### Answer Sets: From Constraint Programming Towards Qualitative Optimization

Gerhard Brewka

brewka@informatik.uni-leipzig.de

Universität Leipzig

#### Outline

- 1. Motivation
- 2. LPODs and optimization programs
- 3. Generic examples:
  - Abduction and diagnosis
  - Inconsistency handling
  - Solution coherence
- 4. A preference description language
- 5. Conclusions

#### The success of ASP

Main factors:

- availability of interesting implementations: dlv, Smodels, ASSAT ...
- shift of perspective from theorem proving to constraint programming/model generation
- many interesting applications in planning, reasoning about action, configuration, diagnosis, space shuttle control, ...

Natural next step: qualitative optimization brings in a lot of new interesting applications

#### **Formalism I**

LPOD: finite set of rules of the form:

 $C_1 \times \ldots \times C_n \leftarrow A_1, \ldots, A_m, \operatorname{not} B_1, \ldots, \operatorname{not} B_k$ 

 $C_i, A_j, B_l$  ground literals. if body then some  $C_j$  must be true, preferably  $C_1$ , if impossible then  $C_2$ , if impossible  $C_3$ , etc.

- Answer sets satisfy rules to different degrees.
- Use degrees to define global preference relation on answer sets.
- Different options how to do this (inclusion based, cardinality based etc.).

### **Formalism II**

Optimization programs

- answer set generation independent of quality assessment
- $P_{gen}$  generates answer sets, preference program  $P_{pref}$  compares them
- $P_{pref}$  uses rules of the form

$$C_1 > \ldots > C_k \leftarrow body$$

 $C_i$  boolean combination built using  $\lor$ ,  $\land$ ,  $\neg$ , not.  $\neg$  in front of atoms, not in front of literals only.

### **Abduction and diagnosis**

K program, H hypotheses, O observations E explanation of O (dlv view) iff E minimal among  $\{H' \subseteq H \mid S \in AS(H' \cup K), O \subseteq S, S \text{ consistent}\}$ corresponding LPOD  $P_{abd}(K, H, O)$ :  $K \cup \{\leftarrow \operatorname{not} o \mid o \in O\}$  $\bigcup \{ \neg ass(h) \times ass(h) \mid h \in H \}$  $\cup \{h \leftarrow ass(h) \mid h \in H\}.$ 

*E* explanation iff *S* consistent answer set of  $P_{abd}(K, H, O)$  and  $E = \{h \in H \mid ass(h) \in S\}$ 

# **Consistency based diagnosis**

- program P describes normal behavior using ab-predicates
- diagnosis minimal subset C' of components C such that

{ab(c) | c ∈ C'} ∪ {¬ab(c) | c ∈ C \ C'}
explains observations O
corresponding LPOD P<sub>cd</sub>(P, C, O):
P∪{← not o | o ∈ O}∪{¬ab(c) × ab(c) | c ∈ C}

# **Inconsistency handling**

- program P, possibly inconsistent; consistency restoring rules R
- names  $N_P$  and  $N_R$  for rules in P and R
- generate weakening of  $P \cup R$  by replacing

 $head \leftarrow body$  with  $head \leftarrow body, r_i$ 

where  $r_i$  rule's name

- add  $\{r \times \neg r \mid r \in N_P\} \cup \{\neg r \times r \mid r \in N_R\}$
- minimal set of *P*-rules turned off, minimal set of *R*-rules turned on
- meta-preferences may express: *P*-rules to be neglected only if necessary

#### **Solution coherence**

- assume solution S for problem P was computed
- problem changes slightly to P'
- not interested in arbitrary solution of P', but solution as close as possible to S.
- distance measure based on symmetric difference: ( $A \Delta B = A \setminus B \cup B \setminus A$ )

 $S_1 \leq_S S_2 iff S_1 \Delta S \subseteq S_2 \Delta S$ 

corresponding preference program:

