

MYHILL-NERODE Theorem for Recognizable Tree Series — Revisited

Andreas Maletti

LATIN — 7 April 2008

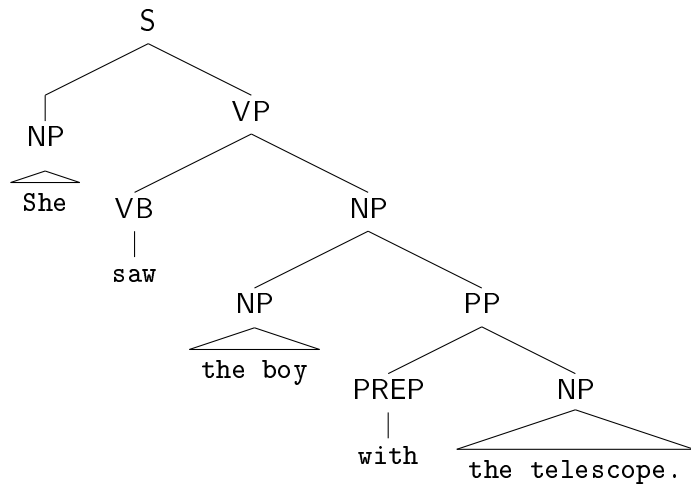
Representation of parses

Input sentence

She saw the boy with the telescope.

Representation of parses

Parses

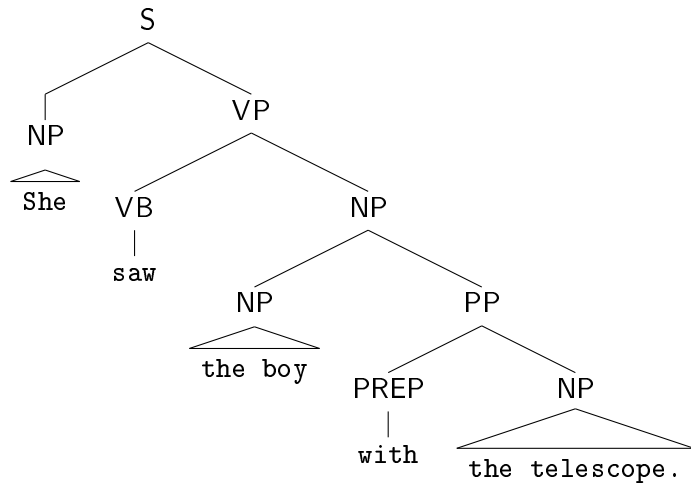


maybe

Representation of parses

Parses

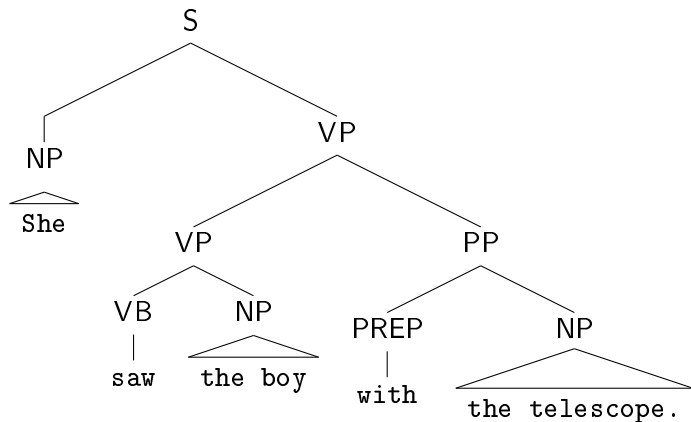
0.33



Representation of parses

Parses

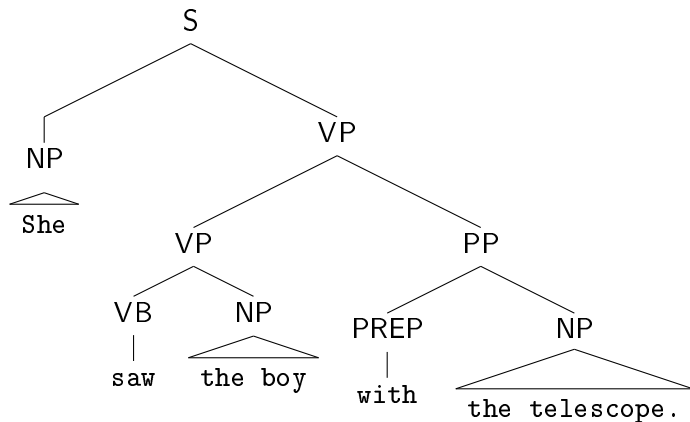
more likely



Representation of parses

Parses

0.66



Tree Series

Prerequisites

- ▶ **Semiring** structure on weights

Tree Series

Prerequisites

- ▶ Semiring structure on weights; e.g. $(\mathbb{R}, +, \cdot, 0, 1)$

Tree Series

Prerequisites

- ▶ Semiring structure on weights
- ▶ **Commutative** semiring; i.e. \cdot commutative

