# Parsing and Translation Algorithms based on Weighted Extended Tree Transducers

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Parsing and Translation based on WXTT

Motivation

## Background

#### Recall

Weighted tree transducers of considerable interest nowadays in statistical, syntax-based machine translation

#### But

- parsing and translation with tree transducers traditionally defined for input trees
- whereas NLP applications typically process strings



## Goals

#### Theorem

**BAR-HILLEL** (1964) showed that the intersection of a context-free language with a regular language is context-free.

#### Use

Foundation of tabular parsing algorithms for CFGs

### Our goals

- extension to weighted tree transducers (→ string alignment/parsing and string translation algorithms)
- computing interesting statistical parameters (maximum-likelihood unsupervised probabilities, partition function)
- asymptotically improve computational complexity of the algorithm



## Goals

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## Extended Tree Transducer

#### References

- ARNOLD, DAUCHET: Bi-transductions de forêts. ICALP 1976
- ENGELFRIET: Bottom-up and top-down tree transformations—a comparison. *Math. Syst. Theory 9*, 1975
- GRAEHL, KNIGHT, MAY: Training tree transducers. *Computational Linguistics 34*, 2008
- ~, GRAEHL, HOPKINS, KNIGHT: The power of extended top-down tree transducers. *SIAM J. Comput. 39*, 2009



## Syntax

### Definition

- $M = (Q, \Sigma, \Delta, I, R)$  extended tree transducer (xtt)
  - Q finite set of states
  - $\Sigma$  and  $\Delta$  ranked alphabets
  - $I: Q \to \mathbb{R}$  initial weight distribution
  - $R: \bigcup_{k\geq 0} Q \times C_{\Sigma}(X_k) \times Q^k \times C_{\Delta}(X_k) \to \mathbb{R}$  is a *rule weight assignment* such that
    - supp(R) is finite and
    - $\{l, r\} \not\subseteq X$  for every  $(q, l, w, r) \in \text{supp}(R)$ .

### References

- ARNOLD, DAUCHET: Bi-transductions de forêts. ICALP 1976
- GRAEHL, KNIGHT: Training tree transducers. HLT-NAACL 2004



## Syntax — Example



#### Example

$$(q, x_1, q_S, CONJ x_1)$$



## Syntax — Example



### Example

$$(q_{\rm S}, x_1 \bigvee_{x_2} V_{x_3}, q_{\rm NP} q_{\rm V} q_{\rm NP}, x_2 \bigvee_{x_1}^{\rm S'} x_3)$$



## Syntax — Example



Example

$$(q_{\mathsf{V}}, egin{array}{c} \mathsf{V} & \mathsf{V} \\ ert & , arepsilon, \ areps$$



## Syntax — Example



### Example

States  $\{q, q_S, q_V, q_{NP}\}$  of which only q is initial

 $\begin{array}{cccc} \mathsf{NP} & \mathsf{NP} \\ (q_{\mathsf{NP}}, \mathsf{DT} & \mathsf{N} &, \varepsilon, & \mathsf{N} \\ | & | & | \\ the & boy & atefl \end{array}$ 



## Syntax — Example



### Example





## Semantics

### Definition

match(t) is

$$\{(I, t_1, \ldots, t_k) \mid I \in C_{\Sigma}(X_k), t_1, \ldots, t_k \in T_{\Sigma}(X) \colon t = I[t_1, \ldots, t_k]\}$$



## Semantics

### Definition

Let 
$$q, p_1, \dots, p_n \in Q$$
,  $t \in T_{\Sigma}(X_n)$ , and  $u \in T_{\Delta}(X_n)$ .  
 $M_{p_i}^{p_1 \cdots p_n}(x_i, x_i) = 1$   
 $M_q^{p_1 \cdots p_n}(t, u) = \sum_{\substack{(l, t_1, \dots, t_k) \in \text{match}(t) \\ (r, u_1, \dots, u_k) \in \text{match}(u) \\ q_1, \dots, q_k \in Q}} R(q, l, q_1 \cdots q_k, r) \cdot \prod_{i=1}^k M_{q_i}^{p_1 \cdots p_n}(t_i, u_i)$   
Then

$$M(t,u) = \sum_{q \in Q} I(q) \cdot M_q(t,u)$$



## Semantics — Example

### Example



$$(q, x_1, q_S, \underset{wa-}{\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}}\overset{\mathsf{S}}{\underset{wa-}}}\overset{\mathsf{S}}{\underset{wa-}}\overset{\mathsf{S}}{\underset{wa-}}}\overset{\mathsf{S}}{\underset{wa-}}}}\overset{\mathsf{S}}{\underset{wa-}}}\overset{\mathsf{S}}{\underset{wa-}}}}}}}}}}}}}}}}}}}}}}}}$$