 $\{a > \operatorname{not} a \mid a \in S\} \cup \{\operatorname{not} a > a \mid a \notin S\}.$ 

### **Meeting scheduling**

 $part(p_1, m_1)$ 

 $part(p_3, m_2)$  $part(p_2, m_1) \quad part(p_3, m_3)$  $part(p_2, m_2) = part(p_4, m_3)$ 

 $unav(p_1, s_4)$  $unav(p_2, s_4)$  $unav(p_4, s_2)$ 

Meetings need 1 slot (using cardinality constraints):

 $1{slot(M,S): slot(S)}1 \leftarrow meeting(M)$ 

**Constraints:** 

part(P, M), slot(M, S), unav(P, S) $\leftarrow$  $\leftarrow$  $part(P, M), part(P, M'), M \neq M',$ slot(M, S), slot(M', S)

#### Meeting scheduling, ctd.

A solution:  $slot(m_1, s_1), slot(m_2, s_2), slot(m_3, s_3)$ 

 $p_4$  becomes unavailable at  $s_3$ :  $unav(p_4, s_3)$ 

Preference rules:  $slot(m_1, s_1) > not slot(m_1, s_1),$  $slot(m_2, s_2) > not slot(m_2, s_2), \dots$ 

Former solution invalid. Some new solutions:

 $S_1: slot(m_1, s_1), slot(m_2, s_2), slot(m_3, s_4)$  $S_2: slot(m_1, s_2), slot(m_2, s_1), slot(m_3, s_4)$  $S_3: slot(m_1, s_3), slot(m_2, s_2), slot(m_3, s_1)$ 

inclusion based strategy:  $S_1$  better than  $S_2$ . cardinality based strategy:  $S_1$  better than  $S_2$  and  $S_3$ .

# **Preference description language**

- variety of existing preference combination strategies
- want to combine them in flexible ways
- *PDL* is a language for doing this
- consists of preference rules and (possibly nested) expressions

 $(comb \ e_1 \dots e_n)$ 

where *comb* is a combination strategy,  $e_i$  an appropriate *PDL* expression.

#### **Generalized preference rules**

$$C_1: p_1 > \ldots > C_k: p_k \leftarrow body$$

 $C_i$  boolean combinations  $p_i$  integer penalties satisfying  $p_i < p_j$  whenever i < j.

$$C_1 > C_2 > \ldots > C_k \leftarrow body$$

abbreviates

 $C_1: 0 > C_2: 1 > \ldots > C_k: k-1 \leftarrow body$ 

Answer Set Optimization – p.13/20

#### **Syntax of PDL**

 $PDL^p$  and PDL expressions: 1. r is preference rule  $\Rightarrow r \in PDL^p$ , 2.  $e_1, \ldots, e_k \in PDL^p \Rightarrow (psum \ e_1 \ldots \ e_k) \in PDL^p$ , 3.  $e \in PDL^p \Rightarrow e \in PDL$ , 4.  $e_1, \ldots, e_k \in PDL^p \Rightarrow$  $(inc \ e_1 \dots e_k), (rinc \ e_1 \dots e_k), (card \ e_1 \dots e_k)$ and  $(rcard e_1 \dots e_k) \in PDL$ , 5.  $e_1, \ldots, e_k \in PDL \Rightarrow$  $(pareto e_1 \dots e_k)$  and  $(lex e_1 \dots e_k) \in PDL$ .

#### **Penalties and rule semantics**

- 1.  $prex = C_1: p_1 > \ldots > C_k: p_k \leftarrow body$ 
  - S satisfies body and at least one  $C_i$ :  $pen(S, prex) = p_j$ , where  $j = min\{i \mid S \models C_i\}$ , otherwise: pen(S, prex) = 0.
- 2.  $prex = (psum \ e_1 \dots e_k)$  $pen(S, prex) = \sum_{i=1}^k pen(S, e_i).$
- 3. Ord(prex) preorder associated with prex, r rule:  $(S_1, S_2) \in Ord(r)$  iff  $pen(S_1, r) \leq pen(S_2, r)$ .