Tree Series

Prerequisites

- ▶ Semiring structure on weights
- ▶ Commutative semiring; i.e. \cdot commutative
- ▶ A mapping f assigning weights to infinitely many trees

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Question

How to **finitely** represent such maps f ?

Tree Series

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- ▶ Semiring structure on weights
- ▶ Commutative semiring; i.e. \cdot commutative
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Question

How to **finitely** represent such maps f ?

Immediate answer

Non-default value ($\neq 0$) for only finitely many trees

Tree Series

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- ▶ Commutative semiring; i.e. \cdot commutative
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How to **finitely** represent such maps f ?

Better answer

Finite-state automaton computes map

Recognizable Tree Series

Determinism

- ▶ For efficiency we prefer deterministic devices
- ▶ Single run for each input

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- ▶ Can a given map f be computed in this way?
- ▶ How many states are needed to compute a map f ?

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Answer

The **MYHILL-NERODE** congruence relation

Table of Contents

Motivation

Weighted tree automaton

MYHILL-NERODE characterizations

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Motivation

Weighted tree automaton

MYHILL-NERODE characterizations

Syntax

Definition (Borchardt and Vogler '03)

Weighted tree automaton: (Q, Σ, A, μ, F)

- ▶ Q finite set of states
- ▶ Σ ranked alphabet of input symbols
- ▶ $A = (A, +, \cdot, 0, 1)$ commutative semiring of weights
- ▶ $\mu = (\mu_k)_{k \geq 0}$ with $\mu_k: Q^k \times \Sigma^{(k)} \times Q \rightarrow A$ transition weights
- ▶ $F: Q \rightarrow A$ final weights

Syntax

Definition (Borchardt and Vogler '03)

Weighted tree automaton: (Q, Σ, A, μ, F)

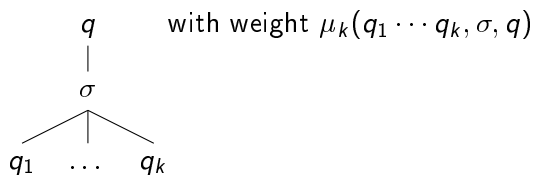
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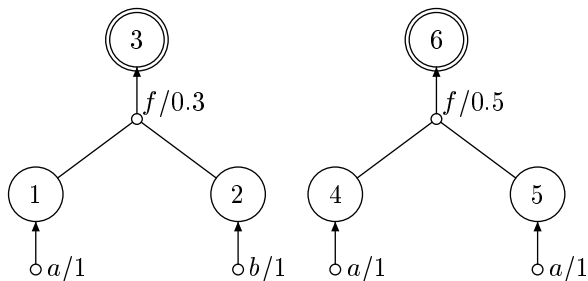
deterministic wta: for every $(w, \sigma) \in Q^k \times \Sigma^{(k)}$ there exists exactly one $q \in Q$ such that $\mu_k(w, \sigma, q) \neq 0$

Example — Syntax

Example



Example



Semantics

Definition

$$h_\mu : \text{Trees}(\Sigma) \rightarrow A^Q$$

$$h_\mu \left(\begin{array}{c} \sigma \\ / \quad | \quad \backslash \\ t_1 \quad \dots \quad t_k \end{array} \right)_q = \sum_{q_1 \dots q_k \in Q^k} \mu_k \left(\begin{array}{c} q \\ | \\ \sigma \\ / \quad | \quad \backslash \\ q_1 \quad \dots \quad q_k \end{array} \right) \cdot \prod_{i=1}^k h_\mu(t_i)_{q_i}$$

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Semantics

$$\|M\|(t) = \sum_{q \in Q} F(q) \cdot h_\mu(t)_q$$

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Definition

recognizable f : there exists wta M such that $\|M\| = f$

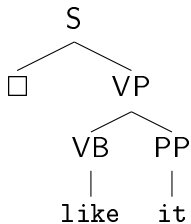
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- ▶ **Context**: tree with exactly one occurrence of \square



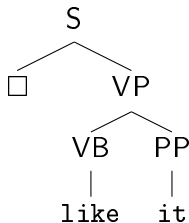
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Definition