## Semantics — Example

### Example



$$(q, x_1, q_S, CONJ x_1)$$



## Semantics — Example

### Example



$$(q_{\rm S}, x_1 \bigvee_{X_2 X_3}^{\rm NP}, q_{\rm NP}q_{\rm V}q_{\rm NP}, x_2 X_1 X_3)$$



## Semantics — Example

### Example



$$(q_{\mathsf{V}}, egin{array}{ccc} \mathsf{V} & \mathsf{V} \ | & , arepsilon, & | \ saw & ra'aa \end{array})$$



## Semantics — Example

### Example







## Semantics — Example

### Example







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2 Extended Tree Transducer



### Factorization



## Definition

### Definition

### Let $T: T_{\Sigma} \times T_{\Delta} \to \mathbb{R}$ and $L: T_{\Sigma} \to \mathbb{R}$ .

$$(L \triangleleft T)(t, u) = L(t) \cdot T(t, u)$$

#### Application

- parsing
- forward and backward application



## Input Restriction

#### References

- BAR-HILLEL, PERLES, SHAMIR: On formal properties of simple phrase structure grammars. *Language and Information: Selected Essays on their Theory and Application*, Addison Wesley. 1964
  - [for CFG]
- NEDERHOF AND GIORGIO SATTA: Probabilistic parsing as intersection. IWPT 2003 [for SCFG]
- ~, SATTA: Parsing algorithms based on tree automata. IWPT 2009 [for RTG]
- NEDERHOF: Weighted parsing of trees. IWPT 2009 [for STAG]
- ~: Input products for weighted extended top-down tree transducers. DLT 2010 [for general xtt]



Input Restriction

# Weighted String Automaton

#### Definition

weighted string automaton (wsa)  $A = (P, \Gamma, J, \mu, F)$  where

- P finite set of states
- Γ alphabet of input symbols
- $J, F \colon P \to \mathbb{R}$  initial and final distribution
- $\mu \colon \Gamma \to \mathbb{R}^{P \times P}$  transition weights

### Definition (Semantics)

• Extend  $\mu$  to a homomorphism  $\mu^* \colon \Gamma^* \to \mathbb{R}^{P \times P}$ 

• 
$$A(w) = J\mu^*(w)F$$

•  $\mu^*(w)$  can be computed in time  $O(|w| \cdot |P|^3)$ 



## Construction

### Input rule

$$R(q_{\sf NP}, \begin{array}{ccc} \mathsf{NP} & \mathsf{NP} \\ \mathsf{DT} & \mathsf{N} \\ \mathsf{I} & \mathsf{I} \\ \mathsf{the} & \mathsf{door} & \mathsf{albab} \end{array}) = c$$

## Constructed rule

$$R'(\langle p, q_{\mathsf{NP}}, p' \rangle, \mathsf{DT} \mathsf{N}, \varepsilon, \mathsf{N} | ) = c \cdot \mu^*(\text{the door})_{p,p'}$$
$$\downarrow the door albab$$



Input Restriction

## Result

#### Theorem

For every wsa  $A = (P, \Gamma, J, \mu, F)$  and xtt  $M = (Q, \Sigma, \Delta, I, R)$  with  $\Gamma = \Sigma_0$ , the input restriction  $A \triangleleft M$  can be constructed in time

 $O(|\text{supp}(R)| \cdot \text{len}(M) \cdot |P|^{2 \operatorname{rk}(M) + 5})$ 

- len(M) longest span in l of a rule  $(q, l, w, r) \in supp(R)$
- rk(M) largest k such that

 $\operatorname{supp}(R) \cap (Q \times C_{\Sigma}(X_k) \times Q^k \times C_{\Delta}(X_k)) \neq \emptyset$ 



# Result (cont'd)

- no direct correspondence between runs [some runs of the wsa can be collapsed]
- but consistent with k best run extraction [the best run of the restriction is better than the product of the best individual runs]
- direct correspondence between runs obtainable at expense of additional states



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- 2 Extended Tree Transducer
- 3 Input Restriction





## Factorization

### Motivation

• rk(*M*) essential part in the complexity of xtt operations [like input restriction]

 $O(|\operatorname{supp}(R)| \cdot \operatorname{len}(M) \cdot |P|^{2\operatorname{rk}(M)+5})$ 

