mh| = n_1 + n_2$  and  $P(T_h, T_1, T_2)$ . Then  $(n_1, T_1), (n_2, T_2) \in P(B)$ , so there are  $f, g \in B$  with  $n_1 = |M \setminus Mf|, T_1 = T_f, n_2 = |M \setminus Mg|, T_2 = T_g$ . By Proposition 4.5, we obtain  $h \in f^{S(M)}g^{S(M)} \subseteq B \cdot B$ . In the general case, an induction shows that  $h$  is a finite product of elements from  $B$ .  $\square$

### 5.3 The cases $G \cap S(M) = \text{Fin}(M)$ and $G \cap S(M) = \text{Alt}(M)$

In this case we adopt an equivalence relation  $\approx$  on  $\text{Inj}(M)$  from Mesyan [12, Definition 19] and say that  $f \approx g$  for  $f, g \in \text{Inj}(M)$  if the following conditions hold:

- (i)  $F = \{n \in \mathbb{N} \mid \bar{f}(n) \neq \bar{g}(n)\}$  is finite, and if  $n \in F$ , then  $\bar{f}(n), \bar{g}(n) \in \mathbb{N}$ .
- (ii)  $\bar{f}(\aleph_0) = \bar{g}(\aleph_0)$
- (iii)  $|M \setminus Mf| = |M \setminus Mg|$

A set  $B \subseteq \text{Inj}(M)$  is  $\approx$ -closed if for any  $f \in B, f \approx g \in \text{Inj}(M)$  implies  $g \in B$ . Clearly, then  $B$  is normal in  $\text{Inj}(M)$ . The following characterization of  $\approx$  can be shown just as in [12]. It rests on the effect of multiplying one or two infinite orbits by a transposition.

**Proposition 5.3.** (Mesyan [12, Proposition 24]) *Let  $M$  be any infinite set and  $f, g \in \text{Inj}(M) \setminus S(M)$ . Then  $f \approx g$  if and only if  $f \in \text{Fin}(M)(g^{S(M)})\text{Fin}(M)$ .*

For uncountable sets  $M$ , we can strengthen this result as follows.

**Proposition 5.4.** *Let  $M$  be any uncountable set and  $f, g \in \text{Inj}_{\text{fin}}(M) \setminus S(M)$ . Then  $f \approx g$  if and only if  $f \in \text{Alt}(M)(g^{S(M)})\text{Alt}(M)$ .*

*Proof.* The ‘if’-direction is immediate by Proposition 5.3. Hence we may assume  $f \approx g$ . Choose  $n \in \mathbb{N}_\infty$  such that  $\bar{f}(n)$  is uncountable, hence  $\bar{g}(n) = \bar{f}(n)$ . Let  $A$  (resp.  $B$ ) be the union of countably-infinitely many  $n$ -orbits of  $f$  (resp.  $g$ ). Let  $M_1$  be the  $\{f^{\pm 1}, g^{\pm 1}\}$ -closure of the set

$$(M \setminus Mf) \cup (M \setminus Mg) \cup \text{orb}(f, \omega) \cup \text{orb}(g, \omega) \cup A \cup B,$$

which is countable, and  $M_2 = M \setminus M_1$ . Let  $f_i = f \upharpoonright M_i, g_i = g \upharpoonright M_i$  for  $i = 1, 2$ . Then  $f_1 \approx g_1$  in  $\text{Inj}(M_1)$  and  $\bar{f}_1(n) = \bar{g}_1(n) = \aleph_0$ . Applying Proposition 5.3 we obtain

$f_1 \in \text{Fin}(M_1)(g_1^{S(M_1)})\text{Fin}(M_1)$ , and [12, Lemma 26] using that  $\overline{f_1}(n) = \overline{g_1}(n) = \aleph_0$  implies  $f_1 \in \text{Alt}(M_1)(g_1^{S(M_1)})\text{Alt}(M_1)$ . Also  $f_2, g_2 \in S(M_2)$  and  $f_2 \approx g_2$ , so  $\overline{f_2} = \overline{g_2}$ . Hence  $f_2 \in g_2^{S(M_2)}$ . Thus  $f \in \text{Alt}(M)(g^{S(M)})\text{Alt}(M)$  as needed.  $\square$

This result will enable us to use in Theorem 5.5 the same relation  $\approx$  for both cases  $G_{\text{grp}} = \text{Fin}(M)$  and  $G_{\text{grp}} = \text{Alt}(M)$ , which provides a contrast to the result for countable sets  $M$ , cf. [12, Theorem 34].

**Theorem 5.5.** *Let  $M$  be uncountable,  $G \triangleleft \text{Inj}(M)$ ,  $G_{\text{grp}} = \text{Fin}(M)$  or  $G_{\text{grp}} = \text{Alt}(M)$ ,  $G_{\text{fin}} \neq \emptyset$  and  $P = P(G)$ . Then*

$$G_{\text{fin}} = B \dot{\cup} \{f \in \text{Inj}(M) \mid (|M \setminus Mf|, T_f) \in P \text{ is reducible}\} \quad (5.4)$$

and  $B \subseteq \text{Inj}_{\text{fin}}(M)$  is a  $\approx$ -closed subset which satisfies

$$P(B) = \{(n, T) \in P \mid (n, T) \text{ is irreducible}\}. \quad (5.5)$$

Conversely, each righthand side of the displayed equation (5.5) is a normal subsemigroup of  $\text{Inj}_{\text{fin}}(M)$ . Moreover, in this case  $G_{\text{fin}}$  is the subsemigroup generated by  $B$ .