# **Complex expressions**

 $\geq_i$  preorder (><sub>i</sub> partial order) represented by  $e_i$ , i, j range over  $\{1, \ldots, k\}, P_S^p = \{j \mid pen(S, e_j) = p\}$ 

- $(S_1, S_2) \in Ord(pareto \ e_1 \dots e_k)$  iff  $S_1 \ge_j S_2$  for all j.
- $(S_1, S_2) \in Ord(lex \ e_1 \dots e_k)$  iff  $S_1 \ge_j S_2$  for all j or  $S_1 >_j S_2$  for some j, and for all i < j:  $S_1 \ge_i S_2$ .
- $(S_1, S_2) \in Ord(inc \ e_1 \dots e_k)$  iff  $P_{S_1}^0 \supseteq P_{S_2}^0$ .
- $(S_1, S_2) \in Ord(rinc \ e_1 \dots e_k)$  iff  $pen(S_1, e_j) = pen(S_2, e_j)$  for all j or  $P_{S_1}^p \supset P_{S_2}^p$  for some p and  $P_{S_1}^q = P_{S_2}^q$  for q < p.

# **Complex expressions, ctd.**

- $(S_1, S_2) \in Ord(card \ e_1 \dots e_k)$  iff  $|P_{S_1}^0| \ge |P_{S_2}^0|.$
- $(S_1, S_2) \in Ord(rcard \ e_1 \dots e_k)$  iff  $|P_{S_1}^p| = |P_{S_2}^p|$  for all p or  $|P_{S_1}^p| > |P_{S_2}^p|$  for some p, and  $|P_{S_1}^q| = |P_{S_2}^q|$  for all q < p.
- $(S_1, S_2) \in Ord(psum e_1 \dots e_k)$  iff  $\sum_{i=1}^k pen(S_1, o_i) \leq \sum_{i=1}^k pen(S_2, o_i).$

### **Special cases**

- 1. preference progs  $\{r_1, \ldots, r_k\}$ :  $(pareto r_1 \ldots r_k)$
- 2. ranked preference progs:  $(lex (pareto r_{1,1} \dots r_{1,k_1}) \dots (pareto r_{n,1} \dots r_{n,k_n}))$
- 3. cardinality and inclusion based combinations: use *rinc* and *rcard*
- 4. weak constraints:
  - $\leftarrow body. [w]: \text{ use } \top:w \leftarrow body \text{ with } psum \\ \leftarrow body. [w:l]: \text{ group wrt. priority level } l: \\ (lex (psum r_{1,1} \dots r_{1,k_1}) \dots (psum r_{n,1} \dots r_{n,k_n}))$
- 5.  $minimize\{a_1 = w_1, \dots, a_k = w_k\}$  statements: single statement:  $(psum \ a_1:w_1 \dots a_k:w_k)$ sequence:  $(lex(psum \dots)...(psum \dots))$

#### **Tester programs**

- T(P, M, prex) based on generating program P, current answer set M, compilation of prex
- generates answer sets strictly better than M
- generate and improve optimization strategy
- compilation example  $(lex \ e_1 \dots e_k)$ :

 $geq_i \leftarrow geq_{i.1}, \dots, geq_{i.k}$   $geq_i \leftarrow better_i$   $better_i \leftarrow better_{i.1}$  $better_i \leftarrow geq_{1.1}, better_{i.2}$ 

 $better_i \leftarrow geq_{i,1}, \dots geq_{i,k-1}, better_{i,k}$ 

### Conclusion

- ASP: successful declarative problem solving paradigm
- optimization facilities greatly increase applicability
- context dependent preferences among formulas flexible and powerful
- applications in diagnosis, planning, inconsistency, configuration with weak constraints, ...
- foundations of a preference description language for specifying flexible optimization strategies