### Factorization

- reduce rk(M) by decomposing rules
- maximal decomposition prefered



## Factorization (cont'd)

#### References

- ZHANG, HUANG, GILDEA, KNIGHT: Synchronous binarization for machine translation. HLT-NAACL 2006 [for SCFG]
- GILDEA, SATTA, ZHANG: Factoring synchronous grammars by sorting. CoLing/ACL 2006 [for SCFG]
- NESSON, SATTA, SHIEBER: Optimal *k*-arization of synchronous tree-adjoining grammar. ACL 2008 [for STAG]
- GILDEA: Optimal parsing strategies for linear context-free rewriting systems. HLT-NAACL 2010 [for LCFRS]



## Maximal Factorization

### Common advertisement slogan

- Optimal k-arization
- Optimal parsing strategy

#### But

- factorization is just one way to reduce rk(M)
- obtained "optimal" rank is not optimal for the given transformation
- rk(M) is just one parameter to the parsing complexity

#### Conclusion

- optimal k-arization  $\neq$  maximal factorization
- optimal parsing strategy  $\neq$  maximal factorization



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## Main Definition

#### Definition

xtt  $M' = (Q', \Sigma, \Delta, I', R')$  is a factorization of the xtt  $M = (Q, \Sigma, \Delta, I, R)$  if

•  $\mathit{I}'(q) = \mathit{I}(q)$  for every  $q \in Q$ 

• 
$$I'(q)=0$$
 for every  $q\in Q'\setminus Q$ 

• 
$$(M')_q^{p_1\cdots p_n}(t,u) = M_q^{p_1\cdots p_n}(t,u)$$
 for every  $q, p_1, \ldots, p_n \in Q$ ,  $t \in T_{\Sigma}(X_n)$ , and  $u \in T_{\Delta}(X_n)$ .

#### Theorem

If M' is a factorization of M, then M' and M are equivalent.



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# Main Definition (cont'd)

#### Theorem

The relation 'is a factorization of' is a pre-order. Moreover, in an additively cancellative semiring it is a partial order.

#### Note

• maximality and optimality are now wrt. this pre-order

then: maximal factorization = optimal k-arization

### Mind

• not binarizable: there exists no equivalent binary xtt

• optimal xtt not binary: there exists no binary factorization



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# Construction

### Example

$$(q, x_1 \overset{\sigma}{\underset{x_3 \\ x_2 \\ x_2 \\ x_2 \\ x_2 \\ x_2 \\ x_2 \\ x_3 \\ x_2 \\ x_1 \\ \gamma \\ x_2 \\ x_3 \\ x_2 \\ x_3 \\ x_2 \\ x_3 \\ x_2 \\ x_3 \\ x_1 \\ y \\ x_1 \\ x_2 \\ x_3 \\ x_1 \\ y \\ x_1 \\ x_2 \\ x_3 \\ x_1 \\ x_2 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_2 \\ x_1 \\ x_1 \\ x_2 \\ x_2 \\ x_3 \\ x_1 \\ x_1 \\ x_1 \\ x_2 \\ x_1 \\ x_2 \\ x_1 \\ x_2 \\ x_1 \\ x_1 \\ x_2 \\ x_1 \\ x_2 \\ x_1 \\ x_1 \\ x_2 \\ x_2 \\ x_1 \\ x_1 \\ x_2 \\ x_1 \\ x_1 \\ x_1 \\ x_2 \\ x_1 \\ x$$

#### **Constructed rules**



# Construction

### Example

$$(q, x_1 \overset{\sigma}{\underset{x_3}{\checkmark}}, q_1 q_2 q_3, x_1 \overset{\gamma}{\underset{x_2}{\checkmark}})$$

#### **Constructed rules**



## Construction

### Example

$$(q, x_1 \overset{\sigma}{\underset{x_3}{\checkmark}}, q_1 q_2 q_3, x_1 \overset{\gamma}{\underset{x_2}{\checkmark}})$$

### Constructed rules

$$(q, \bigwedge_{x_1 \ x_2}^{\sigma}, q_1 \star, \bigwedge_{x_1 \ x_2}^{\gamma})$$
  $(\star, \bigwedge_{x_2 \ x_1}^{\sigma}, q_2 q_3, \bigwedge_{x_1 \ x_2}^{\gamma})$ 



# Construction (cont'd)

- full construction in the paper
- runs in linear time
- returns maximal (meaningful) factorization
- returned xtt is rank-optimal (among all factorizations)



# Thank You for your attention!