*Proof.* Assume  $G_{\text{grp}} = \text{Fin}(M)$ . Put

$$B = \{f \in G_{\text{fin}} \mid (|M \setminus Mf|, T_f) \text{ irreducible in } P\}.$$

Then  $B$  is  $\approx$ -closed by Proposition 5.3 and  $\text{Fin}(M) \subseteq G$ . The remaining arguments for the theorem are the same as in Theorem 5.2.

Now let  $G_{\text{grp}} = \text{Alt}(M)$ . We can follow the above argument, but we use Proposition 5.4 to get that  $B$  is  $\approx$ -closed to obtain the result.  $\square$

## 6 Maximal normal subsemigroups of $\text{Inj}(M)$

We determine the maximal normal subsemigroups of  $\text{Inj}(M)$ .

**Theorem 6.1.** *The following constitute all the maximal normal subsemigroups of  $\text{Inj}(M)$  where  $\kappa = |M|$ :*

$$(i) S^\kappa(M) \dot{\cup} \text{Inj}_{\text{fin}}(M) \dot{\cup} \bigcup_{\aleph_0 \leq \mu \leq \kappa} \text{Inj}_\mu^{\kappa^+}(M).$$

$$(ii) S(M) \dot{\cup} \text{Inj}_{\mathbb{N} \setminus \{1\}}(M) \dot{\cup} \bigcup_{\aleph_0 \leq \mu \leq \kappa} \text{Inj}_\mu^{\kappa^+}(M).$$



(iii)  $S(M) \dot{\cup} \text{Inj}_{\text{fin}}(M) \dot{\cup} \bigcup_{\mu \in X} \text{Inj}_{\mu}^{\kappa^+}(M)$ , for some  $\aleph_0 \leq \mu' \leq \kappa$  and  $X = \{\mu \mid \mu \neq \mu', \aleph_0 \leq \mu \leq \kappa\}$ .

Each proper normal subsemigroup of  $\text{Inj}(M)$  is contained in a maximal one.

*Proof.* By Theorems 3.10 and 5.1, the above sets in (i)-(iii) are normal subsemigroups of  $\text{Inj}(M)$ . Clearly,  $\mathbb{N} \setminus \{1\}$  is the greatest proper subsemigroup of  $\mathbb{N}$ . Hence, by Theorems 3.10 and 5.1, each proper, normal subsemigroup of  $\text{Inj}(M)$  is contained in one of the subsemigroups of (i)-(iii). Hence these are maximal.  $\square$

Consequently, if  $|M| = \aleph_i$  ( $i$  an ordinal), then  $\text{Inj}(M)$  has precisely  $|i| + 3$  maximal normal subsemigroups. In the contrast we note:

**Corollary 6.2.**  $\text{Inj}(M)$  contains precisely  $2^{c(M)^{\aleph_0}}$  normal subsemigroups, where  $c(M) = |\{\mu \mid \mu \text{ cardinal, } \mu \leq |M|\}|$ .

For instance, if  $|M| = \aleph_0$  or  $|M| = \aleph_1$ , we have  $c(M) = \aleph_0$  and  $2^{c(M)^{\aleph_0}} = 2^{2^{\aleph_0}}$ .

*Proof.* We can obtain  $2^{c(M)^{\aleph_0}}$  normal subsemigroups as follows. For any set  $X$  of functions  $T : \mathbb{N}_{\infty} \rightarrow c(M)$  put

$$B_X = \{f \in \text{Inj}(M) \mid |M \setminus Mf| = 1, \bar{f} \in X\},$$

and let

$$G_X = B_X \dot{\cup} \{f \in \text{Inj}_{\text{fin}}(M) \mid |M \setminus Mf| \geq 2\}.$$

By Theorem 5.2,  $G_X$  is a normal subsemigroup, and  $G_X \subseteq G_Y$  if and only if  $X \subseteq Y$ . Since the powerset of a set of size  $c(M)^{\aleph_0}$  contains an antichain of subsets of size  $2^{c(M)^{\aleph_0}}$ , we even obtain such a large antichain in the lattice of normal subsemigroups of  $\text{Inj}(M)$ .

It remains to show that  $2^{c(M)^{\aleph_0}}$  is the maximal number of normal subsemigroups  $G$  of  $\text{Inj}(M)$ . If  $M$  is countable, this is clear since  $|\text{Inj}(M)| = 2^{\aleph_0}$ . Hence we may assume that  $M$  is uncountable. By Theorem 3.10, we have to show that there are no more than  $2^{c(M)^{\aleph_0}}$  choices for  $G_{\text{fin}}$ . Recall that  $\mathbb{N}$  contains only countably many subsemigroups, cf. [16]. Hence it suffices to consider the possibilities for Theorems 5.1(ii), 5.2 and 5.5. For Theorem 5.1(ii), let  $N$  be any subsemigroup of  $\mathbb{N}$ . Any  $N$ -type set  $P$  contains for each  $n \in \mathbb{N}$  at most  $c(M)^{\aleph_0}$  pairs  $(n, T)$  with  $T \in \mathfrak{T}_M$ . Hence there are at most  $2^{c(M)^{\aleph_0}}$  distinct  $N$ -type sets  $P$ , and consequently the number of possibilities for  $G_{\text{fin}}$  as in Theorem 5.1(ii) has the same upper bound. In the situation of Theorems 5.2 and 5.5,  $G_{\text{fin}}$  is generated by a normal subset  $B \subseteq \text{Inj}_{\text{fin}}(M)$ . Any such  $B$  is the union of conjugacy classes  $f^{S(M)}$  with  $f \in \text{Inj}_{\text{fin}}(M)$ . There are  $c(M)^{\aleph_0}$  possible choices for  $\bar{f}$  and thus, by Lemma 3.6, the same number of choices for  $f^{S(M)}$ . Consequently, the number of possibilities for  $B$  and hence for  $G_{\text{fin}}$  is bounded by  $2^{c(M)^{\aleph_0}}$ .  $\square$

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