For every $t \in \text{Trees}(\Sigma)$ let $t^{-1}f: \text{Contexts}(\Sigma) \rightarrow A$ with

$$t^{-1}f(c) = f(c[t])$$

Example — Recognizability

Notation

- ▶ **size**: number of nodes in a tree

Example

Given two trees t and u

$$t^{-1} \text{size}(c) = \text{size}(c[t]) = \text{size}(c) - 1 + \text{size}(t)$$

$$u^{-1} \text{size}(c) = \text{size}(c[u]) = \text{size}(c) - 1 + \text{size}(u)$$

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- ▶ Suppose that A is a field
- ▶ V_f sub-vector-space generated by $t^{-1}f$ for all t

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- ▶ Suppose that A is a field
- ▶ V_f sub-vector-space generated by $t^{-1}f$ for all t
- ▶ $t^{-1} \text{size}$ and $\vec{1}$ are basis of V_{size} and $\dim V_{\text{size}} = 2$

Recognizability (cont'd)

Theorem (Bozapalidis, Louscou-Bozapalidou '83)

Let A field and $f: \text{Trees}(\Sigma) \rightarrow A$

$$f \text{ recognizable} \iff \dim V_f \text{ finite}$$

Notes

- ▶ **String case** by [Reutenauer '80]
- ▶ Refined by [Arz '83] to identify requirements for direction
- ▶ Led to necessary and/or sufficient conditions of recognizability

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- ▶ **Tree case**: no refinement yet

Deterministic recognizability

Definition

det. recognizable f : there is det. wta M such that $\|M\| = f$

Definition (MYHILL-NERODE CONGRUENCE)

$t \equiv_f u$: there is nonzero $a \in A$ such that $t^{-1}f = a \cdot u^{-1}f$

$$f(c[t]) = a \cdot f(c[u]) \quad \forall \text{ contexts } c$$

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Example

$t \equiv_{\text{size}} u$ iff $\text{size}(t) = \text{size}(u)$ because

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Index of \equiv_{size} infinite

Deterministic recognizability (cont'd)

Theorem (Borchardt '03)

Let A semifield and $f: \text{Trees}(\Sigma) \rightarrow A$

f det. recognizable $\iff \equiv_f$ finite index

Deterministic recognizability (cont'd)

Theorem (Borchardt '03)

Let A semifield and $f: \text{Trees}(\Sigma) \rightarrow A$

$$f \text{ det. recognizable} \iff \equiv_f \text{ finite index}$$

Notes

- ▶ So size is not det. recognizable
- ▶ Refinements only for smaller classes (all-accepting wta)

Refinement

Definition (Borchardt '05)

$t \equiv_f u$: there exist nonzero $a, b \in A$ such that $a \cdot t^{-1}f = b \cdot u^{-1}f$

$$a \cdot f(c[t]) = b \cdot f(c[u]) \quad \forall \text{ contexts } c$$

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Zero-divisor free A : $a \cdot b = 0$ implies $0 \in \{a, b\}$

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Definition

Zero-divisor free A : $a \cdot b = 0$ implies $0 \in \{a, b\}$

Lemma

If A zero-divisor free, then \equiv_f congruence of term algebra $\text{Trees}(\Sigma)$

Refinement (cont'd)

Theorem (Necessary condition)

If A zero-divisor free, then

$$f \text{ det. recognizable} \implies \equiv_f \text{ finite index}$$

Refinement (cont'd)

Theorem (Necessary condition)

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If A zero-divisor free, then every det. wta recognizing f has at least $\text{index}(\equiv_f)$ states

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Theorem (Necessary condition)

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Corollary

height (longest path) not det. recognizable using addition

Refinement (cont'd)

Question

What about

f det. recognizable $\iff \equiv_f$ finite index

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$$f \text{ det. recognizable} \iff \equiv_f \text{ finite index}$$

Notes

- ▶ Holds for semifields [Borchardt '03]

Refinement (cont'd)

Question

What about

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Notes

- ▶ Holds for semifields [Borchardt '03]
- ▶ In the **string case**:
Refinement for certain cancellative semirings by [Eisner '03]
- ▶ In the **tree case**: Open

All-accepting wta

Definition (Drewes and Vogler '07)

all-accepting wta: $F = \vec{1}$

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Lemma

f det. aa-recognizable iff f det. recognizable and subtree-closed

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Lemma

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Theorem

If A cancellative, then

f det. aa-recognizable $\iff \equiv_f$ finite index and f subtree-closed

Notes

- ▶ Improves on a similar statement for semifield

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Thank You!